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APPENDICES

Appendix A Supporting Synthesis for Chapter III

Synthesis of mPEG-COOH

The reaction was carried out as reported by Yoksan et al. (Yoksan *et al.*, 2003) In brief, mPEG ($M_n = 5000$ Da, 20 g, 4.0 mmol, 1.0 eq.) was reacted with succinic anhydride (0.48 g, 4.8 mmol, 1.2 eq.) overnight at 60°C in the presence of a catalytic amount of pyridine. The solution obtained was concentrated and precipitated in diethyl ether before drying *in vacuo* to obtain mPEG-COOH (20.15 g, 3.95 mmol, 98%).

mPEG-COOH: FT-IR (ZnSe, cm⁻¹); 3503 (OH), 2864 (C-H stretching), 1733 (C=O), and 1112 (C-O-C). ¹H NMR (δ , ppm); 2.56 (COC H_2CH_2CO) and 3.28 ppm for (C H_3).

In vitro cytotoxicity by MTT assay

After the cells were incubated with the products. 20 μ L of MTT-solution (5 mg MTT/mL phosphate-buffered saline) (Invitrogen), was added to each well, followed by 4 h incubation in darkness. All wells were then aspirated, and 100 μ L acid isopropanol (4% 1 mol/L HCl in 2-isopropanol) was added. The spectrophotometric absorbance at 570 nm was then measured using an ELx808 absorbance microplate reader (BioTek Instruments). The mean absorbance values corrected for a blank (media only) were calculated as percentages of control. The same procedures were repeated with the samples without cells as a blank. The experiments were run in triplicate (n=3).

Allergen detection by Elisa

The entrapped- and released- allergen contents were measured by collecting supernatant. 96-well plates were coated with the supernatant (50 μ L/well) and incubated overnight at 4°C. Plates were blocked with 2% BSA in washing buffer and incubated 2 h at 37 °C. Duck polyclonal IgY was diluted (1:200), placed in wells, and incubated 2 h at 37 °C. (HRP)-conjugated duck polyclonal IgY was placed in wells, and incubated 2 h at 37 °C. Every time after incubating the mixture, the wells were decanted and washed with 400 μ L of washing buffer, in total 4 times. To

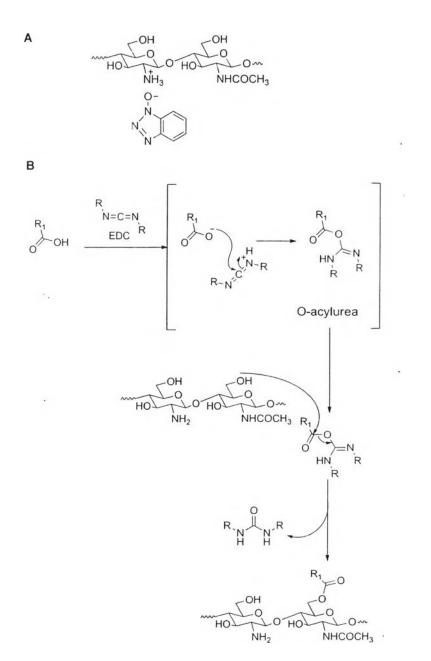
observe the color of mixture, a 3,3',5,5'-tetramethylbenzidine (TMB) substrate solution was added and incubated 1 h at 37° C before finishing the reaction with 50 μ L 1 M H₂SO₄. The optical density at 450 nm was determined by Thermo Scientific Multiskan FC Microplate reader visible spectroscopy.

In vitro release

The *in vitro* releases of allergen were studied in model media, i.e. phosphate buffer saline (PBS, pH 7.4), citric acid/trisodium citate buffer (pH 5.2), and tris buffer (pH 8). The allergen-entrapped CS-Phe1.0-mPEG0.3 (1 mg) and media (1 mL) were placed in a microtube and incubated at 37°C. The incubated mixture was centrifuged before collecting the supernatant (200 μ L) to determine the released allergen content. An equal volume of fresh media was added to the mixture, and the whole procedure was repeated for the subsequent sampling. The released allergen content was evaluated by the enzyme-linked immunosorbent assay or ELISA (see supporting experiment S3).

Appendix B Supporting Structural Characterization for Chapter III

Scheme B1. (A) water soluble chitosan coming from complexation between $-NH_2$ of chitosan and HOBt, (B) mechanism of ester linkage by using EDC conjugating agent.



	Integral ratio		
Sample	Amine/std	Amide11/std	Ester/std
CS	12.2	0.0	0.0
CS-Phe1.0	3.8	3.4	0.7
CS-Phe3.0	3.5	1.2	0.2
1S-CS-Phe0.5-mPEG0.3	1.4	7.9	3.8
1S-CS-Phe1.0-mPEG0.3	1.4	7.4	3.2
1S-CS-Phe1.5-mPEG0.3	2.9	6.7	1.5
1S-CS-Phe2.0-mPEG0.3	5.2	5.1	1.4
1S-CS-Phe3.0-mPEG0.3	6.7	5.0	1.3
2S-CS-Phe1.0-mPEG0.3	1.6	7.2	2.9
2S-CS-Phe3.0-mPEG0.3	2.3	6.6	1.4
CS-mPEG0.3	1.6	4.6	2.2

