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นางสาวศิรินภา เจนศิริสกุล

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CURCUMIN GRAFTED POLY(VINYL ALCOHOL) FOR ASCORBYL PALMITATE ENCAPSULATION

Miss Sirinapa Janesirisakule

A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree of Master of Science Program in Petrochemistry and Polymer Science Faculty of Science Chulalongkorn University Academic Year 2011 Copyright of Chulalongkorn University

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	ASCORBYL PALMITATE ENCAPSULATION	
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้งานวิจัยนี้ทำการสังเคราะห์อนภาคขนาดนาโนจากพอลิเมอร์ 2 ชนิดคือ curcumin-grafted PV(OH) (Cur-PV(OH) และ cinnamate-grafted PV(OH) (Cin-PV(OH) เพื่อใช้ห่อหุ้มแอสคอร์บิลปาลมิ เทต (AP) โดยการทดลองครอบคลุมตั้งแต่การสังเคราะห์พอลิเมอร์ การสร้างอนภาค และการกักเก็บ เมื่อ เปรียบเทียบความเสถียรของ AP อิสระที่ไม่ถูกห่อหุ้มกับ AP ที่ถูกห่อหุ้มในอนุภาคที่สังเคราะห์ขึ้นทั้ง 2 ชนิดในรูปของแข็งและอนุภาคแขวนลอยในน้ำ พบว่า AP ที่ถูกห่อหุ้มมีความเสถียรมากกว่า AP อิสระที่ ไม่ถูกห่อหุ้ม โดย AP ในรูปของแข็งมีความเสถียรมากกว่าในรูปอนุภาคแขวนลอยในน้ำ AP ในสภาวะที่ ้ไม่มีแสงจะมีความเสถียรมากกว่าที่มีแสงการเปรียบเทียบความเสถียรของ AP พบว่า AP ที่ถูกห่อหุ้มใน ้อนุภาคนาโนของ Cur-PV(OH) มีความเสถียรมากกว่า AP ที่ถูกห่อหุ้มในอนุภาค Cin-PV(OH) ที่มีการ เติมเคอร์คิวมินอิสระลงไปร่วมกักเก็บด้วย อนุภาค Cur-PV(OH) สามารถบรรจุ AP ได้สูงถึง 29.00 ± 0.3% โดยน้ำหนัก โดยกระบวนการกักเก็บมีประสิทธิภาพ 80.85 ± 0.2% และอนุภาค AP-Cur-PV(OH) มีขนาดประมาณ 269.8 ± 19.4 นาโนเมตร การศึกษาการซึมผ่านของอนุภาค AP-Cur-PV(OH) โดยใช้ ้ผิวหนังส่วนของหูหมูอายุ 6 เดือนด้วยกล้องจุลทรรศน์ที่ใช้ลำแสงเลเซอร์สำหรับมองภาพตัวอย่างใน ลักษณะ 3 มิติ (Confocal Laser Fluorescence Scanning Microscope, CLFM) พบว่ารูขุมขนเป็น เส้นทางหลักที่อนุภาค AP-Cur-PV(OH) เข้าสู่ชั้นผิวหนังได้ดี และอนุภาคสามารถปลดปล่อย AP ออก จากอนภาคและเข้าสู่เนื้อเยื่อบริเวณรอบรูขุมขนได้

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SIRINAPA JANESIRISAKULE CURCUMIN GRAFTED POLY(VINYL ALCOHOL) FOR ASCORBYL PALMITATE ENCAPSULATION. ADVISOR: ASSOC. PROF. SUPASON WANICHWAECHARUNGUANG, Ph.D., 77 pp.

In this work, encapsulation of ascorbyl palmitate (AP) into two polymeric nanoparticles of curcumin-grafted PV(OH) (Cur-PV(OH) and cinnamate-grafted PV(OH) (Cin-PV(OH) was carried out. The experiment covers polymer synthesis, particle fabrication, and encapsulation. Then, the stability of AP in the two polymeric nanoparticles and free AP in freeze-dried form and suspension form. The result showed that the encapsulated AP was more stable than the unencapsulated AP. AP in a freeze-dries form was more stable than AP in the suspension form. Moreover, AP kept under light-proof condition was more stable than that kept expected to light. AP inside the Cur-PV(OH) particles was more stable than AP co-encapsulated with curcumin inside the Cin-PV(OH) particles. Cur-PV(OH) nanospheres could be loaded with AP at the encapsulation efficiency of $80.85 \pm 0.2\%$ at loading of $29.00 \pm 0.3\%$ (wt of AP/total wt). An average particle size of AP-loaded Cur-PV(OH) particles was 269.80 ± 19.4 nm. The skin penetration study of AP-loaded Cur-PV(OH) particles on the ear skin of 6 month old pig using confocal laser fluorescence scanning microscope (CLFM) showed that hair follicle was the skin penetrating routh of the AP-Cur-PV(OH) particles. Moreover, the accumulated Cur-PV(OH) at the hair follicles could release AP out into the surrounding tissue.

 Field of Study : Petrochemistry and Polymer Science Student's Signature......

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LIST OF ABBREVIATIONS

AP	Ascorbyl palmitate
AP-Cin-PV(OH)	AP-encapsulated cinnamate-grafted poly(vinyl alcohol)
APCur-Cin-PV(OH)	AP (together with curcumin)-encapsulated cinnamate-grafted
	poly(vinyl alcohol)
AP-Cur-PV(OH)	AP-encapsulated curcumin-grafted poly(vinyl alcohol)
°C	Degree Celsius
CDCl ₃	duterated chloroform
CLFM	Confocal laser fluorescence scanning microscopy
Cin-PV(OH)	Cinnamate-grafted poly(vinyl alcohol)
Cur-PV(OH)	Curcumin-grafted poly(vinyl alcohol)
DSC	differential scanning calorimeter
DMF	dimethyl formamide
DMSO	dimethyl sulfoxide
DMSO-d ₆	duterated dimethyl sulfoxide
EDCI	1-Ethyl-3-(3-dimethylaminopropyl)carbodiimide
h	hour(s)
HOBt	hydroxy benzotriazole
HPLC	High performance liquid chromatography
FT-IR	Fourier transform infared spectroscopy
mg	milligram
mL	milliliter
MW	molecular weight
nm	nanometer
NMR	nuclear magnetic resonance
ppm	parts per million
PV(OH)	poly(vinyl alcohol)
SEM	scanning electron microscope
TEM	transmission electron microscope
UV	ultraviolet

ıg	microgram(s)
ιL	microliter(s)
ım	micrometer(s)
ک	wavelength
à	wavelength

CHAPTER I

INTRODUCTION

1.1 Phenolic compounds

Phenolic compounds are substances that have been created to capitalize on the growth and propagation of each plant. Thus, the patterns of phenolic compounds in plants are different. At the present, the phenolic compounds have more than 8,000 species such as phenolic acid, lignins, etc. showed in Table 1.1.

Table 1.1 The structure of phenol group compounds [1]

Class	Basic skeleton	Basic structure
Simple phenols	C ₆	Срон
Benzoquinones	C ₆	o-<>>o
Acetophenones	C ₆ -C ₂	Сосн₃
Phenolic acids	C ₆ -C ₁	Соон
Phenylacetic acids	C ₆ -C ₂	СН2СООН
Hydroxycinnamic acids	C ₆ - C ₃	СН=СН-СООН
Phenylpropenes	C ₆ -C ₃	
Coumarins, isocoumarins	C ₆ -C ₃	

Class	Basic skeleton	Basic structure
Xanthones	C ₆ -C ₁ -C ₆	
Lignins	$[C_6-C_3]_n$	но

Important biological activities of phenolic compounds include antioxidant and antimutagens, thus phenolic compounds can prevent the onset of many diseases associated with oxidation and mutagenesis, e.g., ischemic heart disease and cancer. Phenolic compounds can eliminate free radicals and metal ions that cause lipid peroxidation and other molecules by the following mechanism:

$$ROO' + PPH \rightarrow ROOH + PP'$$
(1.1)

$$RO' + PPH \rightarrow ROH + PP'$$
 (1.2)

When ROO', RO' are free radicals and PPH is phenolic compound.

When the phenolic compounds transfer hydrogen atom to the free radical, free radical of the phenolic compounds are quite stable. Therefore, it cannot react to others. Moreover, free radical of the phenolic compounds can react with other free radicals, from equation (1.3) and equation (1.4).

$$ROO' + PP' \rightarrow ROOPP$$
 (1.3)

$$RO' + PP' \rightarrow ROPP$$
 (1.4)

Phenolic compounds can be found in various parts of plants such as grains (including soybeans, peanuts, cotton, rice and sesame seeds), fruit (including grapes, orange, and black pepper), and leaves (including potatoes, and onions) [2].

1.2 Vitamin C Ester (Ascorbyl palmitate)



Figure 1.1 Structure of ascorbic acid

Vitamin C, L-ascorbic acid, or L-ascorbate, Figure 1.1 is an essential nutrient for humans and other animal species. Vitamin C is a water soluble compound. Vitamin C is an antioxidant and can prevent the body for oxidative stress [3], boost the immune system, prevent and heal infections caused by viruses and bacteria [4]. Moreover, the compound is a cofactor in leastways eight enzymatic reactions including several collagen synthesis reactions that cause the most severe symptoms of scurvy when they are dysfunctional [5]. Vitamin C is found in citrus fruits such as guava, tamarind, orange, lemon, and some green vegetables [6].

Degradation of vitamin C occurs through both aerobic and anaerobic pathways [7] and depends on many factors such as heat, oxygen, moisture, storage temperature, metals, and storage time [8]. While the absorption of water soluble vitamin C by the body is quite quick, its availability to cells, especially skin cells is limited. Therefore, vitamin C is not stored in the body [9]. For this reason, synthesis of vitamin C derivatives, both water-soluble form such as sodium ascorbyl phosphate [10], magnesium ascorbyl phosphate [11] and fat-soluble form such as ascorbyl palmitate [12] have been proposed to ease its degradation problem.

Ascorbyl palmitate (AP) is a synthetic ester comprised of the 16-carbon chain saturated fatty acid, palmitic acid and L-ascorbic acid [13]. The ester linkage is at the 6 carbon of ascorbic acid. AP is a fat-soluble, and highly bioavailable derivative of vitamin C [14], (Figure 1.2). AP possess overall the benefits of vitamin C, unlike the water-soluble form, AP can be stored in the lipid cell membranes until the body is ready to put it to use [15]. AP is used as an antioxidant in foods, pharmaceuticals, skin care and cosmetics, and is

also used as a preservative for the natural oils, oleates, fragrances, colors, vitamins, waxes, and other edible oils [16].



Figure 1.2 Structure of ascorbyl palmitate (AP)

Ascorbyl palmitate is a capable free radical-scavenging antioxidant, its antioxidative activity is stronger than vitamin E [17]. It also acts synergistically with vitamin E, helping to regenerate the vitamin E radical on a steady basis [18].

Ascorbyl palmitate protects fats from peroxidation [19], and can be stored in the body in small amounts [20]. Taking ascorbic acid together with ascorbyl palmitate seems to be the most ideal combination. This combination allow the body to be filled with vitamin C at all times [21].

Ascorbyl palmitate acts synergistically with other antioxidants such as vitamin?? to inhance immunity in our bodies [22]. It has been reported to promote nitric oxide activity as well as to help maintain healthy platelet function [23]. It is also essential for the formation and maintenance of intercellular ground substance and collagen, important for joint health. It aids in the absorption of iron and the formation of red blood cells and the conversion of folic acid precursor to its active forms [24-25].

Ascorbyl palmitate can be found in food as a preservative [26]. Restaurants may choose to fry their food in oils that have been fortified with ascorbyl palmitate because it keeps the oil from burning and food from overcooking. Potato chips are usually fried in oils that contain ascorbyl palmitate, the additive keeps the product fresher for longer periods of time [27-28]. Ascorbyl palmitate is also used to prolong the freshness of dried and powdered milk products [29].

