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จุฬาลงกรณ์มหาวิทยาลัย

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FACIAL FEATURE LOCALIZATION WITHOUT CONSIDERING THE APPEARANCE OF IMAGE CONTEXT

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การหาตำแหน่งของลักษณะเด่นบนใบหน้ามีบทบาทสำคัญในการประยุกต์ใช้งานต่างๆ เช่น การติดตามของคนและคอมพิวเตอร์ ความระวังระวังทางภาพ (video surveillance) การติดตามใบหน้า และ การรู้จำใบหน้า ดังนั้นขั้นตอนวิธีเพื่อการหาตำแหน่งของใบหน้าและลักษณะเด่นบนใบหน้าจึงจำเป็นสำหรับการประยุกต์ใช้งานดังกล่าว วิทยานิพนธ์นี้ได้นำเสนอขั้นตอนวิธีสำหรับภาพใบหน้าทุกชนิด ในสภาวะทางภาพที่หลากหลายซึ่งประกอบไปด้วยสองขั้นตอนที่สำคัญ ขั้นตอนที่หนึ่งเป็นการทำตำแหน่งของหน้าจากภาพต้นฉบับ การหาขอบภาพแคน尼 (Canny edge detection) ถูกนำมาใช้เพื่อหาขอบของภาพ จากนั้นจะคัดเลือกบริเวณที่น่าจะเป็นใบหน้าจากบริเวณที่มีจำนวนของจุดภาพ (pixel) สอดคล้องกับແຜ່ນແບບของใบหน้า (face template) หลังจากนั้นใช้ค่าความสอดคล้องที่คำนวนได้ในการหาบริเวณที่เป็นใบหน้าจริง ขั้นตอนที่สองคือการทำตำแหน่งของลักษณะเด่นบนใบหน้าซึ่งได้นำมาใช้กับใบหน้าที่ได้จากขั้นตอนแรกโดยนำเสนอแบบจำลองภาพทางระบบประสาท (neural visual model) ในการรู้จำตำแหน่งของลักษณะเด่นบนใบหน้าที่เป็นไปได้ทั้งหมด พารามิเตอร์ที่นำมาใช้กับแบบจำลองหาได้จากลักษณะพิเศษของใบหน้าและตำแหน่งของลักษณะเด่นบนใบหน้าที่เป็นอิสระจากข้อมูลของความเข้มสี หลังจากนี้ได้นำเอาระบบการประมวลผลภาพที่เรียกว่าการพองภาพ (image dilation) มาใช้เพื่อเอาส่วนที่ไม่เกี่ยวข้องที่หลงเหลือออกเพื่อให้ได้ผลที่ดีขึ้น นอกจากนั้นแล้วขั้นตอนวิธีดังกล่าวยังสามารถนำไปใช้กับปัญหาการไม่แปรเปลี่ยนแบบหมุน (rotational invariance) โดยใช้การแปลง雷顿 (Radon transform) เพื่อหาหมุนหลักของใบหน้า ขั้นตอนวิธีดังกล่าวได้นำมาใช้ทดสอบกับภาพใบหน้าหลายชนิดกว่า 1,000 ภาพเช่น ใบหน้าสี, ใบหน้าเทา, ใบหน้าขาวดำ, ใบหน้าที่มีการสวมแว่นตากันแดด, ใบหน้าที่มีการสวมผ้าพันคอ, ใบหน้าที่มีการแสดงสีหน้า, ใบหน้าที่มีผลกระทบจากแสง, ใบหน้าพร่ามัวหรือมีสัญญาณรบกวน, ใบหน้าสีและใบหน้าที่เขียนแบบหัวใจภาพเบรียบเปรย (animated cartoon) ด้วยขั้นตอนวิธีดังกล่าว อัตราการค้นหา (detection rate) มีมากกว่าร้อยละ 94 โดยเฉลี่ย

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SUPHAKANT PHIMOLTARES : FACIAL FEATURE LOCALIZATION WITHOUT
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Facial feature detection plays an important role in various applications such as human computer interaction, video surveillance, face tracking, and face recognition. Efficient face and facial feature detection algorithms are required for applying to those tasks. This dissertation presents the algorithms for all types of face images in the presence of several image conditions. There are two main steps corresponding to face and facial feature detection algorithms. First, the faces are detected from an original image. Canny edge detection is applied to find the edge of the image. A candidate face region can be found from the region having the number of pixels corresponding to average face template. Then, the matching value is calculated and applied to find the actual face. Second, facial feature detection is applied to the actual face obtained from the previous step. A proposed neural visual model (NVM) is used to recognize all possibilities of facial feature locations. The input parameters are obtained from the face characteristics and the locations of facial features which are independent of the intensity information. For the better result, an image processing technique called dilation is applied to remove some irrelevant feature regions. In addition, the algorithms can be extended to cover rotational invariance problem by using Radon transform to extract the main angle of the face. With more than 1,000 experimental images, the algorithms are successfully tested on various types of faces with color intensity, gray intensity, binary intensity, object occlusion such as sunglasses, scarf, and hand, facial expression, lighting effect, noise and blurry images, as well as color and sketchy images from animated cartoon. In particular, the method achieves more than 94% detection rate on the average.