Table B1 Integral ratio of chitosan and chitosan derivatives by FT-IR curve fitting

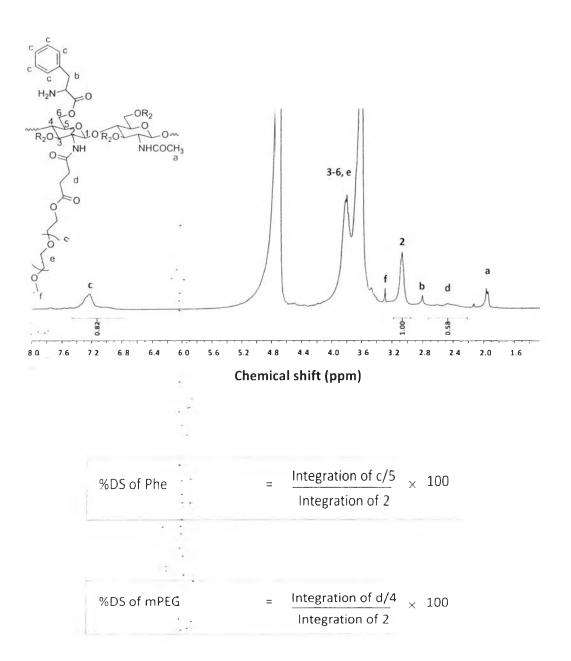
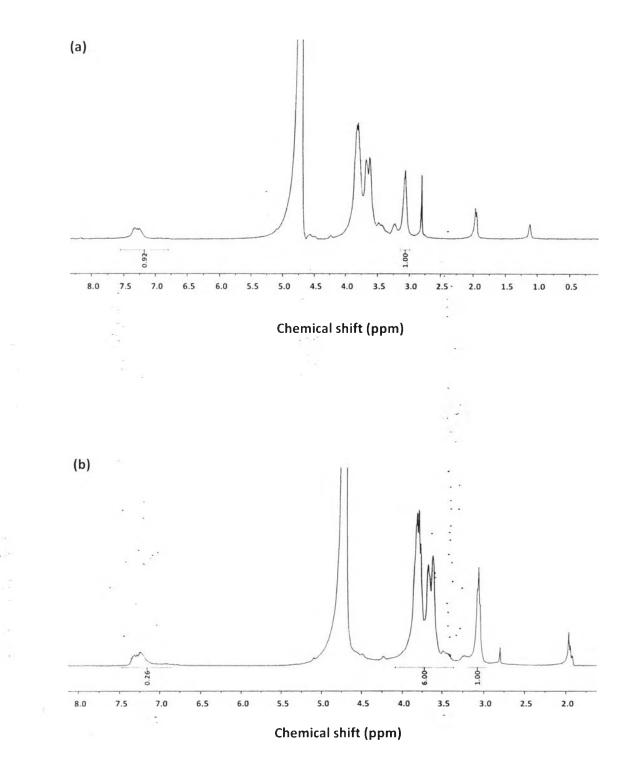
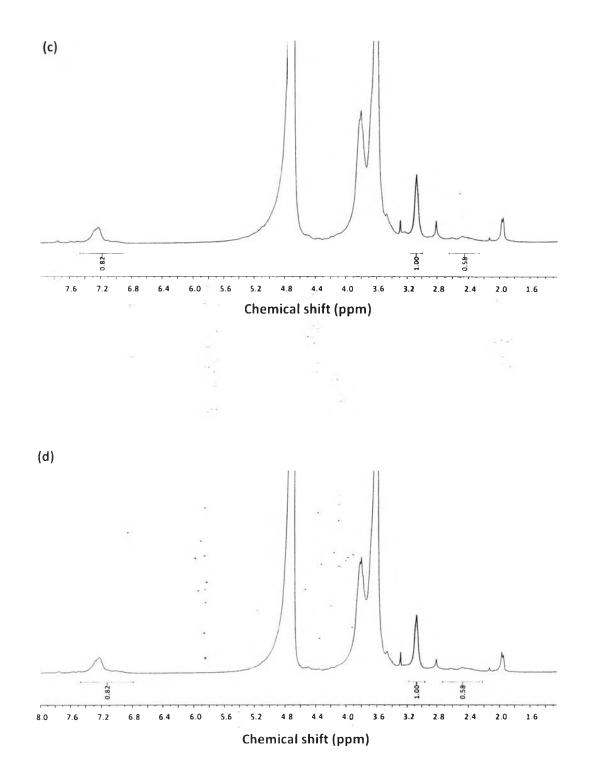