Numerous skin care products containing ascorbyl palmitate are commercially available [30]. It helps dramatically plump up thinning skin by increasing its production of new collagen. When ascorbyl palmitate is properly delivered into skin cells, there is a very good chance to reduce the appearance of wrinkles and improve skin texture [31-33].

1.3 Curcumin

Curcumin (diferuloylmethane) is a polyphenol derived from the plant *Curcuma longa*, commonly called turmeric [34]. It is used in other spicy dishes from India and South East Asia.

Curcumin consists of curcumin, demethoxycurcumin and bisdemethoxycurcumin [35] (Figure 1.3). The curcuminoid is a natural phenols that have at least two tautomeric forms, enol and keto. The enol form is more stable in solution and in the solid phase [36]. The structure formula of curcumin is $C_{20}H_{21}O_6$, molecular wight is 368.38 g/mol, boiling point is 183°C and maximum absorption wavelength is 420 nm [37].



Figure 1.3 Structure of (a) curcumin, (b) demethoxycurcumin and (c) bisdemethoxycurcumin [38]

Curcumin commonly used as a food ingredients in Asia for a long time. The Food and Drug Administration (FDA) of the United States are considered that turmeric is an herb that is safe [39]. It possesses diverse pharmacologic effects including anti-Alzheimer's disease [40], anti-angiogenic [41] anti-oxidant [42], anti-tumor [35], anti-inflammatory [44], anti-ischemic [45], anti-cancer [46] activities.

One of the most significant factors of curcumin is its antioxidant properties to scavenge reactive oxygen species (ROX) [47] and inhibit the process of lipid peroxidation [48]. The structure of curcumin consists of all conjugated of β -diketone moiety and two O-methoxylated phenol. The antioxidation process is through to be distributed into two parts, Schemes 1.1

(1) Radical trapping part

S-OO' (or S') + AH \rightarrow S-OOH (or S-H) + A' (2) Radical termination part A' + X' \rightarrow non-radical materials

Where S is the substance for antioxidant

AH is the antioxidant

A' is the antioxidant radical

X[•] is another radical species

Scheme 1.1 The nonenzymatic antioxidant process of the phenolic material [49].

Anywise, curcumin was limited toward its water-insolubility, instability, and low bioavailability such as low serum level, limited tissue distribution [50]. Furthermore, curcumin also changed to many compounds that have low activity [51]. Metabolism study of curcumin showed that 99% of curcumin changed into glucoronide, easy to release from animal body [52].

1.4 Poly(vinyl alcohol)

Poly(vinyl alcohol), (PVA, PV(OH) or PVAL) is a well known synthetic polymer that soluble in water, white powder, tasteless and odorless. It is a highly crystalline which prepared by radical polymerization of vinyl acetate followed by saponification of poly(vinyl acetate) [53]. The chemical structure of the vinyl alcohol repeating units is:



where R = H or $COCH_3$

Figure 1.4 Structure of poly(vinyl alcohol), (partially hydrolyzed)

Poly(vinyl alcohol) is non-toxic [54], biodegradable [55], biocompatible [56], noncarcinogen [57], good oxygen permeable [58] and no immunogenic effects [59]. It has high tensile strength and flexibility, as well as high oxygen and aroma barrier properties [60].

Poly(vinyl alcohol) used in four majors sections of PV(OH) consumption consist of : paper coating [61], film used in the water transfer printing process [62], textile sizing agent [63] and paper adhesive with boric acid in spiral tube winding [64]. Moreover, the hydrophilic characteristic of PVOH is satisfactory to generate hydrogel for pharmaceutical utilization generally as drug delivery medium [65], anti-shearing agent in cell fermentation processes [66] and tissue replacement [67].

1.5 Encapsulation

Encapsulation is the storage of any substance in the capsule to protect, control release of the substance or make the substance dispersed in a solvent solution [68]. In medical, an encapsulation techniques were used in the manufacture of drug delivery to the target to optimize the treatment better [69].

1.5.1 Encapsulation technique.

Vesicle preparations can be classified into four types according to the structure:

- 1.5.1.1 Micro- or nano emulsions.
- 1.5.1.2 Liposome and vesicles.
- 1.5.1.3 Solid lipid nanoparticles.
- 1.5.1.4 Polymeric nanoparticles.

1.5.1.1 Micro or nano emulsions.

Emulsion are mixture of two or more immiscible liquids that consist of oil, water and surfactant [70]. Emulsion particles may be found in the form of oil-in-water (O/W) or water-in-oil (W/O), Figure 1.5. A factor for create of emulsion with a high energy level with high-pressure homogenizers, HPH) and ultrasound generator [71] is temperature, pressure and frequency of homogenized. The number of homogenization necessary to reduce the dispersion of the particles. Moreover, high temperature is necessary to produce a small particle size [72-73].

The particle sizes of microemulsions had between 10-140 nm. Microemulsions made from a mixture of compounds in a suitable ratio from ternary phase diagram. Nanoemulsions is a dispersion of oil and water that stabilized with emulsifying agent, particle sizes between 20-200 nm [74]



Figure 1.5 (**A**) Two immiscible liquids, not yet emulsified, (**B**) An emulsion of Phase II dispersed in Phase I, (**C**) The unstable emulsion progressively separates, and (**D**) The surfactant (blue outline around particles) positions itself on the interfaces between Phase II and Phase I, stabilizing the emulsion.

1.5.1.2 Liposome and vesicles.



Figure 1.6 Structure of liposome [75]

Liposome are artificially prepared vesicle from lipid bilayer that have hydrophilic part and hydrophobic part (amphiphilic), Figure 1.6 Liposome are used for delivery drug for cancer and other diseases. A liposome encapsulates a region of aqueous solution inside a hydrophobic membrane, dissolved hydrophilic solutes cannot readily pass through the lipids. Hydrophobic chemicals can be dissolved into the membrane. The liposome is dependent on nature of lipid, components of isotonic pressure and method to prepare liposome [76].

The preparation of liposome consisted of specific additives and phospholipid such as lecithin as a neutral. The charge of the liposome wall come from phospholipid such as phosphatidylglycerol dimeriatoyl phosphatidylcholine. In the wall of liposome included 1) sterol, phytosterol, chloresterol and dihydrocholesterol help for controlled chemical and physical properties and 2) buffer, electrolyte, phosphatidic acid, dicetylphosphate, steylamine help for encapsulated substances and increased the stability of liposome [77].

The preparation of liposome had several method depending on appropriateness of the drug and ability for contained and encapsulated drug. There are five ways to prepare liposome;

Film hydration method is the most popular method. First, dissolved phospholipid in organic solvents. Next, prepared phospholipid film by evaporation to remove organic solvents. Then, rehydrated film with water or buffer solution at temperature over than T_c of phospholipid. Finally, created MLV liposomes for encapsulated drug into liposome carriers. [78].

Dehydration-rehydration method is a method to mix film from drying lipid solution by using lyophilization or evaporation method in the presence of the drug in an aqueous solution. Drug is encapsulated into mixed film between lipid layer and then become to MLV liposome [79].

Reverse phase evaporation method is a method to soluble fat in diethyl ether, diisopropyl ether, or mixture of both in chloroform. Then, added water into lipid solution and reduced particles by using sound wave. After that, evaporated organic solvents. In this method, system is a reverse phase to MLV liposome [80]. Solvent injection method is a method to inject lipid solution at high pressure for example ether, fluorocarbon, or ethanol into aqueous phase under reducing pressure. Temperature of aqueous phase is upper T_c and releasing pressure lead to evaporated solvent during injection. The method to make LUV liposome [81].

Supercritical liposome method is a method to prepare liposome do not use organic solvents. First, prepared phospholipid and chloresterol solution in compressed carbon dioxide gas. Next, increased the temperature, pressure and compressed carbon dioxide gas again. Then, adjusted the temperature and preesure to separate liposome [82].

1.5.1.3 Solid lipid nanoparticles.

Solid particles consist of solid lipid nanoparticles (SLN) and nanostructured lipid carriers (NLC), that are several advantages such as the ability to control release, increase the chemical stability of drug storage, easy to increase of the large-scale production, and can encapsulate of hydrophilic drug and lipophilic drug [84]. There are two types of solid lipid nanoparticles [83];

Solid lipid nanovesicles contained of lipid, surfactant or emulsion, and water. Lipid that have triglyceride, fatty acid, steroid, and wax. The principle properties such as high physical stability, protection of drug degraded, deliver to the target. The disadvantage of the SLN for example drug released from particles after the change of polymorphic transition between drug encapsulated and content of water [84].

Nanostructured lipid carriers are three types; 1) Imperfect crystal type 2) Amorphous type 3) Multiple type [84]. The preparation of SLN and NLC are three method; High speed stirring or ultrasound (HPH), microemulsion technique, solvent emulsification and evaporation, and W/O/W double emulsion method [85].

High speed stirring or ultrasound (HPH) is the most popular method since ensure consistency in production. The principle of HPH, reducing the particle size to pass through the cavitation and turbulences [86]. In general, use the amount of the lipid about 5-10%. Moreover, temperature influences for the preparation of particles [87]. For the preparation of

particles at high temperature made by mixed drug and lipid solutions at high temperature that more than melting point of lipid about 5°C. Next, mixed with an aqueous solution of surfactant at the same temperature and stirred at high speed until was the pre-emulsion. Then, homogenized with a pressure at 500 bars for three times until were SLN or NLC [88-89]. For the preparation of particles at low temperature made by mixed drug and lipid solutions under liquid nitrogen to be solid lipid microparticles. Next, stirred at high speed in aqueous solution of cold surfactant until was the per-emulsion. Then, homogenized with a pressure lower or equal room temperature with a pressure at 500 bars for five times until were SLN or NLC [90].

Microemulsion technique prepare by stirred lipid solution about 10%, surfactant about 15%, and co-surfactant about 10%. Next, distributed microemulsion in cold water and stirred all the time. Then, removed water by using lyophilization [91].

Solvent emulsification and evaporation is the method to precipitate in suitable solution by soluble fat in organic solvents such as toluene, chloroform [91]. Then, evaporating the solvent under reduced pressure, fat is precipitated as a SLN. The advantages of this method is without heat during the preparation. Therefore, it is appropriate to encapsulate drug that easy to degrade [92].

W/O/W double emulsion method developed from solvent emulsification and evaporation method to prepare SLN for encapsulated hydrophilic drug together with sterbilizer. The particle size in the range of micrometers that called lipospheres [93].

1.5.1.4 Polymeric nanoparticles.

Polymeric nanoparticles is a crucial in the process of drug delivery or ingredients to success. When using a conductive polymer (carrier) is a drug or substance to the organ causing disease [94]. The process for forming a polymer in the form of nanoparticles, it is interesting. The advantages of nanoparticles have many such particles are very small, can penetrate easily through the cell without being eliminated by the immune system [95]. In addition, small particles have a high ratio of surface area to volume, which can be packed into the particulate matter in large quantities. However, the small particles tend to be very stable

when left for long periods could cause aggregation of the particles [96]. The factors that have to consider in the preparation of polymeric nanoparticles and the particle size and form of which depends on the method of preparation and the type of polymers used in polymeric nanoparticles. There are various forms, such as nanospheres are also different [97]. In addition, nanocapsules can be classified according to their retention, which may be water or oil. The type and density of the charge on the surface of the particles, type of substance to be delivered, stability of the particles, and toxicity of the particles [98].

The preparation of polymeric nanoparticles have many ways, such as ionic gelation, emulsification, emulsion polymerization, self-assembly, supercritical fluid precipitation and spray-drying [99]. In this case, it discusses how to prepare polymeric nanoparticles.

the emulsifier film applications. (emulsification) and his relation to ionic (ionic gelation).

Preparation of polymeric nanoparticles with ionic gelation.

The ionic gelation is a simple method for prepared nanoparticles. This method relies on the principle of attraction between opposite charges of the polymer solution was diluted in water [100]. However, there are various other factors to control such that the concentration of the polymer, the molecular weight of polymer, the ratio of polymer used, the type of polymer, and rate of stirring [101]. Because these factors affect the size and size distribution. Therefore, it is important to find the optimal conditions for preparation of polymeric nanoparticles [102].