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List of Abbreviations

NVM Neural Visual Model

MLP Multilayer Perceptron

BP Back-Propagation



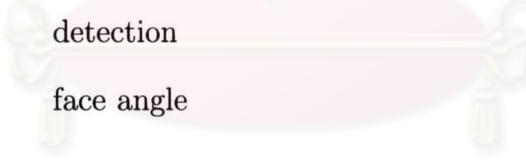
List of Symbols

I_p	image p
\tilde{I}	average image
$I_q^r(i, j)$	red(r) component of image I_q at position (i, j)
$I_q^g(i, j)$	green(g) component of image I_q at position (i, j)
$I_q^b(i, j)$	blue(b) component of image I_q at position (i, j)
$\tilde{I}^r(i, j)$	red(r) component of average image \tilde{I} at position (i, j)
$\tilde{I}^g(i, j)$	green(g) component of average image \tilde{I} at position (i, j)
$\tilde{I}^b(i, j)$	blue(b) component of average image \tilde{I} at position (i, j)
m	image vertical length
n	image horizontal length
q	image index
Q	the number of images in the databases
γ	the number of white pixels in the edge face template
$\phi_{\mathbf{a}}$	the number of white pixels of region \mathbf{a}
$\epsilon_{\mathbf{a}}$	the number of feature pixels appearing in the black holes of the black-and-white face template from candidate region \mathbf{a}
$l \times l$	mean face template size
$\phi_{i,j}$	the number of white pixels in the region bounded by rows $i - l + 1$ to i and columns $j - l + 1$ to j
$\epsilon_{i,j}$	the number of feature pixels in the region bounded by rows $i - l + 1$ to i and columns $j - l + 1$ to j
$\hat{\phi}_{i,j}$	normalized $\phi_{i,j}$
$\hat{\epsilon}_{i,j}$	normalized $\epsilon_{i,j}$
$\lambda_{i,j}$	matching value in the region bounded by rows $i - l + 1$ to i

	and columns $j - l + 1$ to j
$width_{max} \times length_{max}$	maximum size of detected faces
(x_o, y_o)	coordinate of the center point O
(r, θ)	polar coordinate at any point (x, y) with respect to the center point
L_w	face width
L_A	distance between face center and far upper left corner of face
L_B	distance between face center and far upper right corner of face
L_C	distance between face center and far lower left corner of face
L_D	distance between face center and far lower right corner of face
(x_l, y_l)	left boundary point of face
(x_r, y_r)	right boundary point of face
x_1, x_2, \dots, x_m	input signals
$w_{k1}, w_{k2}, \dots, w_{km}$	synaptic weights connecting all input nodes to node k
u_k	linear combiner output of node k due to the input signals
b_k	bias of node k
$\psi(\cdot)$	activation function
y_k	output signal of node k
$input_j$	input signal of node j
out_i	output signal of node i

w_{ji}	synaptic weights connecting node i to node j
η	learning rate parameter
δ_k	rate of change of error with respect to the input of node k
d_k	desired output of node k
E_{msq}	mean squared error
p	index of input pattern
P	the number of all input patterns
E	objective function (error function) of the training algorithm
$E_{tr}(t)$	average error per example over the training set, measured after epoch t
$E_{va}(t)$	average error per example over the validation set, measured after epoch t
$E_{te}(t)$	average error per example over the test set, measured after epoch t
$E_{opt}(t)$	the lowest validation set error obtained in epochs up to t
$GL(t)$	generalization loss at epoch t
GL_ς	generalization loss exceeding a stopping threshold ς
ς	stopping threshold
k	length of training strip
TP_k	training progress (in per thousand) measured after k epochs
PQ_ς	quotient of generalization loss and training progress exceeding a stopping threshold ς
UP_s	notion of stopping when generalization errors increase in successive steps of strip s
s	strip index

X	set of Euclidean coordinates corresponding to the input binary image
K	set of coordinates for the kernel
Kx	translation of K so that its origin is at x
(x, y)	position of pixel in rectangular coordinate
$I_{BW}(x, y)$	black-and-white face image
$I_{Dilated}(x, y)$	dilated image
$I_{NVM}(x, y)$	detected image from NVM
$I_{Detected}(x, y)$	detected image after eliminating the irrelevant regions
ρ	smallest distance between a line and the center of image
θ	angle of a line
$I_{edge}(x, y)$	edge image
α	radius parameter for rotational invariant facial feature detection
β	face angle



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