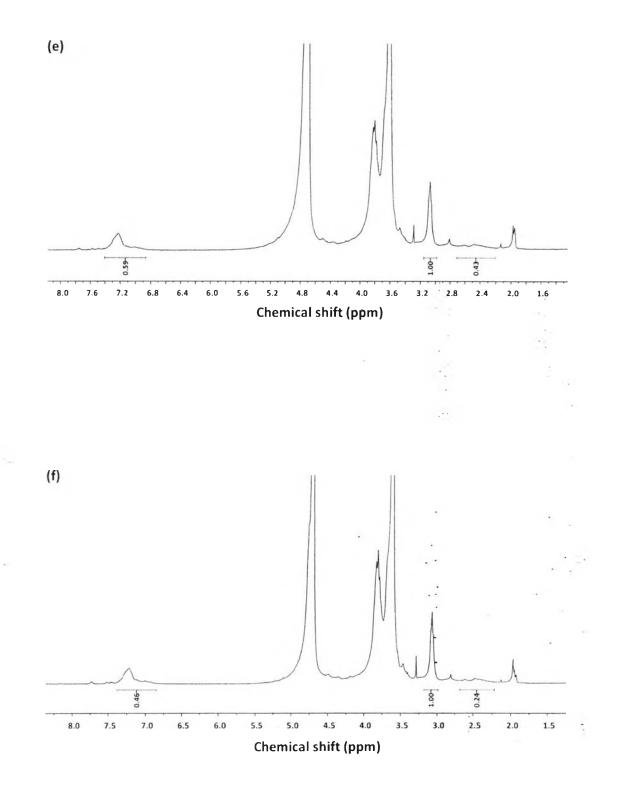
Figure B1. Determination of degree of substitution (%DS) of phenylalanine (Phe) and poly(ethylene glycol)methyl ether (mPEG) by ¹H NMR.





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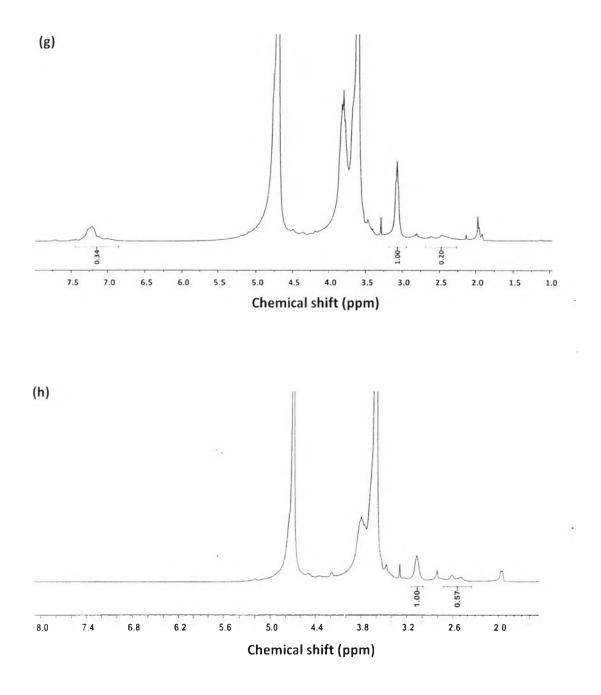


Figure B2. ¹H-NMR spectra of (a) CS-Phe1.0, (b) CS-Phe3.0, (c) 1S-CS-Phe0.5-mPEG0.3, (d) 1S-CS-Phe1.0-mPEG0.3, (e) 1S-CS-Phe1.5-mPEG0.3, (f) 1S-CS-Phe2.0-mPEG0.3, (g) 1S-CS-Phe3.0-mPEG0.3, (h) CS-mPEG0.3 in 2% CD₃COOD/D₂O.

	%DS		
Samples	Phe	mPEG	
CS	-	-	
CS-Phe1.0	17.9 ± 0.5	-	
CS-Phe3.0	5.5 ± 0.4	-	
1S-CS-Phe0.5-mPEG0.3	15.7 ± 1.1	13.8 ± 0.7	
1S-CS-Phe1.0-mPEG0.3	15.2 ± 1.1	13.4 ± 0.7	
1S-CS-Phe1.5-mPEG0.3	12.0 ± 0.7	9.1 ± 0.9	
1S-CS-Phe2.0-mPEG0.3	9.0 ± 0.2	5.6 ± 0.9	
1S-CS-Phe3.0-mPEG0.3	6.2 ± 0.5	4.7 ± 0.7	
2S-CS-Phe1.0-mPEG0.3	16.9 ± 0.6	11.3 ± 0.3	
2S-CS-Phe3.0-mPEG0.3	5.1 ± 0.1	13.2 ± 0.9	
CS-mPEG0.3	-	14.9 ± 1.3	

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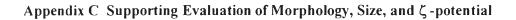
Table B2Degree of substitution (%DS) of Phe and mPEG-COOH of chitosanderivatives as identified by ¹H-NMR