Preparation of polymeric nanoparticles by emulsification.

Emulsion is a type of colloid, formed by two or more liquids that do not dissolve into a homogenous distribution coexist [103]. There are divided into two types: water in oil emulsion (w/o emulsion), and oil in the water emulsion (o/w emulsion) [104].

Emulsification-solvent evaporation: this method consists of two steps. The first step is emulsifier film applications (emulsification) by dissolved polymer in volatile organic solvents

such as chloroform, ethyl acetate, or dichloromathane. A process that requires high energy to achieve compatibility of the two-phase phase (organic solvents and water solution) [105]. In the second step is the removal of the organic solvent of the polymer by precipitated polymer in form of the o/w nanocapsules [106]. The size of the particles depends on the agitation rate during the emulsification, the type and amount of dispersing agent, viscosity of the organic solvents and aqueous solutions, and temperatures [107]. The average of droplet size can be prepared more than 250 nm. However, this method cannot be used in the industry because the solvents used are highly toxic [108].

Emulsification-solvent diffusion or Emulsification-solvent displacement: this method will soluble polymer in an organic solvent that can be water-soluble portion, such as propylene carbonate, benzyl alcohol ethyl acetate, isopropyl acetate, methyl acetate, methyl ethyl ketone, benzyl alcohol, butyl lactate, and isovaleric acid. Then, emulsification with polymer that can soluble in water, which can add the surfactants in a phase of water such as pluronic, F68, poly(vinyl alcohol), and sodium taurodeoxycholate [109]. The droplet size depends on the properties of water mixed compatibility with organic solvents, rate of stirring, and the concentration of stabilizing agent is added in the emulsion [110].

Emulsification–reverse salting out: the principle of this method is to separate the water-soluble organic solvents from aqueous solutions containing high concentrations of salt [111]. This method is similar to the *emulsification-solvent diffusion* method but that the composition of the emulsion polymer that is soluble in organic solvents such as acetone. The aqueous solutions should have a high concentration of salt. The salt used may be of electrolytes such as magnesium chloride, calcium chloride, and magnesium acetate or of non-electrolytes such as sucrose is also used as colloidal stabilizer such as polyvinylpyrrolidone or hydroxyethylcellulose [112]. When emulsification is the o/w droplet of the diluted emulsion with water enough to make the organic solvent soluble in water diffusion in aqueous solutions and the salt concentration high enough polymer formed. Polymer was precipitated by the salting out of aqueous solutions [113]. The selected a type of salt important for the encapsulation efficiency. This method can be used in a pilot scale [114]. The preparation of the size of polymeric nanoparticles in a pilot scale by the emulsification-reverse salting between 557 to 174 nm, if the rate of stirring in the range of 790 to 2,000 rpm. Whereas the size of polymeric nanoparticles in emulsification-solvent diffusion between 562

to 203 nm [115]. For the preparation of emulsion, the rate of agitation is an important affected to the size of the particles [116]. It was found that appropriate for the rate of stirring in emulsification-reverse salting out is 790 rpm, while the emulsification-solvent diffusion method to be used up to 1,000 rpm to ensure uniform particle size distribution are prepared every time [117].

For the preparation of polymeric nanoparticles by using emulsification method to purify the emulsion have many type depending on the type of emulsion preparation techniques such as evaporation under low pressure, centrifugation, ultracentrifugation techniques filtration through mesh or filters, dialysis, gel filtration, ultrafiltration, diafiltration, and cross-flow [118]. In addition, have polymeric nanoparticles prepared by using the machinery developed to prepare polymeric nanoparticles used in the industry. The small particle size is not equal and very large for the distribution of particles. Therefore, in industrial use a colloidal mil for controlling the particle size similar to each production [119].

1.6 DPPH radical scavenging assay

DPPH, or 2,2-Diphenyl-1-picrylhydrazyl, (Figure 1.9) is a stable free radical with purple color, absorbed at 517 nm [120]. It free radical have been scavenged, DPPH will generated from purple to yellow. The DPPH radical scavenging assay uses this character to show herbs free radical scavenging activity [121].



Figure 1.7 Structure of 2,2-Diphenyl-1-picrylhydrazyl, (DPPH radical)

DPPH' reacted with antioxidant (AH') or radical species (R'), from equation (1.5), and (1.6) [122].

$$DPPH' + AH \rightarrow DPPH + A'$$
(1.5)

 $DPPH' + R' \rightarrow DPPH-R$ (1.6)

Scheme 1.2 Mechanism of DPPH[•] and antioxidant (RO-H)

The advantages of this assay are convenient, high reproducibility, and easy to analyze. But this assay cannot analyze the antioxidant activity of blood [123].

1.7 Literature reviews

1.7.1 Literature reviews about curcumin antioxidant

In 1995, Ruby and coworker compared antioxidant activities, cytotoxic, and tumor reducing of curcumin I, II, and III isolated from turmeric (*Curcuma longa*). Curcumin III was found to be more active of antioxidant activity and cytotoxic agent than curcumin I and II. The concentration of curcuminoids (I, II, and III) required for 50% prevention of superoxide, lipid peroxidase, and hydroxyl radical were the most in curcuminoid I, II and III, respectively. The capability of these compounds to repress the superoxide production by macrophages activated with phorbol-1,2-myristate-1,3-acetate (PMA) indicated that all of curcuminoids (I, II, and III) stopped superoxide production and curcumin III generated maximum effect. The result showed that curcumin III is the most active of the curcuminoids offer in turmeric, Figure 1.8 [124].



Figure 1.8 Structure of natural curcuminoids.

In 1999, Slobodan and coworker studied the antioxidant mechanisms of curcumin, bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione by pulse radiolysis and laser flash photolysis. Curcumin is insoluble in water at neutral pH but in lightly acidic , curcumin is likely in the keto form that presents to prefer H-atom transfer reactions. The rate constants of H-atom transfer reactions, curcumin is an excellent H-atom donor. Therefore, it summarized that H-atom transfer plays a crucial part in the antioxidant action of curucmin, Scheme 1.3 [125].

$$H_2O \longrightarrow H, OH, e_{(aq)}, H_3O+, etc...$$
 (1)

$$e_{(aq)}^{+} + N_2O + H_3O^{+} \rightarrow OH + H_2O + N_2$$
 (2)

$$\bullet OH + (CH_3)_2 SO \quad \rightarrow \bullet CH_3 + CH_3 SO_2^- + H_3 O^+ \quad (3)$$

Scheme 1.3 H-atom transfer reactions of curcumin.

In 1999, Toshiya and coworker studied the antioxidant mechanism of curcumin by reacted with radical species from the pyrolysis of 2,2'-azobis(isobutyronitrile) under an oxygen atmosphere, and were observed the reaction products from curcumin by HPLC. The reaction at 70 °C for several products, three of structure classified to be ferulic acid, vanillin, and a dimer of curcumin after their separated. The dimer was a lately classified compound bearing a dihydrofuran moiety, and its chemical structure was explicated by using 2D NMR techniques. A mechanism for the dimer production is presented and its relativity to curcumin's antioxidant activity considered. The results show that the dimer is a radical-terminated product in the initial stage, Figure 1.9. [126].



Figure 1.9 Chemical structures of curcumin (1) and the radical reaction products (2,4 and 5).

In 2000, Roberto and coworker showed curcumin to have capable antioxidant, antiinflammatory, and antitumor promoting properties *in vitro* and *in vivo*. The data showed that curcumin is a certain leader of heme oxygenase (HO-1) in vascular endothelial cells both in hypoxic and normoxic conditions, and enlarged heme oxygenase activity. The biological perfomances of curcumin including antioxidant capacities, adjustment of inflammatory processes, and inhibition of cell proliferation have also been mentioned to overexpression of HO-1 [127].

In 2000, Ross and coworker studied the antioxidant activity of curcumin (1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione by inhibition of managed beginning of styrene oxidation. Synthetic nonphenolic curcuminoids indicated no antioxidant activity; so, curcumin is a phenolic chain-breaking antioxidant, donating H-atoms from the phenolic groups. Moreover, the antioxidant activities of o-methoxyphenols are whittled in hydrogen bond accepting media, Figure 1.10 [128].



Figure 1.10 Structure of antioxidants.

In 2004, Venugopla and coworker studied the antioxidant and anti-inflammatory

properties of curucmin. They founded a literal natural product in curing an extensive deseases. Its antioxidant property to the possess of various functional groups, inclusive carbon-carbon double bonds, phenoxy, and methoxy in its structure. The anti-inflammatory property stored it in the limelight over the decades in remedying inflammatory-mediated diseases including diabetes, so forth, cancer, rheumatoid arthritis, and atherosclerosis. Its anti-inflammatory property presents to be mediated through the inhibition of lipoxygenase (LOX), cyclooxygenase (COX) and nitric oxide synthase (iNOS) [129].

1.7.2 Literature reviews about ascorbyl palmitate (AP)

In 2001, Spiclin and coworker compared the stability of vitamin c derivatives such as ascorbyl palmitate and sodium ascorbyl phosphate by examined in microemulsions were both w/o and o/w types and performed of the same ingridients for topical use as carrier systems. The stability of ascorbyl palmitate is highly conditional on its initial concentration, its base in the microemulsion, the quantity of oxygen dissolved in the system and storing conditions. Furthermore, ascorbyl palmitate is more suitable for topical application than sodium ascorbyl phosphate, toward its lead to penetrate in the skin. On the other hand, sodium ascorbyl phosphate was stable in both kind of microemulsions [130].



Figure 1.11 Chemical structures of (a) ascorbyl palmitate and (b) sodium ascorbyl phosphate. [130]

In 2003, Gopinath and coworker founded that vesicles of ascorbyl palmitate (Aspasomes) in owning of cholesterol and charge leader dicetylphosphate, solution of AZT encapsulated. The antioxidant capacity of aspasome was much better than ascorbic acid. Therefore, the found applications as drug delivery in disorders involved with reactive oxygen
species. Aspasomes increased the transdermal permeation of aqueous azidothymidine (AZT). The skin penetration and antioxidant property indicate a promising future for aspasome as a trandermal drug delivery system. [131]

In 2003, Pokorski and coworker compared the electron spin resonance (EPR) emitted by human blood loaded with ascorbyl palmitate (AP), hydrophobic derivative of ascorbic acid (AA), or with AA. The result showed that the blood with AP released an EPR signal whose singlet shape, location, and width absolutely relate with the known qualifications of AP and was homologous of AP. In addition, the ascorbate moiety of AP is biologically active because it generates ascorbyl radicals with spectrum of EPR are indistinguishable from those AA. This may help to solve the high scavenging capacity represented by AP. Moreover, AP capability could be more effectively applied, because AP is able to spread in biomembranes on account of its lipophilicity. [132]



Figure 1.12 EPR spectra of ascorbyl radicals recieved from blood samples equilibrated with ascorbic acid (**A**) and ascorbyl palmitate (**C**) in equimolar concentration 200 μ mol/l compared with control signal from the blood alone is shown in (**B**). DPPH use as the standard to determine the coefficient [132].

1.7.3 Literature reviews about ascorbyl palmitate (AP) encapsulation

In 2003, Julijana and coworker compared the stability effect of carrier systems for ascorbyl palmitate encapsulated in microemulsions (ME), liposomes, and solid-lipid nanoparticles (SLN). AP was reactive to oxidation and most stable in systems which least exposed to the hydrophilic environment. The result showed that AP was stable in SLN and non-hydrogenated soybean lecithin (NSL) liposomes than in hydrogenated soybean lecithin (HSL) liposomes and in ME. Furthermore, in SLN, the distinguished incorporation of a ratio of the drug into the solid lipid active is also predicted to longer AP stability. They summarized that the location of the sensitive molecule of drug in a carrier system is important for its stability. [133]

 Table 1.2 Degradation of AP after 4 weeks in different colloidal carrier systems at an initial concentration of 1% w/w (mean±S.D.) [133]

Carrier system	Amount of non-degraded AP (%)	
w/o ME	19±1	
o/w ME	13±1	
NSL liposomes	26±2	
HSL liposomes	7±4	
SLN	25±12	

In 2003, Polona and coworker studied the effective of UV radiation to free radical formation in the skin. In this work, applied ascorbyl palmitate (AP) in microemulsions to scavenge free radical that consist of carbon-centred acyl (C=O[•]) and sulphur centred radical (SO[•]₃) radical in UV irradiated porcine skin. The effect of AP was subordinate its kind of microemulsion and concentration. O/w microemulsions carried AP to the skin better than w/o microemulsions. Moreover, the effect of concentration on the effectiveness of AP is very important because AP can act pro-oxidatively for antioxidatively if its concentration is too low. [134]

Table 1.3 The influence of two carrier systems on the effectiveness of AP against free radical formation in UV irradiated skin at different concentration of AP (mean \pm SE, n = 5, skin of different pigs) [134]

Samples	0.5% w/w	1.0% w/w	2.5% w/w	5.0% w/w
o/w thickened microemulsion	0.54 ± 0.05	0.46 ± 0.09	0.65 ± 0.06	0.68 ± 0.09
w/o thickened microemulsion	- 0.12 ± 0.17	0.20 ± 0.09	0.40 ± 0.05	0.50 ± 0.08

In 2006, Tangsumranjit and coworkers encapsulated ascorbyl palmitate (AP) in poly(D,L-lactide) (PLA) and poly(D,L-lactide-co-glycolide) (PLGA) nanoparticles by solvent displacement method. The particles size average 115-150 nm. and zeta potential was around -30 mV for all formulations. Decreasing temperature and pHs around neutrality increased the AP stability in both PLGA and PLA nanoparticles. Moreover, AP-loaded PLA was more stable in distilled water than in diverse phosphate buffer systems and degassing of the distilled water led to the best AP protection in PLA nanoparticles when stored under atmospheric condition [135].

In 2006, Sangkil and coworker prepared ascorbyl palmitate (AsP) encapsulated in liposomes by before and after freeze-dried. Then, studied the skin penetration, stability test, and localization test. The result showed that freeze-dried liposome can pleasant AsP formulation for anti-aging, skin whitening treatment, and skin delivery [136].

In 2007, Veerawat and coworker increased the chemical stability of ascorbyl palmitate (AP) after encapsulated into nanostructure lipid carriers (NLC). The result showed that AP can improve the stability by selecting proper type of lipid, surfactant, and storage in cold temperature and flushing with nitrogen gas or inert gas. Furthermore, the degradation of AP was outdo by incorporation of antioxidants into NLC between the percentage of AP-loaded NLC remaining and the production step after keep for 3 months until more than 90% after flushing with inert gas or nitrogen gas, adding gathered antioxidants and storage at 4°C [137].



Figure 1.13 % AP remaining in NLC prepared from different types of solid lipid matrices at 25 °C and 4 °C after 1 month of storage.



Figure 1.14 % AP remaining in degassing condition at different storage temperature (25 °C and 4 °C) at day 30.

In 2007, Veerawat and coworker studied the physicohemical properties and *in vitro* release of ascorbyl palmitate from semi-solid lipid nanoparticles based on nanostructured lipid carriers (NLC gels) systems with the purposed viscosity for dermal delivery. NLC with purposed viscisity were generated by high pressure homogenization (HPH) technique. After the production, the particle size of free-AP and AP-loaded NLC of all explicated formulations were in the colloid size range from 174 to 233 nm and polydispersity index (PI) less than 0.3. From X-ray diffraction and DSC showed that AP involved the inside structure of lipids. The release study indicated that a modified release profile could be obtained by using different kinds of lipid. Furthermore, the data from TGA supported that hot HPH technique prospered for produce physically stable AP-loaded NLC. [138]

In 2010, Wittayasuporn and coworker prepared, characterized and self-essembled poly(ethylene oxide)-4-methoxycinnamoylchitosan (PCPLC) nanoparticles for encapsulated ascobyl palmitate (AP). The sized of AP encapsulated into PCPLC gave 689 ± 0.98 nm., encapsulation efficiency is 84% and loading capacity is 56%. The particles represented no short-term cytotoxicity against the human skin melanoma A-375 cell line using the MTT assay and no short-term skin irritation on human volunteers. Moreover, aqueous suspension of PCPLC nanoparticles resisted the growth of *Escherichia coli* ATCC 25922 and *Staphylococcus aureus* ATCC 25923 [139].



Figure 1.15 Photograph of (**a**) SEM image of PCPLC particled prepared by self-assembling at the polymer concentration of 600 ppm, (**b**) SEM image of AP-loaded PCPLC particles prepared at PCPLC concentration of 6000 ppm with the loading 56% (w/w) AP in the particles and (**c**) TEM image of the same AP-loaded PCPLC particle [139].

In 2010, Rangrong and coworker encapsulated ascorbyl palmitate (AP) in chitosan particles by oil-in-water emulsion, followed by ionic gelation using sodium triphosphate pentabasic (TPP) as a cross-linking agent. The morphology of AP-loaded chitosan particles that observed by SEM and TEM showed a spherical shape with an average diameter of 30-100 nm. Loading capacity (LC) and encapsulation efficiency (EE) of AP in the nanoparticles were about 8-20% and 39-73%, respectively. The amount of AP released from the nanoparticles in TPP buffer (pH~8.0) and ethanol increased with increasing LC and decreasing TPP concentration [140].

Table 1.4 Loading capacity and encapsulation efficiency of AP-loaded chitosan nanoparticles

-			
Sample		LC* (%)	EE ^b (%)
CTS:AP	TPP (%)		
1:0.25	0.5	8.46	76,67
1:0.50	0.5	8.45	68.78
1:1.00	0.5	13.87	43.27
1:1.50	0.5	19.78	38.91

^a LC = (weight of loaded AP/weight of sample) \times 100

^b EE = (weight of loaded AP/weight of AP in feed) \times 100



Figure 1.16 SEM micrographs at 15 kV of (**a**) chitosan particles (10,000x) and (**b**)-(**d**) AP-loaded chitosan particles with different CTS to AP weight ratio of 1:1.00 (100,000x) to AP weight ratios: (**b**) 1:1.00 (1000x), (**c**) 1:1.00 (10,000x) and (**d**) 1:1.50 (10,000x)



Figure 1.17 TEM micrographs at 80 kVof (**a**) chitasan particles 100,000X and (**b**) AP-loaded chitosan particles with CTS to AP weight ratios of 1:1.00 (100,000x)

1.7.4 Literature reviews about poly(vinyl alcohol)

In 2008, Luadthong and coworker prepared polymeric nanoparticle of poly(vinylalcohol-co-vinylcinnamate) from PV(OH) grafted *trans*-substituted cinnamic acid at various substitution degree. The micro/nanoparticle could be performed by dialysis with water and non-polar solvent such as hexane. Molecular weight of PV(OH) did not influence to morphology and particle size of nanoparticle. Self-assembly of the derivatives of

poly(vinylalcohol-co-vinylcinnamate) gave spherical both micellar particles and reverse micellar particles. [141]



Figure 1.18 SEM photograph of poly(vinylalcohol-co-vinylcinnamate) by (a) micellar particles (dialyzed against water) and (b,c) reverse micellar particles (dialyzed against hexane) [141]

In 2009, Sheikh and coworker prepared nanoparticles (NPs) of poly(epsiloncaprolactone) (PCL) grafted poly(vinyl alcohol) (PVA) copolymer (PCL-g-PVA) to carry the hydrophilic and hydrophobic drug. Stannous octoate (Sn(II)Oct(2)) is a catalyst to increase side chain polymerization reaction for the applied epsilon-caprolactone monomer to form poly(epsilon-caprolactone) (PCL). The synthesis of grafted copolymer can self-aggregate into NPs by direct dialysis method. Moreover, in vitro drug release experiments were conducted; the loaded NPs reveal continuous and sustained release form for both drugs, up to 20 and 15 days for paclitaxel and doxorubicin, respectively. [142]

In 2009, Yufend and coworker synthesized and characterized a thermosensitive chitosan (CS)/poly(vinyl alcohol) (PV(OH) hydrogel nanoparticles with different charge between $-N^+(CH_3)_3$ and $-COO^-$, the nanoparticles of *N*-(2-hydroxyl) propyl-3-trimethyl ammonium chitosan chloride (HTCC) and carboxymethyl chitosan (CM) for drug delivery. The electrostatic interaction of $-N^+(CH_3)_3$ and $-COO^-$ was a main factor on the formation of nanoparticles. They had monodisperse and spherical shape (average sizes, 200-300 nm) at the charge ratio of n^+/n^- . Furthermore, the rheological analysis showed that the gel strength was reduce by the formation of nanoparticles. [143]



Figure 1.19 TEM of HTCC/CMCS nanoparticles $(n^{+}/n^{-}, a=1.67; b=1.25; c=1)$ [143]

In 2011, Seira and coworker synthesized nanocomposites of poly(vinyl alcohol) (PV(OH))/nanodiamond (ND) by simple casting method from medium of aqueous and attained the high dispersibility of ND in the PVA(OH) matrics. The result showed that nanocomposites has superb properties acquired both ND and PVA. The thermal conductivity, the thermal properties, and the Young's modulus of nanocomposites increased 2.5 times compared with PV(OH) film with only 1% w/w of ND loading. Moreover, it was displayed that PV(OH)/ND nanocomposites remained high transparency of PV(OH) even if ND particles were provided. [144]





In 2011, Semenzim and coworker synthesized a highly crystalline poly(vinyl alcohol) and poly(vinyl alcohol)/poly(vinyl acetate) microspheres for delivered drug through targeted processes and controlled rate. Crystallinity very important for the degradation of polymeric matrixes; it can involve the drug-release rate, particularly in chemoembolization. The

particles characterized by cross-polarization/magic angle spinning nuclear magnetic resonance. scanning electron microscopy (SEM), differential scanning calorimetry (DSC), and X-ray diffraction (XRD) [145].

1.8 Research objectives.

1. To synthesize and characterize curcumin-grafted poly(vinyl alcohol) and cinnamate -grafted poly(vinyl alcohol) nanoparticles.

2. To encapsulate ascorbyl palmitate (AP) into curcumin-grafted poly(vinyl alcohol) and cinnamate-grafted poly(vinyl alcohol) nanoparticles.

3. To compare the stability of AP-encapsulated curcumin-grafted poly(vinyl alcohol), AP-encapsulated cinnamate-grafted poly(vinyl alcohol), AP (together with curcumin)encapsulated curcumin-grafted poly(vinyl alcohol), and free-AP.

4. To study skin penetration and release of the AP-encapsulated nanoparticles.

5. To compare the antioxidant activity between free-curcumin and curcumin-grafted PV(OH) nanoparticles by using DPPH radical scavenging assay.

CHAPTER II

EXPERIMENTAL

2.1 Materials and Chemicals

Ascorbyl palmitate (AP) was obtained from Roche (Basle, Switzerland). Poly(vinyl alcohol), MW 124,000-186,000, 87-89% deacetylated (PV(OH), was from Aldrich Chemical Company (Steinheim, Germany). Cinnamoyl chloride, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDCI) and curcumin (>98% purity) were purchased from Acros Organics (Geel, Belgium). Glutaric anhydride and hydroxybenzotriazole (HOBt) were from Aldrich Chemical Company (Steinheim, Germany). Dimethyl formamide (DMF) (RCI labscan, Bangkok, Thailand), pyridine (Carlo Ebra reagent, MI, Italy) were dry and triply distilled before use. Ethyl acetate, hexane, methanol distilled from commercial grade solvent. 1,1-Diphenyl-2-picryl-hydrazyl (DPPH) was purchased from Sigma-Aldrich (USA). Butylated hydroxytoluene (BHT) and Silica gel 60 (0.063-0.200 nm) for column chromatography were purchased from Merck KGaA (Darmstadt, Germany). Centrifugal-filtering devices (MWCO 100,000, Amicon Ultra-15) was purchased from Millipore (County cock, Ireland). Cellulose tubular membrane (CeluSep T4, MWCO 12,000-14,000, 75 mm. flatwidth, 17.9 mL/cm volume capacity, Membrane Filtration Products, Seguin, TX, USA).