CS: Anal. Calcd for (C₆H₁₁O₄N)_{0.92}(C₈H₁₃O₅N)_{0.08} (%): C, 44.97; H, 6.79; and N, 8.51. Found (%): C, 38.85; H, 67.73; and N, 7.35. $\textbf{CS-Phe1.0:} \ \text{Anal. Calcd for} \ (C_6H_{11}O_4N)_{0\ 85}(C_8H_{13}O_5N)_{0\ 08}(C_{15}H_{20}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ 46.65; \ H, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ C, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ C, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C, \ C, \ C_{15}H_{10}O_5N_2)_{0\ 07} \ (\%): \ C_{15}H_{10}O_5N_2)_{0\$ 7.26; and N, 8.58. Found (%): C, 41.95; H, 7.08; and N, 8.36. **CS-Phe3.0**: Anal. Calcd for $(C_6H_{11}O_4N)_{0.90}(C_8H_{13}O_5N)_{0.08}(C_{15}H_{20}O_5N_2)_{0.02}$ (%): C, 45.48; H, 6.78; and N, 8.54. Found (%): C, 45.06; H, 6.74; and N, 8.46. 1S-CS-Phe0.5-mPEG0.3: Anal. Calcd for $(C_{6}H_{11}O_{4}N)_{0\ 6}(C_{8}H_{13}O_{5}N)_{0\ 05}(C_{15}H_{20}O_{5}N_{2})_{0\ 06}\ (C_{239}H_{471}O_{121}N_{1})_{0\ 03}\ (\%):\ C,\ 50.07;\ H,\ 7.77;\ \text{and}\ N,$ 4.70. Found (%): C, 44.50; H, 8.66; and N, 5.25. 1S-CS-Phe1.0-mPEG0.3: Anal. Calcd for $(C_{6}H_{11}O_{4}N)_{0.65}(C_{8}H_{13}O_{5}N)_{0.07}(C_{15}H_{20}O_{5}N_{2})_{0.05} \ (C_{239}H_{471}O_{121}N_{1})_{0.03} \ (\%): \ C, \ 59.89; \ H, \ 7.76; \ and \ N,$ 4.76. Found (%): C, 44.29; H, 8.78; and N, 5.38. 1S-CS-Phe1.5-mPEG0.3: Anal. Calcd for $(C_{6}H_{11}O_{4}N)_{0\; EB}(C_{8}H_{13}O_{5}N)_{0\; 07}(C_{15}H_{20}O_{5}N_{2})_{0\; 03}(C_{239}H_{471}O_{121}N_{1})_{0\; 02} \ (\%): \ C, \ 49.40; \ H, \ 7.74; \ and \ N,$ 4.85. Found (%): C, 44.78; H, 8.02; and N, 5.03. 1S-CS-Phe2.0-mPEG0.3: Anal. Calcd for 5.11. Found (%): C, 49.01; H, 7.66; and N, 5.09 1S-CS-Phe3.0-mPEG0.3: Anal. Calcd for $(C_6H_{11}O_4N)_{0.20}(C_8H_{13}O_5N)_{0.07}(C_{15}H_{20}O_5N_2)_{0.01}(C_{239}H_{471}O_{121}N_1)_{0.02}$ (%): C, 48.65; H, 7.59; and N, 5.41. Found (%): C, 48.49; H, 7.54; and N, 5.41. 2S-CS-Phe1.0-mPEG0.3: Anal. Calcd for 4.86. Found (%): C, 49.92; H, 7.72; and N, 4.82. 2S-CS-Phe3.0-mPEG0.3: Anal. Calcd for 4.44. Found (%): C, 49.70; H, 7.84; and N, 4.46. **CS-mPEG0.05**: Anal. Calcd for $(C_6H_{11}O_4N)_{0.91}(C_8H_{13}O_5N)_{0.08}(C_{239}H_{471}O_{121}N_1)_{0.01}$ (%): C, 47.23; H, 7.31; and N, 6.50. Found (%): C, 40.57; H, 7.69; and N, 6.94 $\textbf{CS-mPEG0.3: Anal. Calcd for (C_{6}H_{11}O_{4}N)_{0.88}^{*}(C_{8}H_{13}O_{5}N)_{0.08}} \ (C_{239}H_{471}O_{121}N_{1})_{0.04} \ (\%): C, \ 50.71;$ H. 8.14; and N. 3.34. Found (%): C, 46.61; H, 9.22; and N, 3.81.

Figure B3. Elemental Analysis of various types of chitosans.

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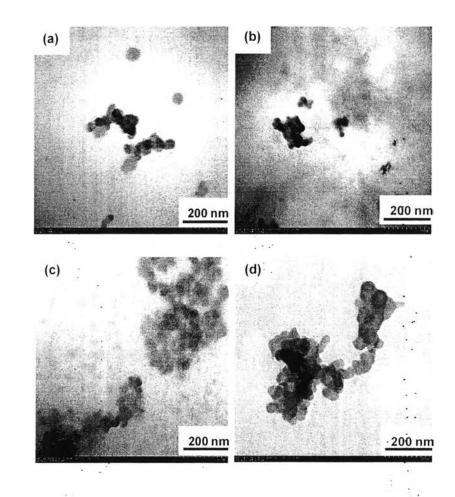


Figure C1. TEM micrographs of (a) 1S-CS-Phe0.5-mPEG0.3, (b) 1S-CS-Phe1.5-mPEG0.3, (c) 1S-CS-Phe2.0-mPEG0.3, and (d) 1S-CS-Phe3.0-mPEG0.3.

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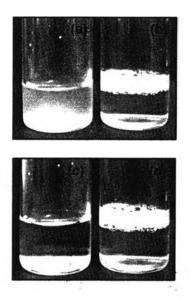
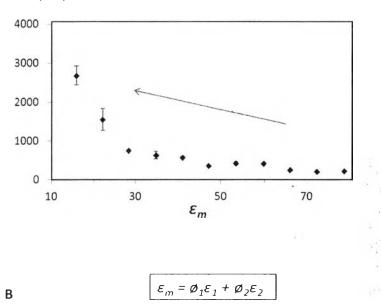


Figure C2. Appearances of CS after stirring (a) 0 day, (c) 1 day, and 1S-CS-Phe1.0mPEG0.3 after stirring (b) 0 day, (d) 1 day. The samples were dispersed in deionized water with the concentration 1 mg/mL.