2.2 Instrument and Equipments

The ATR-FT-IR spectra were obtained using a Nicolet Fourier transform Infrared spectrophotometer: Impact 410 (Nicolet Instruments Technologies, Inc., Madison, WI, USA). ¹H NMR analyses were performed using 400.00 MHz of a Varian Mercury spectrometer (Varian Company, Palo Alto, CA, USA) in deuterated chloroform (CDCl₃) or deuterated dimethylsulfoxide (DMSO-d₆). UV Absorption spectra at 200-500 nm were acquired with a UV2500 UV/vis spectrophotometer (Shimadzu Corporation, Kyoto, Japan) using a quartz cell with 1 cm path-length. Centrifugation was carried out on an AllegraTM 64R : 5000 rpm for 10 min. (Beckman Coulter, Inc, Tokyo, Japan). The particle suspension

was freeze-dried using Freeze-Dry/Shell Freeze System Model 7753501 (Labconco Corp., Kansus, MI, USA.) Transmission electron micrographs (TEM) was performed from JEM-2010 (JEOL, Tokyo, Japan) and scanning electron microscopes (SEM) was performed from JSM-6400 (JEOL, Tokyo, Japan). Confocal laser scanning fluorescence microscopy (CLFM) was measured using Nikon Digital Eclipse C1si Confocal Microscope system (Tokyo, Japan). High performance liquid chromatography (HPLC) was obtained with a ThermoFinnigan P4000 pump, connected to UV6000LP (UV/VIS detector) and 100 mm x 4.6 mm column packed with 5 µm Hypersil C-18 reverse phase (Thermo Fisher Inc, Waltham, Massachusetts, USA).

2.3 Synthesis of mono-substituted glutarylcurcumin



Scheme 2.1 Synthesis of mono-substituted glutarylcurcumin

The attachment of glutaryl group to the hydroxyl moiety of curcumin was carried out by reacting between curcumin and glutaric anhydride. The mono-substituted glutaryl curcumin was first prepared by reacting 1 mol equivalent of curcumin and 1.5 mol. equivalent of glutaric anhydride in a presence of 5% pyridine under dry DMF. The mixture was stirred at 70–80 °C for 4 h under $N_{2(g)}$ atmosphere. Then the solvent was evaporated and crude mixture of mono-substituted glutarylcurcumin was purified by column chromatography on silica gel column, using a 20-50% EtOAc gradient in hexane. The product obtained 76.47% yields of yellow powder. Then, product was characterized by ¹H-NMR, FT-IR, UVvisible spectroscopic analyses.

Glutarylcurcumin: ¹H-NMR (CDCl₃, 400 MHz, δ , ppm) 7.60 (Ar-C<u>H</u>=CH-C=O-CH₂-C=O-CH=C<u>H</u>-Ar-, dd, J = 16, 4.4 Hz, 2H), 6.92-7.16 (Ar-<u>H</u>, m, 6H), 6.47-6.57 (Ar-CH=C<u>H</u>-C=O-CH₂-C=O-CH=CH-Ar-, d, J = 16 Hz, 2H), 5.83 (-C=O-C<u>H</u>₂-C=O-, s, 1H), 5.81 (-C=O-C<u>H</u>₂-C=O-, s, 1H), 5.80 (-C=O-C<u>H</u>₂-C=O-, s, 1H), 3.94 (C<u>H</u>₃-O-Ar-O-C=O-

CH₂-CH₂-, s, 3H), 3.87 (C**H**₃-O-Ar-O-H, s, 3H), 2.70 (-Ar-O-C=O-C**H**₂-CH₂-, t, J = 7.2 Hz, 2H), 2.57 (HO-C=O-C**H**₂-CH₂-, t, J = 7.2 Hz, 2H) and 2.11 (-C=O-CH₂-C**H**₂-CH₂-C=O-OH, p, J = 7.2 Hz, 2H) ; UV-visible spectroscopy (DMSO) λ_{max} at 412 nm; FT-IR (cm⁻¹) C-H stretching at 2918.12 cm⁻¹, C=O stretching of the ester functionality at ~1705.54 cm⁻¹, C=C stretching at 1624.84 cm⁻¹, C=O of keto-enol at 1567.48 and C-H bending at 1201.19.

2.4 Synthesis of curcumin-grafted poly(vinyl alcohol), Cur-PV(OH)



Scheme 2.2 Synthesis of Cur-PV(OH)

The Cur-PV(OH) was synthesized by esterfication reaction between mono-substituted glutarylcurcumin and poly(vinyl alcohol) or PV(OH). The mono-substitute glutarylcurcumin was activated by EDCI (0.05 g, 3 mol. equivalent of glutarylcurcumin), then, the compound was coupled with PV(OH) (0.09 g, 20 mol. equivalent of glutaryl curcumin) using HOBt (0.06 g, 4 mol. equivalent of glutaryl curcumin) as a catalyst. The reaction was carried out in dry DMF at 0 °C for 24 h under $N_{2(g)}$ atmosphere. EDCI and HOBt were eliminated by dialyzing the reaction mixture against 40% aqueous methanol for five times using a regenerated cellulose tubular membrane (CeluSep T4 dialysis tube (MWCO 12,000-14,000, 75 mm. flatwidth, 17.9 ml/cm volume capacity, Membrane Filtration Products, Seguin, TX, USA.) Dry particles were obtained by freeze drying the aqueous suspension. Product was then subjected to ¹H-NMR, FT-IR and UV-visible spectroscopic analyses. The degree of curcumin substitution on PV(OH) backbone was estimated from ¹H NMR information.

Cur-PV(OH): ¹H-NMR (DMSO, 400 MHz, δ , ppm) 7.54 (Ar-C**H**=CH-C=O-CH₂-C=O-CH=C**H**-Ar-, d, J = 13 Hz, 2H), 6.82-7.32 (Ar-**H**, m, 6H), 6.75 (Ar-CH=C**H**-C=O-CH₂-C=O-C**H**=CH-Ar-, d, J = 15 Hz, 2H), 6.14 (-C=O-C**H**₂-C=O-, s, 1H), 6.06 (-C=O-C**H**₂-C=O-, s, 1H), 6.02 (-C=O-C**H**₂-C=O-, s, 1H), 4.54 (-C**H**₂-CH-OH-, s, 1H), 4.17 (-C**H**₃-Ar-H, s, 6H), 3.82 (-CH₂-C**H**-OH)_m-(CH₂-C**H**-O-C=O-CH₃-)_n, br, 1H), 2.70 (-Ar-O-C=O-C**H**₂-CH₂-, t, J = 7.2 Hz, 2H), 2.61 (HO-C=O-C**H**₂-CH₂-, t, J = 7.2 Hz, 2H), 2.61 (HO-C=O-C**H**₂-CH₂-, t, J = 7.2 Hz, 2H), 2.23 (-C=O-CH₂-CH₂-CH₂-CH₂-C=O-OH, p, J = 7.2 Hz, 2H), 1.93 (-CH₂-CH-OH)_m-(CH₂-CH-O-C=O-C**H**₃-)_n, s, 3H) and 1.22-1.74 (-C**H**₂-CH-OH-)_m-(C**H**₂-CH-O-C=O-CH₃)_n-(C**H**₂-CH-)_o, br, 2H); UV-visible spectroscopy (DMSO) λ_{max} at 402.5 nm; FT-IR (cm⁻¹) O-H stretching at 3422.34, C-H stretching at 2923.23, C=O stretching of the ester functionality at ~1735.56 and C=C stretching at 1629.27.

2.5 Synthesis of cinnamate-grafted poly(vinyl alcohol), Cin-PV(OH)



Scheme 2.3 Synthesis of Cin-PV(OH)

The Cin-PV(OH) was synthesized according to method of Luadthong *et al*, 2008. Briefly, PV(OH) (0.40 g, 9 mmol monomeric units) was dissolved in heated anhydrous DMF (20 mL). Then, pyridine (0.73 ml, 9 mmol) was added. The obtained clear solution was poured into a round bottom flask containing the freshly prepared cinnamoyl chloride (4.00 g, 9 mmol). The mixture was stirred at 80–90 °C for 2-3 h. The substituted polymer was separated by precipitation with 1.0% w/v aq. Na₂CO₃. The precipitate was washed with distilled water and the obtained solid was dried under vacuum to constant weight. Product was then subjected to ¹H-NMR, UV-Visible spectrophotometric and FT-IR spectroscopic analyses. The degree of curcumin substitution on PV(OH) backbone was estimated from ¹H NMR information. **Cin-PV(OH):** ¹H-NMR (DMSO, 400 MHz, δ , ppm) 7.68 (Ar-C<u>H</u>=, br), 7.57 (Ar-<u>H</u>, br), 7.39 (Ar-<u>H</u>, br), 6.53 (=C<u>H</u>-COOR, br), 4.67, 4.46 and 4.22 (-O<u>H</u>, s), 3.86 (-C<u>H</u>-OCOCH₃, br), 3.81 (-C<u>H</u>-OH, br) and 1.14-2.31 (-C<u>H</u>₃-CO and -CH-C<u>H</u>₂-CH-, br of PV(OH) backbone); UV-visible spectroscopy (DMSO) λ_{max} at 279 nm; FT-IR (cm⁻¹) O-H stretching at 3431.58, C-H stretching at 3024.90, C=O stretching of the ester functionality at ~1710 and C=C stretching at 1629.27.

2.6 Encapsulation of AP into polymeric nanoparticles

Two types of polymeric nanoparticles, Cur-PV(OH) and Cin-PV(OH), were induced at room temperature by solvent displacement method by using dialysis technique.

For Cur-PV(OH), polymer (30 mg) was dissolved in DMF (10 mL) to get polymeric solution at concentration of 3,000 ppm. In a separate container, 15 mg of AP were dissolved in 10 mL of DMF to get AP solution at concentration of 1,500 ppm (Table 2.1). The two solutions were then mixed together and the obtained mixture solution of polymer and loaded chemicals (AP) was then transferred into the dialysis bag (regenerated cellulose tubular membrane (CeluSep T4 dialysis tube (MWCO 12,000-14,000, 75 mm. flatwidth, 17.9 mL/cm volume capacity, Membrane Filtration Products, Seguin, TX, USA) and dialyzed against 1,000 mL deionized water. Five mL of each obtained particle suspension was centrifugally filtered using centrifugal-filtering devices with MWCO 10,000 (Amicon Ultra-15,Millipore, Ireland) at 5,000 rpm for 10 min. The filtered product was very quickly rinsed with methanol to remove all the unencapsulated AP molecules.

For Cin-PV(OH), polymer (30 mg) was dissolved in DMF (10 mL) to get polymeric solution at concentration of 3,000 ppm. In a separate container, 15 mg of AP (alone or together with 15 mg of curcumin) were dissolved in 10 mL of DMF to get AP solution at concentration of 1,500 ppm (Table 2.1). The two solutions were then mixed together and the obtained mixture solution of polymer and loaded chemicals (AP alone or AP and curcumin) was then transferred into the dialysis bag (regenerated cellulose tubular membrane (CeluSep T4 dialysis tube (MWCO 12,000-14,000, 75 mm. flatwidth, 17.9 mL/cm volume capacity, Membrane Filtration Products, Seguin, TX, USA) and dialyzed against 1,000 ml deionized water. Five mL of each obtained particle suspension was centrifugally filtered using

centrifugal-filtering devices with MWCO 10,000 (Amicon Ultra-15,Millipore, Ireland) at 5,000 rpm for 10 min. The filtered product was very quickly rinsed with methanol to remove all the unencapsulated AP (alone or together with curcumin) molecules.

The expect outcome of model of AP-Cur-PV(OH), AP-Cin-PV(OH) and APCur-Cin-PV(OH) nanoparticles showed in Figure 2.1(a), Figure 2.1(b) and Figure 2.1 (c), respectively.

Table 2.1 Concentration (ppm) of polymer (Cur-PV(OH) and Cin-PV(OH)) and active (AP and AP together with curcumin)

Types	Concentration	Concentration of	Concentration of
	of polymer (ppm)	AP (ppm)	curcumin (ppm)
Cur-PV(OH)	3,000	1,500	-
Cin-PV(OH)	3,000	1,500	-
Cin-PV(OH)	3,000	1,500	1,500







Figure 2.1 Model of (a) AP-Cur-PV(OH), (b) AP-Cin-PV(OH) and (c) APCur-Cin-PV(OH)

The obtained supernatant was analyzed by HPLC to get the concentration of unencapsulated active chemicals (AP/curcumin). The encapsulation efficiency and loading was calculated according to the following equations:

HPLC was performed with a ThermoFinnigan P4000 (pump), connected to a UV6000LP (UV/VIS detector). The stationary phase was 100 mm×4.6 mm column packed with Hypersil C-18 and the mobile phase was methanol : acetonitrile : 0.02 M phosphate buffer pH 2.5 (75 : 10 : 15). The flow rate was set at 1.5 ml/min and the detection by UV detection at 254 nm.

2.7 Morphology and particle size of AP-encapsulated nanoparticles

The morphology and particle size of AP-encapsulated polymeric nanoparticles were elucidated by scanning electron microscope (SEM) and transmission electron microscope (TEM).

SEM photographs were acquired by scanning electron microscope (JEM-6400, JEOL, Tokyo, Japan). A drop of nanoparticles suspension was placed on a glass slide and dried in desiccators overnight. The sample was coated with a gold layer under vacuum at 15 kV about 90 s. Analysis was carried out at 25±2°C.

TEM photographs were obtained by transmission electron microscope (JEM-2100, JEOL, Tokyo, Japan). Observation was presented at 100-120 kV.

Each instrument was repeated three times and average value was reported.

2.8 Stability of AP

The stability study of the encapsulated-AP (and curcumin) and the free-AP were carried out under solid condition using freeze-dried samples and also under aqueous suspension condition.

Stability test on the solid product was carried out as follows. Three freeze-dried, APencapsulated curcumin-grafted PV(OH), (AP-Cur-PV(OH)), AP-encapsulated cinnamategrafted PV(OH), (AP-Cin-PV(OH)), AP (together with curcumin)-encapsulated cinnamategrafted PV(OH), (APCur-Cin-PV(OH)) and free-AP were kept at room temperature for 60 days under normal light and under light-proof conditions. At various time intervals, 5 mg of each sample were subjected to AP extraction and quantitation. Briefly, 5 mL of methanol were added and stirred to extract out the AP (and curcumin), then the mixture was centrifugally filtered (MWCO 100,000, Amicon Ultra-15, Millipore, Ireland). The obtained solid was soaked in methanol, sonicated, filtered and the methanol extract was quantitatively analysed for AP content by HPLC.

Stability test on the aqueous product was carried out as follows. Three freeze-dried, AP-Cur-PV(OH), AP-Cin-PV(OH), APCur-Cin-PV(OH), and free-AP were kept at room temperature for 24 hours under normal light and under light-proof conditions. At various time intervals, 5 mL of each freshly prepared suspension of AP (and curcumin)-encapsulated nanoparticles (AP concentration is 1,000 ppm), as well as 1,000 ppm of free-AP solution for comparision. At various time intervals, 5 mL of aliquot was withdrawn and centrifugally

filtered (MWCO 100,000, Amicon Ultra-15, Millipore, Ireland). Then, analysed for AP content by HPLC.

2.9 *Ex vivo* skin penetration by using confocal laser scanning fluorescence microscopy (CLFM)

The skin specimens (pig ear skin) of the fresh six month-old pig were purchased from Manoch Farm (Phetchabun, Thailand) that consisted of epidermis and dermis layers and cut about 1×2 cm² pieces.

The experiment was started by dropping 10 µL of the AP-encapsulated curcumingrafted PV(OH) suspension (1,430 ppm of curcumin-grafted PV(OH) and 705 ppm of AP) onto the surface of fresh pig ear skin piece (1×2 cm² area), thus to give the coverage of ~ 3.52 μ g/cm² AP and ~7.15 μ g/cm² polymer. Then, rolled the AP-encapsulated curcumin-grafted PV(OH) suspension with roller for 10 minutes and kept at room temperature for 30 minutes before being subjected to Confocal laser scanning fluorescence microscopy (CLFM) analysis. The CLFM system used was a Nikon Digital Eclipse C1-Si (Tokyo, Japan) equipped with Plan Apochromat VC 100x, Diode Laser and Ar Laser (405 nm and 488 nm, respectively, Melles Groit, Carlsbad, CA, USA), a Nikon TE2000-U microscope, a 32-channel-PMTspectral-detector and Nikon EZ-C1 Gold Version 3.80 software. CLFM was used to capture the fluorescent signals of the curcumin-grafted PV(OH) nanoparticles moieties together with the released AP in the skin piece. Excitation was carried out at 405 and 488 nm while detection was done spectrally at 405-750 nm were collected from the sample piece, at various depth starting from 40 µm (from the stratum corneum surface) down to 400 µm depth. Then, unmixed the fluorescent spectrum of curcumin-grafted PV(OH) nanoparticles, AP and fresh pig ear skin with red, green and grey colors, respectively.

2.10 DPPH' free radical scavenging activity

The total radical scavenging capacity of the tested compounds was defined and compared to that of BHT, curcumin and Cur-PV(OH) by using the DPPH[•] radical scavenging methods. The hydrogen atom or electron donation abilities of some pure compounds were measured by the bleaching of a purple colored methanol solution of the stable DPPH radical.

This spectrophotometric assay uses the stable radical, 1,1-diphenyl-2-picryl-hydrazyl (DPPH[•]), as a reagent.

DPPH' scavenging activity was measured according to method of Khajeelak, 2010. Briefly, prepared 11.8 mg/100 mL of DPPH and 1 mg/1 mL sample solutions of BHT, free curcumin and curcumin-grafted PV(OH) in methanol. Next, added 50 μ L of each sample solutions in a 96-well plate. Then, added 250 μ L of DPPH solution mixed together with each sample solutions. These solutions were vortexed thoroughly and incubated at room temperature in the dark for 30 minutes. Absorbtion spectra by UV-visible spectrophotometry of the test mixture was read at 515 nm against blank samples (methanol) lacking scavenger. Residual DPPH free radicals were determined from the absorbance.

The capability to scavenge the DPPH• radical was calculated by comparing the results of the test with those of the control using the formula:

% Inhibition = $\frac{Absorbance of control - Absorbance of test}{Absorbance of control} \times 100$

DPPH' decreases significantly upon exposure to radical scavengers.

CHAPTER III

RESULTS AND DISCUSSION

3.1 Synthesis and characterization



Scheme 3.1 Synthesis of (a) mono-substituted glutarylcurcumin and (b) Cur-PV(OH)

The Cur-PV(OH) was synthesized by first esterification curcumin with glutaric anhydride, then grafting the obtained glutatrylcurcumin onto the hydroxyl groups of the PV(OH) via ester linkage.

3.1.1 Synthesis of mono-substituted glutarylcurcumin

The preparation of mono-substituted glutarylcurcumin was carried out according to Scheme 3.1 (a). Mono-substituted glutarylcurcumin was successfully synthesized using esterification between hydroxyl goup of curcumin and carbonyl group of glutaric anhydride. Hydroxyl groups of curcumin were deprotonated by pyridine and carbonyl groups of glutaric anhydride reacted as nucleophiles to form ester bonds. The mechanism is shown in Scheme 3.2



Scheme 3.2 Mechanism of ester bond formation in mono-substituted glutarylcurcumin.

In this step, mole ratios between curcumin and glutaric anhydride affected the yield of mono-substituted product, therefore, various mole ratios of curcumin : glutaric anhydride were experimented (Table 3.1)

Molar ratios	% yield of mono-substitution product
curcumin : glutaric anhydride	
1 : 1.2	43.25
1 : 1.5	76.47
1 : 2.0	50.06

Table 3.1 The mole ratios of curcumin : glutaric anhydride

Mole ratios of curcumin : glutaric anhydride of 1 : 1.5 gave the highest yields (76.47%) of the mono-substituted glutarylcurcumin (Table 3.1). The crude from reaction was purified by silica gel column chromatography using 20-50% EtOAc gradient in hexane to gain the pure mono-substituted glutarylcurcumin as yellow powder. Identification of the product was attained through FT-IR, ¹H-NMR and UV-visible spectroscopy.

Curcumin: ¹H-NMR (CDCl₃, 400 MHz, δ, ppm) 6.92-7.13 (Ar-<u>**H**</u>), 7.61 (Ar-C<u>**H**</u>=), 6.46-6.49 (=C<u>**H**</u>C(O)-), and 5.80-5.89 (-C(O)C<u>**H**</u>C(O)-)

When comparing the ¹H-NMR spectrum of mono-substituted glutarylcurcumin (Figure 3.2) to that of the curcumin (Figure 3.1), it is apparent that the signals of methoxy proton $(H_{h,h'})$ of mono-substituted glutarylcurcumin are splitted into two-singlet peaks at a ratio of $H_h : H_{h'} = 1 : 1$ (comparing to on singlet peak of methoxy of unmodified curcumin). In addition, instead of 3 sets of aromatic protons observed in curcumin, 6 sets of aromatic protons were observed in the product. Also, instead of two sets of protons from the double bond next to aromatic ring observed in the curcumin, the product showed four sets of such protons and thus confirming the derivatization of just only one side of the molecules.

3.1.2 Synthesis of Cur-PV(OH)

The synthesis pathway of Cur-PV(OH) is shown in Scheme 3.1 (b). The carboxylic group of mono-substituted glutarylcurcumin was reacted with 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDCI) to form O-acyl isourea, which have higher reactivity than the free acid. This intermediate will react with hydroxybenzotriazole (HOBt) to generate the reactive amide intermediate, which can rapidly react with hydroxyl group of

PV(OH) without side product from intramolecular reaction. From this reaction, product is a yellow powder.



Scheme 3.3 Mechanism of synthesis of Cur-PV(OH)

Glutarylcurcumin: ¹H-NMR (CDCl₃, 400 MHz, δ, ppm) 7.60 (Ar-C<u>H</u>=CH-C=O-CH₂-C=O-CH=C<u>H</u>-Ar-, dd, J = 16, 4.4 Hz, 2H), 6.92-7.16 (Ar-<u>H</u>, m, 6H), 6.47-6.57 (Ar-CH=C<u>H</u>-C=O-CH₂-C=O-C<u>H</u>=CH-Ar-, d, J = 16 Hz, 2H), 5.83 (-C=O-C<u>H</u>₂-C=O-, s, 1H), 5.81 (-C=O-C<u>H</u>₂-C=O-, s, 1H), 5.80 (-C=O-C<u>H</u>₂-C=O-, s, 1H), 3.94 (C<u>H</u>₃-O-Ar-O-C=O-CH₂-CH₂-, s, 3H), 3.87 (C<u>H</u>₃-O-Ar-O-H, s, 3H), 2.70 (-Ar-O-C=O-C<u>H</u>₂-CH₂-, t, J = 7.2 Hz, 2H), 2.57 (HO-C=O-C<u>H</u>₂-CH₂-, t, J = 7.2 Hz, 2H) and 2.11 (-C=O-CH₂-C<u>H</u>₂-CH₂-C=O-OH, p, J = 7.2 Hz, 2H)

The ¹H-NMR spectrum of the Cur-PV(OH) (Figure 3.3) showed the signals of PV(OH) backbone between 1.22-1.74 ppm $(-C\underline{\mathbf{H}}_2$ -CH-OH-)_m- $(C\underline{\mathbf{H}}_2$ -CH-O-C=O-CH₃)_n- $(C\underline{\mathbf{H}}_2$ -CH-)_o, br, 2H) and signals of 6.92-7.13 ppm (Ar- $\underline{\mathbf{H}}$) of curcumin.