Α

Size (nm)



 ϵ_m = dielectric constants of the binary mixture of solvents

 ϵ_1 = dielectric constants of 2-propanol

 ε_1 = dielectric constants water

 $Ø_1$ = volume fraction of 2-propanol

 $Ø_2$ = volume fraction of water

Figure C3. (A) size (determined by DLS) of 1S-CS-Phe1.0-mPEG0.3 (concentration 1 mg/mL) in solvents with different dielectric constants, (B) determination of dielectric constants of the binary mixture of solvents (Jouyban *et al.*, 2004).

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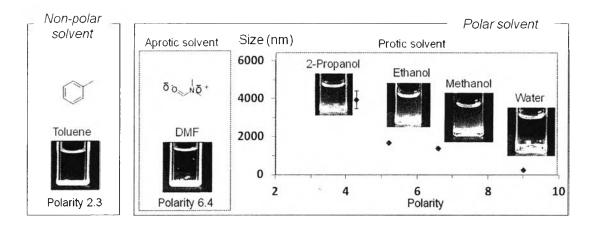


Figure C4. Appearance and average diameter size (determined by DLS) of 1S-CS-Phe1.0-mPEG0.3 in different types of solvents.

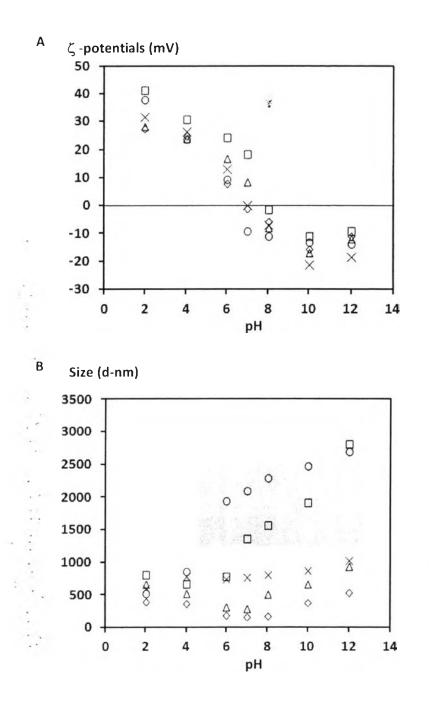


Figure C5. (A) ζ -potentials and (B) size of (\circ) CS, (\Box) CS-Phe1.0, (\diamond) CS-mPEG0.3, (Δ) 1S-CS-Phe1.0-mPEG0.3, and (\times) 1S-CS-Phe3.0-mPEG0.3 at pH 2-12 (concentration of products: 1 mg/mL) in HCI/NaOH solution. Results are means ± SD (n=3).

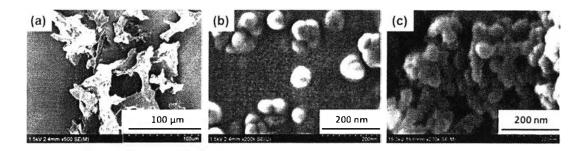


Figure C6. SEM micrographs of (a) CS, (b) 1S-CS-Phe1.0-mPEG0.3, and (c) 2S-CS-Phe1.0-mPEG0.3.

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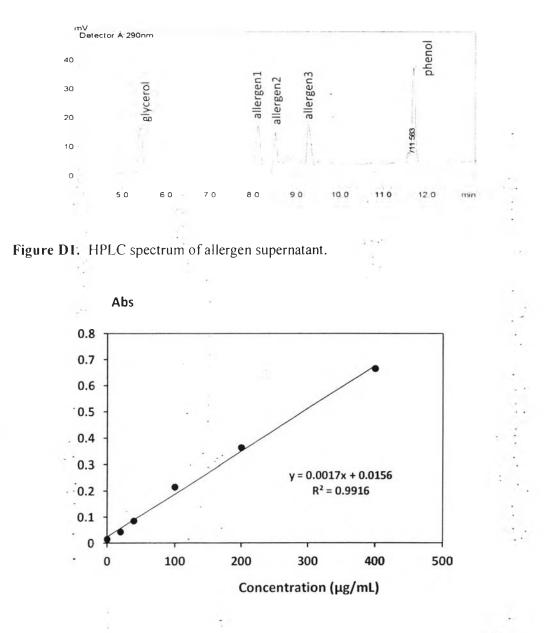


Figure D2. Standard curve of Bovine serum albumin (BSA) by Bradford Assay in order to determine the crude allergen concentration.

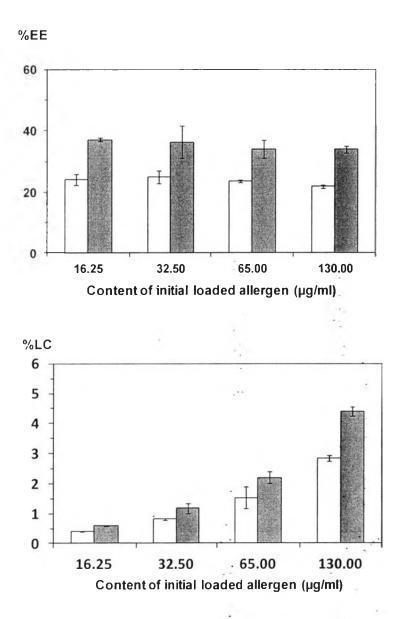
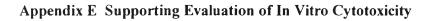


Figure D3. %EE and %LC of (\square) CS and (\square) 1S-CS-Phe1.0-mPEG0.3 (concentration 1 mg/mL) at different contents of initial loaded allergen in DI water adjusted to pH 6.0 by HCI/NaOH. The contents were determined by Elisa. Results are means \pm SD (n=3).