The degree of curcumin substitution on PV(OH) is 5.9 % (Figure 3.3), as deduced from the integration of peaks from 1.22-1.74 ppm (PV(OH) backbone $-C\underline{H}_2$ -CH-OH-)_m-

 $(C\underline{H}_2$ -CH-O-C=O-CH₃)_n-(C<u>H</u>₂-CH-C=O-R-)_o, br, 2H) against the two singlet peaks at 6.08 ppm (curcumin -C=O-C<u>H</u>₂-C=O-, 2H)



Figure 3.1 ¹H-NMR spectrum of curcumin in CDCl₃



Figure 3.2 ¹H-NMR spectrum of mono-substituted glutarylcurcumin in CDCl₃



Figure 3.3 ¹H-NMR spectrum of Cur-PV(OH) in DMSO-d₆



Figure 3.4 UV-visible spectra of curcumin (blue), mono-substituted glutarylcurcumin (red) and Cur-PV(OH) (green) nanoparticles in DMSO

The solutions of curcumin (blue), mono-substituted glutarylcurcumin (red) and Cur-PV(OH) (green) in DMSO showed a broad characteristic UV-visible absorption spectra around 250-500 nm. UV-visible absorption spectra showed λ_{max} of curcumin, monosubstituted glutarylcurcumin, Cur-PV(OH) and Cin-PV(OH) at 428, 412 and 402.5, respectively (Figure 3.4). Maximum absorption of mono-substituted glutarylcurcumin and Cur-PV(OH) is blue-shifted from that of curcumin. The blue-shift indicated less conjugation in the curcumin core structure resulting from the replacement of hydroxyl group with acyl groups.



Figure 3.5 FT-IR spectrum of mono-substituted glutarylcurcumin



Figure 3.6 FT-IR spectrum of Cur-PV(OH)

FT-IR spectrum of mono-substituted glutarylcurcumin (Figure 3.5) showed the absorption peak of C-H stretching vibration at 2918.12 cm⁻¹, C=O stretching vibration at ~1705.54 cm⁻¹, informing the new ester functionality, and C=C stretching vibration at 1624.84 cm⁻¹. FT-IR spectra of Cur-PV(OH) (Figure 3.6) showed the absorption peak of O-H stretching vibration at 3301.56 cm⁻¹, C-H stretching vibration at 2917.79 cm⁻¹, C=O stretching vibration at ~1731.62 cm⁻¹, informing the ester functionality and C=C stretching vibration at 1625.85 cm⁻¹.

3.1.3 Synthesis of Cin-PV(OH)

The preparation of Cin-PV(OH) was carried out according to method of Luadthong *et al.* 2008. Grafting of cinnamoyl moieties onto PV(OH) could be done successfully using a classical esterification reaction that utilized the hydroxyl functionality of PV(OH) and cinnamoyl chloride. The sample is a white solid. The mechanism of the reaction is shown in Scheme 3.4.



Scheme 3.4 Mechanism of synthesis of Cin-PV(OH)

The degree of cinnamoyl substitution is 17.69 % (Figure 3.7) as deduced from the integration of peaks at 6.53-7.68 ppm (all six cinnamoyl protons) against peaks at 1.14-2.31 ppm (-CH-C $\underline{\mathbf{H}}_2$ -CH- and C $\underline{\mathbf{H}}_3$ -CO- of PV(OH) backbone).



Figure 3.7 ¹H-NMR spectrum of Cin-PV(OH) in DMSO-d₆



Figure 3.8 UV-visible spectra of Cin-PV(OH) in DMSO

UV-Vis absorption spectrum of Cin-PV(OH) possesses maximum absorption at 279 nm (blue) which is the characteristic absorption of cinnamoyl chromophore. This, therefore, confirm successful grafting of the cinnamoyl moiety on to the PV(OH) chains. As expected,



the UV-Vis absorption spectrum of PV(OH) (red) shows no absorption in that region (Figure 3.8).

Figure 3.9 FT-IR spectrum of Cin-PV(OH)

FT-IR spectrum of Cin-PV(OH) (Figure 3.9) showed the absorption peak of O-H stretching vibration at 3432.08 cm⁻¹, C-H stretching vibration at 3024.83 cm⁻¹, C=O stretching vibration at ~1710.00 cm⁻¹ of the ester functionality and C=C stretching vibration at 1632.30 cm⁻¹.

3.2 Encapsulation of AP into nanoparticles

Encapsulation of AP was carried out by dialyzing 20 ml solution consisting of 30 mg of PV(OH) and 15 mg of AP (together with curcumin) in DMF. First, for the AP-Cur-PV(OH), milky yellow suspension (Figure 3.10 (a)) was obtained upon the dialysis. Analysis of the suspension by SEM (Figure 3.11 (a)) and TEM (Figure 3.11 (b)) indicated spherical particles with agreeable diameter of 269.84 ± 19.44 nm and 259.44 ± 16.90 nm, respectively. Second, for the AP-Cin-PV(OH), milky white suspension (Figure 3.10 (b)) was obtained upon the

dialysis. Analysis of the suspension by SEM (Figure 3.12 (a)) and TEM (Figure 3.12 (b)) indicated spherical particles with agreeable diameter of 291.67 ± 32.27 nm and 275.00 ± 25.00 nm, respectively. Finally, for the APCur-Cin-PV(OH), milky orange suspension (Figure 3.10 (c)) was obtained upon the dialysis. Analysis of the suspension by SEM (Figure 3.13 (a)) and TEM (Figure 3.13 (b)) indicated spherical particles with agreeable diameter of 304.00 ± 13.47 nm and 300.00 ± 25.00 nm, respectively.



Figure 3.10 Suspension of (a) AP-Cur-PV(OH), (b) AP-Cin-PV(OH) and (c) APCur-Cin-PV(OH)



Figure 3.11 SEM (**a**) and TEM (**b**) photographs (at 10,000x magnification) of AP-Cur-PV(OH) prepared by dialysis at the initial PV(OH) and AP concentrations of 3,000 ppm and 1,500 ppm, respectively.



Figure 3.12 SEM (**a**) and TEM (**b**) photographs (at 10,000x magnification) of AP-Cin-PV(OH) prepared by dialysis at the initial PV(OH) and AP concentrations of 3,000 ppm and 1,500 ppm, respectively.



Figure 3.13 SEM (**a**) and TEM (**b**) photographs (at 10,000x magnification) of APCur-Cin-PV(OH) prepared by dialysis at the initial PV(OH) and AP concentrations of 3,000 ppm and 1,500 ppm, respectively.

It was speculated that when DMF was displaced by water, the hydrophobic groups (methylene, cinnnamoyl and curcumin moieties) were directed to the inside of the sphere, while the hydrophilic domains of the PV(OH) backbone (hydroxyl groups) arranged themselves at the outer surface of the sphere to have maximal interaction with the hydrophilic water molecules,

leading to spontaneous particle formation. In a presence of AP, a hydrophobic form of vitamin C, AP was directed to the hydrophobic core of the sphere through van der Waal force. The result showed that morphology of three nanoparticles were spherical. The dry particle size (from SEM) is around 269-304 nm and the particle size obtained from TEM is around 259-300 nm. Moreover, the particle size of APCur-Cin-PV(OH) is bigger than AP-Cin-PV(OH) and AP-Cur-PV(OH), respectively (Table 3.2). The diameter of the particles increased with concentration of actives/polymer used during the encapsulation process and loading of the particles.

AP-encapsulated Particle size distribut		Particle size distribution	
polymeric nanoparticles	from SEM (nm)	from TEM (nm)	
Cur-PV(OH)	269.84 ± 19.44	259.44 ± 16.90	
Cin-PV(OH)	291.67 ± 32.27	275.00 ± 25.00	
Cin-PV(OH) + encapsulated curcumin	300.00 ± 25.00	304.75 ± 13.47	

Table 3.2 Particle size of AP (or together with curucmin)-encapsulated polymeric nanoparticles

3.3 Encapsulation efficiency and loading

The encapsulation efficiency and loading were evaluated by measuring amount of AP which was loaded in the particles. The amounts of AP incorporated into the polymeric nanoparticles and in the dialysate water were determined using HPLC equipped with UV detector at 254 nm, with the aid of a calibration curve. Dialysate-water was subjected to HPLC analysis directly, while the freeze-dried nanoparticles were dissolved in methanol and filtered through the membrane with molecular weight cut-off 10,000, prior to the analysis. The encapsulation efficiency (EE) and loading capacity were calculated as follows:

% Encapsulation efficiency (%EE) =
$$\frac{Weight of encapsulated AP \times 100}{Weight of AP used}$$
 (1)

% Loading
$$= \frac{Weight of encapsulated AP \times 100}{Weight of polymer used}$$
(2)

<u>HPLC condition</u>: The stationary phase column was C-18 reverse phase and the mobile phase was methanol : acetonitrile : 0.02 M phosphate buffer pH 2.5 (75 : 10 : 15, v/v). UV detection was at 254 nm; injection volume 20 μ L and flow rate 1.5 mL/min. Calibration curve was created from a series of AP solutions freshly prepared in methanol at concentrations 100, 200, 400, 600, and 1,000 ppm. The obtained calibration curve was linear (Figure A1, Appendix A). The result indicated that retention time of AP solutions were between 6.2 to 6.5 min. (Figure A2-A6, Appendix A). Encapsulation efficiency and loading are shown in Table 3.3 (calculation of %EE and %loading showed in Appendix A)

Table 3.3 % loading and % encapsulation efficiency (%EE) of AP-encapsulated particles.

Type of carrier systems	% Loading of AP	% EE of AP	% Curcumin
	(±SD)	(±SD)	(±SD)
Cur-PV(OH)	29.00 ± 0.32	80.85 ± 0.16	28.43
Cin-PV(OH) + encapsulated	19.43 ± 0.23	62.50 ± 0.11	19.02 ± 0.21
curcumin			$(\% EE = 62.0 \pm 0.09)$
Cin-PV(OH)	27.32 ± 0.52	75.94 ± 0.28	-

The results showed that Cur-PV(OH) gave maximum loading and AP maximum encapsulation efficiency of AP. We speculated that Cur-PV(OH) nanoparticles were more hydrophobic than Cin-PV(OH). So, Cur-PV(OH) might entrap AP and form spheres more quickly than Cin-PV(OH) did. The ¹H-NMR spectrum indicated 28.43 g of curcumin moieties per 100 g of the material. Whereas the loading of curcumin in the Cin-PV(OH) particles was 19.02 ± 0.21 g per 100 g of the material.

3.4 Stability of the AP-encapsulated

In this research, the stability of AP-Cur-PV(OH), APCur-Cin-PV(OH), AP-Cin-PV(OH) and free-AP were studied in two forms, freeze-dried form and suspension form in water, under light and light-proof condition. In freeze-dried forms, AP under light-proof condition is more stable than AP under light condition because the increasing of reactive oxygen species (ROS) was resulted from light activation that can cause the AP oxidized. Moreover, AP-Cur-PV(OH) is the most stable because it possess antioxidative properties from curcumin to scavenge the free radical before contact with AP. Follow by, APCur-Cin-PV(OH) because curcumin is separated with AP in nanospheres that ROS more easier to oxidize AP than in AP-Cur-PV(OH). Next, AP-Cin-PV(OH) and free-AP are less stable, respectively. In suspension forms, AP was degraded faster than in freeze-dried form. This is a result from AP more chance to react with hydroxyl radical in water.



Figure 3.14 Content of AP-encapsulated particles after 2 months storage at room temperature (a) in normal light and (b) in light-proof condition of

→ AP-Cur-PV(OH),→ APCur- Cin-PV(OH),

$$\rightarrow$$
 AP-Cin-PV(OH), and



Figure 3.15 Content of AP-encapsulated particles for 24 h storage at room temperature (a) in normal light and (b) in light-proof condition of

- --- AP-Cur-PV(OH),
- → APCur-Cin-PV(OH),
- ----- AP-Cin-PV(OH), and

3.5 Ex vivo skin penetration by using confocal laser scanning fluorescence microscopy (CLFM)

Ex vivo studies of the penetration of AP-Cur-PV(OH) and the AP release from the penetrated particles were carried out using fresh six month-old pig skin pieces. The experiment was based on the different fluorescent spectra of AP and of curcumin which enables the use of confocal laser scanning fluorescence microscope (CLFM) to locate the location of AP and Cur-PV(OH) in the skin tissue (figure 3.16(a)). The result showed that the AP-Cur-PV(OH) could penetrate into the skin tissue *via* hair follicle (figure 3.16(c) and (d)). Because the fluorescence signals from Cur-PV(OH) and from AP were not always at the same position (figure 3.16(d)). It was speculated that AP could be released from Cur-PV(OH).