Scheme D1 (A) Sauebrey equation, eq. 3, (Huang *et al.*, 2000; Nishino *et al.*, 2004) for analysis of immobilized mass per surface area (Δ M), and (B) QCM spectra and calculation of immobilized 1S-CS-Phe1.0-mPEG0.3, and immobilized allergen including allergen immobilization equation.

 $\Delta F = \frac{-2 F_0^2}{A(\rho_q \mu_q)^{1/2}} \Delta M$ A (3) ΔF = Frequency change (Hz) = Fundamental frequency (Hz) F_0 = Surface area of the gold disk А = Shear modulus of quartz μ = Density of quartz $\begin{array}{lll} \rho_q & = & \text{Density of quartz} \\ \Delta M & = & \text{Immobilized mass per surface area} \end{array}$ В pH4 pH 6 pH 8 200 0 -2000 -4000 ΔF (Hz) $-\Delta F_1$ -6000 1S-CS-PheI.0-mPEG0.3 mmobilization -8000 -10000 AF2 allergen anmobikza -12000 -14000 ŝ, At pH 6 $\Delta F_{1} = \frac{-2 F_{0}^{2}}{A (\rho_{q} \mu_{q})^{1/2}} \Delta M_{1}$ $\Delta F_{2} = \frac{-2 F_{0}^{2}}{A(\rho_{q}\mu_{q})^{1/2}} \Delta M_{2}$ -2 (27²) ΔM_1 $-2837 = \frac{-2 (27^2)}{0.071 (2.648)^{1/2} (2.947 \times 10^{11})^{1/2}}$ -9115 = 0.071(2.648)^{1/2} (2.947x10¹¹)^{1/2} $= 0.394 \mu g$ ΔM_1 $\Delta M_2 = 0.122 \ \mu g$ ΔM_2 Allergen immobilization efficiency (%) = (4) × 100 ΔM <u>0.122</u> × 100 0.394

= 30.99



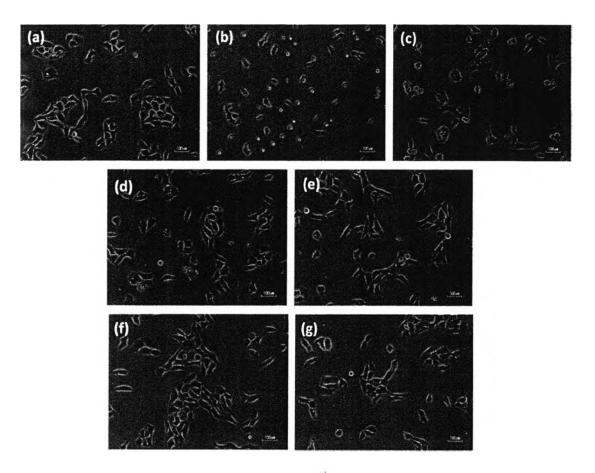


Figure E1. Optical micrographs of HaCaT cells after incubation for 24 h at 37 °C with different samples; (a) control, (b) DMSO, (c) HDM-allergen, (d) CS, (e) HDM-allergen-entrapped CS, (f) CS-Phe-mPEG, HDM-allergen-entrapped CS-Phe-mPEG.

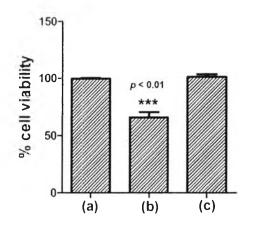
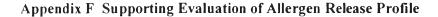


Figure E2. Cell viability of PBMC determined by Alarmar blue in the presence of (a) no treatment, (b) HDM-allergen, and (c) HDM-allergen-entrapped CS-Phe-mPEG incubated for 5 day.

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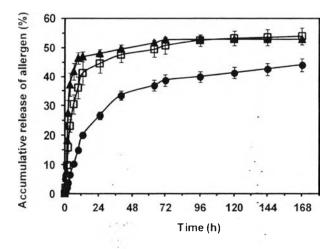


Figure F1. Release profiles of HDM-allergen-entrapped CS-Phe-mPEG in (•) Citric buffer (pH 5.2), (\Box) PBS buffer (pH 7.4), and (\blacktriangle) Tris buffer (pH 8.1). The allergen content was determined by Elisa. Results are means \pm SD (n=3).

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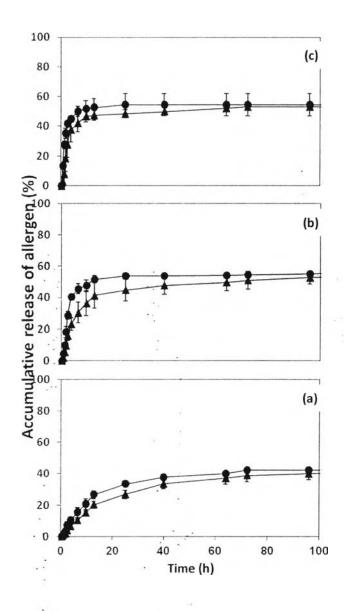


Figure F2. Release profile of (\bullet) allergen-entrapped CS and (\blacktriangle) allergen-entrapped 1S-CS-Phe1.0-mPEG0.3 in (a) Citric buffer pH 5.2, (b) PBS buffer pH 7.4, (c) Tris buffer pH 8.1. The allergen content was determined by Elisa. Results are means \pm SD (n=3).