Figure 3.16 The confocal laser scanning fluorescence microscopy image showing (**a**) skin penetration of AP-loaded Cur-PV(OH) nanoparticles in hair follicle; (**b**) unresolved fluorescent image of the porcine ear skin at ~40 μ m depth for the stratum corneum surface, for 30 mins after the AP-loaded Cur-PV(OH) nanoparticles suspension was applied; (**c**) superimposed image of the AP (green), Cur-PV(OH) nanoparticles (red) and skin tissue (grey); (d) fluorescent spectrum of Cur-PV(OH) (red), AP (green), and skin tissue (grey).

3.6 DPPH' free radical scavenging assay activity

The antioxidant activity of free curcumin and Cur-PV(OH) nanoparticles were studied defined as free-radical scavenging activity with stable, non-biological implicate radical was expressed as BHT equivalents. As it was determined, the antioxidant activity is equal to the 1.0 mM concentration of a BHT solution having the antioxidant capacity equivalent to a 1.0 mM solution of the substance under investigation. The results of the antioxidant activity provided by scavenging activity of DPPH solution were based on the reduction in the absorbance of the DPPH solution at 515 nm.

Table 3.4	%	Inhibition	of DPPH	of 3	substances.
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Substance	% Inhibition
BHT	13.11 ± 0.03
Free-curcumin	4.57 ± 0.20
Cur-PV(OH)	2.19 ± 0.10

From Table 3.4, the results for the antioxidant activity of curcumin against Cur-PV(OH) by BHT as a positive control showed that the ability as antioxidant of Cur-PV(OH) was reduced to half when compared with free-curcumin. Since one hydroxyl group on an aromatic ring of curcumin was grafted on polymer.

From finding the % weight of curcumin from Cur-PV(OH) and Cin-PV(OH), showed that weight of curcumin in Cur-PV(OH) was higher than APCur-Cin-PV(OH). However, from the result of grafting one phenyl group of glutarylcurcumin on polymer lead to reduce antioxidant properties of curcumin into a half. Then, we assumed that % weight of curcumin is the same equivalent.

CHAPTER IV

CONCLUSION

In this work, two polymeric nanoparticles were made, one with atioxidative property and the other with no such activity. The antioxidative nanocarriers were made from Cur-PV(OH) while the carriers with no antioxidative activity were made from Cin-PV(OH). Cur-PV(OH) which was synthesized by grafting the glutarylcurcumin onto the poly(vinyl alcohol) chains using esterification reaction. The substitution degree of curcumin on the PV(OH) chain was 5.9%. Cin-PV(OH) which was synthesized by grafting the cinnamoyl chloride onto the poly(vinyl alcohol) chains using esterification reaction. The substitution degree of Cur-PV(OH) chain was 17.69%. We conclude that antioxidative nanoparticles of Cur-PV(OH) have the best effective encapsulation and stabilization of ascorbyl palmitate (AP), %EE = 29.00 ± 0.32 and %loading = 80.85 ± 0.16 , followed by Cin-PV(OH) + curcuminencapsulated, %EE = 19.43 ± 0.23 and %loading = 62.50 ± 0.11, and Cin-PV(OH), %EE = 27.32 ± 0.52 and %loading = 75.94 ± 0.28 , respectively. The particle sizes from SEM and TEM of AP-Cur-PV(OH) are 269.84 ± 19.44 and 259.44 ± 16.90 , respectively. The stability of AP-Cur-PV(OH), AP-Cin-PV(OH) and APCur-Cin-PV(OH) were studied into two forms, suspension and freeze-dried, showed that AP-Cur-PV(OH) is the most stable. In freeze-dried form is more stable than in suspension form. Moreover, in light-proof condition, AP is more stable than in light condition. Ex vivo skin penetration studied of AP-Cur-PV(OH) by confocal laser scanning fluorescence microscopy (CLFM) showed that AP can penetrated into the skin. %Inhibition of Cur-PV(OH) by using DPPH' free radical scavenging activity assay is 2.19 ± 0.10 . The resulted showed that Cur-PV(OH) has an antioxidant properties when compared with free-curcumin.

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APPENDIX

APPENDIX

Encapsulation efficiency and loading of ascorbyl palmitate loaded into curcumingrafted PV(OH) and cinnamate-grafted PV(OH) nanoparticles



Calibration curve of ascorbyl palmitate

Figure A.1 Calibration curve of ascorbyl palmitate (AP) in methanol solution



Figure A.2 Retention time and area of ascorbyl palmitate (AP) at 1,000 ppm



Figure A.3 Retention time and area of ascorbyl palmitate (AP) at 600 ppm



Figure A.4 Retention time and area of ascorbyl palmitate (AP) at 400 ppm



Figure A.5 Retention time and area of ascorbyl palmitate (AP) at 200 ppm



Figure A.6 Retention time and area of ascorbyl palmitate (AP) at 100 ppm

1) AP-encapsulated into curcumin-grafted PV(OH) nanoparticles

From the equation of calibration curve;

Y =
$$20793X - (2 \times 10^7), R^2 = 0.998$$
 (1)

1.1) Content of ascorbyl palmitate

The amount of ascorbyl palmitate loaded into curcumin-grafted PV(OH) nanoparticles was calculated by equation (1);

$$3846 = 20793X - (2 \times 10^7)$$

X = 86.249

Volume of the product 5 mL gave amount of ascorbyl palmitate (AP) in curcumingrafted PV(OH) nanocarriers 86.249 ppm

Product volumetric 1,000 ml gave content of AP = 86.249 mg. Product volumetric 34 ml gave content of AP = $\frac{86.249}{1,000} \times 34 = 2.932$ mg.

% encapsulation efficiency (%EE) =
$$\frac{\text{(weight of encapsulated AP)}}{\text{(weight of AP used)}} \times 100$$
$$= [(15.3-2.932) / 15.3] \times 100$$
$$= \underline{80.84} \%$$

% loading
$$= \frac{\text{(weight of encapsulated AP)}}{\text{(weight of polymer used)}} \times 100$$
$$= [(15.3-2.932) / (12.37+30.3)] \times 100$$
$$= 28.98 \%$$

1.2) Content of curcumin

The amount of curcumin that grafted on PV(OH) was calculated from peak area of ¹H-NMR spectrum between 1.22-1.74 ppm (-CH₂-CH-OH-)_m-(CH₂-CH-O-C=O-CH₃)_n-(CH₂-CH-C=O-R-)_o, br, 2H) of PV(OH) backbone and 6.02-6.14 ppm (-C=O-CH₂-C=O-, 2H) of curcumin.

$$g = (-C=O-CH_2-C=O-) \text{ of curcumin}$$

$$a = (-CH_2-CH-OH-)_m-(CH_2-CH-O-C=O-CH_3)_n-(CH_2-CH-C=O-R-)_o \text{ of }$$

PV(OH)

backbone

g	:	а
<u>0.07</u>	:	<u>1.18</u>
2		2
0.035	:	0.59
0.059	:	1

So, degree of substitution of curcumin that grafted on PV(OH) is 0.059

But, we used PV(OH) 88% deacetylated



Content of curcumin (g) was calculated from;

76.43

= 0.2843 g. curcumin

So, Percentage of curcumin equal $0.2843 \times 100 = 28.43$ % curcumin

2) AP-encapsulated cinnamate-grafted PV(OH) nanoparticles

From the equation of calibration curve;

Y = $20793X - (2 \times 10^7), R^2 = 0.998$ (1)

The amount of ascorbyl palmitate loaded into cinnamate-grafted PV(OH) nanocarriers was calculated by equation (1);

 $54130 = 20793X - (2 \times 10^7)$ X = 86.501

Volume of the product 5 ml gave amount of ascorbyl palmitate (AP) in cinnamategrafted PV(OH) nanocarriers 86.501 ppm

ppm \longrightarrow mg / 1,000 mL So, 86.501 ppm = 86.501 mg / 1,000 mL Product volumetric 1,000 mL gave content of AP = 86.501 mg. Product volumetric 42 mL gave content of AP = $\frac{86.501}{1,000} \times 42 = 3.633$ mg.

% encapsulation efficiency (%EE) =
$$\frac{\text{(weight of encapsulated AP)}}{\text{(weight of AP used)}} \times 100$$
$$= [(15.1-3.633) / 15.1] \times 100$$
$$= \underline{75.94} \%$$

% loading

$$= \frac{\text{(weight of encapsulated AP)}}{\text{(weight of polymer used)}} \times 100$$

$$= [(15.1-3.633) / (11.47+30.5)] \times 100$$

$$= 27.32 \%$$

3) <u>AP (together with curcumin)-encapsulated cinnamate-grafted PV(OH) nanoparticles</u> From the equation of calibration curve;

Y =
$$20793X - (2 \times 10^7), R^2 = 0.998$$
 (1)

3.1) Content of ascorbyl palmitate

The amount of ascorbyl palmitate (AP) loaded into cinnamate-grafted PV(OH) nanoparticles was calculated by equation (1);

$$10127 = 20793X - (2 \times 10^7)$$

X = 86.281

Volume of the product 5 ml gave amount of ascorbyl palmitate (AP) in cinnamategrafted PV(OH) nanocarriers 86.281 ppm

ppm \longrightarrow mg / 1,000 ml So, 86.281 ppm = 86.281 mg / 1,000 ml Product volumetric 1,000 mL gave content of AP = 86.281 mg. Product volumetric 42 mL gave content of AP = $\frac{86.281}{1,000} \times 66.5 = 5.738$ mg.

% encapsulation efficiency (%EE) =
$$\frac{\text{(weight of encapsulated AP)}}{\text{(weight of AP used)}} \times 100$$
$$= [(15.3-5.738) / 15.3] \times 100$$
$$= \underline{62.50} \%$$

% loading
$$= \frac{\text{(weight of encapsulated AP)}}{\text{(weight of polymer used)}} \times 100$$
$$= [(15.3-5.738) / (9.56+9.36+30.3)] \times 100$$

= <u>19.43</u> %

3.2) Content of curcumin

The amount of ascorbyl palmitate loaded into cinnamate-grafted PV(OH) nanocarriers was calculated by equation (1);

9833 = $20793X - (2 \times 10^7)$ X = 86.279

Volume of the product 5 mL gave amount of curcumin in cinnamate-grafted PV(OH) nanocarriers 86.279 ppm

ppm \longrightarrow mg / 1,000 mL So, 86.279 ppm = 86.279 mg / 1,000 mL

Product volumetric 1,000 mL gave content of AP = 86.279 mg. Product volumetric 42 mL gave content of AP = $\frac{86.279}{1,000} \times 66.5 = 5.737$ mg.

% encapsulation efficiency (%EE) = $\frac{[weight of encapsulated curcumin]}{(weight of curcumin used)} \times 100$ = $[(15.1-5.737) / 15.1] \times 100$ = $\frac{62.01\%}{6}$ % loading = $\frac{[weight of encapsulated curcumin]}{(weight of polymer used)} \times 100$ = $[(15.1-5.737) / (9.56+9.36+30.3)] \times 100$ = 19.02%

VITAE

Ms. Sirinapa Janesirisakule was born on January 29th, 1985 in Bangkok, Thailand. She obtained a Bachelor's Degree of Science in Chemistry from Chulalongkorn University in 2004. After that, Miss Janesirisakule started her master study in the Program of Petrochemical and Polymer Science at Chulalongkorn University. During her study Miss Janesirisakule contributed academically to the 14th Asian Chemical Congress 2011 (14ACC) via a research presentation entitled "Co-encapsulation of ascorbyl palmitate and curcumin"

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