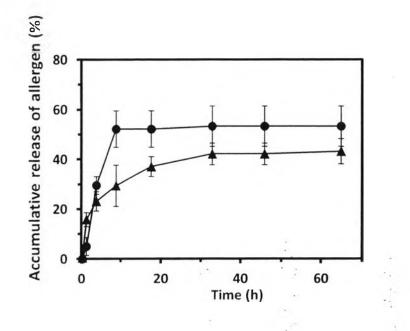
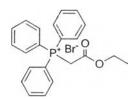


Figure F3. Accumulative release allergen of (•) HDM-allergen-entrapped CS, (\blacktriangle) HDM-allergen-entrapped CS-Phe-mPEG in DI water. The allergen content was determined by HPLC. Results are means \pm SD (n=3).

Appendix G Supporting Synthesis for Chapter IV

Oxanorbornadiene derivatives (1, 2, 3) were synthesized based on the protocols of Rutjes et al(van Berkel et al., 2007; van Berkel et al., 2008) and Dräger et al(Su *et al.*, 2010), briefly

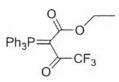
(2-Ethoxy-2-oxoethyl)triphenylphosphonium bromide, a



A mixture of ethyl 2-bromoacetate 30.2 mL (272.9 mmol, 1.1 eq.), triphenylphosphine (65 g, 248.1 mmol, 1 eq.), and catalytic amount of K1 in anhydrous toluene (500 mL) was stirred at room temperature for 2 days. After filtration, the solid was washed with toluene until colorless solid was observed. The desired product a was obtained after the solid was dried in vacuo (111.6 g, 261.3 mmol, in quantitative yield).

¹H-NMR (200 MHz, CDCl₃) δ: 7.72-7.67 (m, 15H, Ar-H), 3.98-3.92 (q, 2H, CH₂), 0.90-0.87 (t, 3H, CH₃) ppm.

Ethyl 4, 4, 4-trifluoro-3-oxo-2-(triphenylphosphoranylidene)butanoate, b

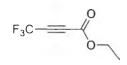


a (100 g, 234.2 mmol, 1 eq.) was dispersed in 250 mL anhydrous THF. The slurry was cooled to 0 °C, 71.3 mL triethylamine was added and stirred for 20 min. Then, trifluoroacetic anhydride 35.8 mL (257.6 mmol, 1.1 eq.) was added dropwise to the reaction mixture and continually stirred for 1 h. The mixture was filtrated and the precipitate was washed with cold THF (three times). The filtrate was

concentrated in vacuo to obtain a yellow viscous liquid. Then, cold water was added and strongly shaken to provide a light yellow crystalline compound b. After filtration, compound b was dried in vacuo (99.6 g, 224.4 mmol, 99.8 %).

¹H-NMR (200 MHz, CDCl₃) δ: 7.79-7.68 (m, 15H, Ar-H), 3.82-3.76 (q, 2H, CH₂), 0.9-0.87 (t, 3H, CH₃) ppm.

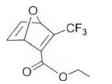
Ethyl 4,4,4-trifluorobut-2-ynoate, c



b (99.6 g, 224.3 mmol, 1 eq.) was heated to 180-230 °C at low pressure (~ 15 mbar) for 1 h. c product was collected at -70 °C as a dark yellow liquid (18.5 g, 111.1 mmol, 49.6 %).

¹H-NMR (200 MHz, CDCl₃) δ: 4.32-4.26 (q, 2H, CH₂), 1.42-1.35 (t, 3H, CH₃) ppm.

(1S,4R)-Ethyl 3-(trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2-carboxylate, d



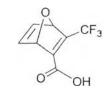
c (8.2 g, 49.5 mmol, 1 eq.) was mixed with furan 4.3 mL (4.0 g, 59.3 mmol, 1.2 eq.). The mixture was heated under microwave irradiation at 60 °C for 30 min. After the compound was concentrated in vacuo, the crude was purified by column chromatography (mobile phase: petroleum ether (PE) and ethylacetate (EtOAc) (10:1)). The resulted compound was concentrated in vacuo to provide the desirable product d as dark red viscous liquid.

 $R_{F}: 0.60$

1.

¹H-NMR (200 MHz, CDCl₃) δ: 7.34-7.30 (q, 1H), 7.25-7.21 (q, 1H), 5.75-5.71 (m, 1H), 5.70-5.68 (t, 1H), 4.34-4.27 (m, 2H), 1.33-1.31 (t, 3H) ppm.

3-Trifluoromethyl-7-oxa-bicyclo[2.2.1] hepta-2, 5-diene-2-carboxylic acid, 1

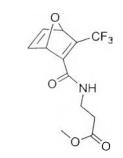


d (1.9 g, 8.1 mmol, 1 eq.) was dissolved in solvent mixture of THF (25 mL) and water (75 mL). After reaction was cooled to 0 °C, LiOH solution (20 mL, 1M) was added to obtain a pH of 8-9 and stirred for 1 h. Then, the reaction was stirred at room temperature for 2 h. The formation of 1 was traced by TLC (petroleum ether (PE)/ethylacetate (EtOAc) 10:1). To extract unreacted d, EtOAc was added, the aqueous layer was separated and acidified to pH 2-3 by HCl solution (1 M). The acidified solution was extracted by EtOAc (3×). The organic phase was collected and dried over MgSO₄. After drying in vacuo, 1 was obtained as a white solid (1.3 mg, 6.2 mmol, 77%).

 $R_F : 0.00$

¹H-NMR (200 MHz, CDCl₃) δ: 7.37-7.33 (q, 1H), 7.28-7.24 (q, 1H), 5.80-5.76 (m, 1H), 5.75-5.73 (t, 1H) ppm.

Methyl 3-((1S.4R)-3-(trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2carboxamido)propanoate, 1a

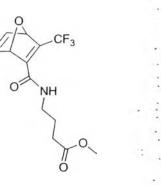


1 (1.0 g, 4.9 mmol, 1 eq.) was dissolved in dichloromethane (10 mL) and cooled to 0 °C. Then β -alanine methyl ester hydrochloride (0.8 g, 5.8 mmol, 1.2 eq.), DMAP (0.9 g, 7.2 mmol, 1.5 eq.), and EDC HCl (1.1 g, 5.8 mmol, 1.2 eq.) were added and stirred for 1 h at 0 °C and additionally at room temperature overnight. The reaction progress was monitored via TLC. After the reaction finished, saturated NaCl solution was added, the aqueous layer was separated, extracted by dichloromethane (3×), and dried over MgSO₄. The crude product 1a was purified by TLC (PE:EE 3:2). Finally, the extracted product was dried in vacuo to obtain 1a (0.7 mg, 2.5 mmol, 52%) as a green viscous liquid.

R_F: 0.55

¹H-NMR¹ (200 MHz, CDCl₃) δ: 7.33-7.29 (q, 1H), 7.24-7.20 (q, 1H), 5.67-5.65 (t, 1H), 5.56⁻⁵.53 (m, 1H), 3.67 (s, 3H), 3.32⁻³.29 (m, 2H), 2.60-2.54 (t, 2H) ppm.

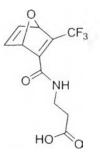
Methyl4-((1S,4R)-3-(trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2-carboxamido)butanoate, 1b



1b was obtained from oxanorbornadienyl carboxylic acid 1 and 4aminobutanoate in 60% yield according to the procedure as described in 1a. R_F : 0.55

¹H-NMR (200 MHz, CDCl₃) δ: 7.33-7.29 (q, 1H), 7.24-7.20 (q, 1H), 5.67-5.65 (t, 1H), 5.56-5.53 (m, 1H), 3.67 (s, 3H), 3.32-3.29 (m, 2H), 2.60-2.54 (t, 2H), 2.08-2.04 (m, 2H) ppm.

3-((1S,4R)-3-(Trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2carboxamido)propanoic acid, 2

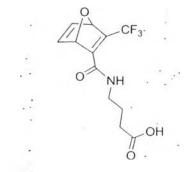


2 was obtained from acidification of 1a with a 78% yield according to the procedure as described in 1.

Rr: 0.00

¹H-NMR (200 MHz, CDCl₃) δ: 7.33-7.29 (q, 1H), 7.24-7.20 (q, 1H), 5.67-5.65 (t, 1H), 5.56-5.53 (m, 1H), 3.32-3.29 (m, 2H), 2.60-2.54 (t, 2H) ppm.

4-((1S,4R)-3-(trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2carboxamido)butanoic acid, 2b

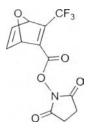


2a was obtained from acidification of 1b in 75 % yield according to the procedure as described in 1.

 $R_F{:}\;0.00$

¹H-NMR (200 MHz, CDCl₃) δ: 7.33-7.29 (q, 1H), 7.24-7.20 (q, 1H), 5.67-5.65 (t, 1H), 5.56-5.53 (m, 1H), 3.32-3.29 (m, 2H), 2.60-2.54 (t, 2H), 2.08-2.04 (m, 2H) ppm.

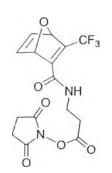
1-((1S,4R)-3-Trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2-carbonyl)pyrrolidine-2,5-dione, 3a



1 (0.1 g, 0.48 mmol, 1 eq.) was dissolved in dichloromethane and cooled to 0 °C. DCC (0.12 g, 0.58 mmol, 1.2 eq.) and NHS (0.67 g, 0.58 mmol, 1.2 eq.) were added to and stirred for 1 h. The reaction was continually stirred at room temperature overnight. The reaction progress was monitored via TLC. After finishing the reaction, the precipitate was filtered. The solvent of filtrate was removed undervacuo and purified by column chromatography (PE:EE 2:3). After drying in vacuo, 3a was obtained (0.89 g, 0.29 mmol, 60%). $R_{\rm F}$: 0.80

¹H-NMR (200 MHz, CDCl₃) δ: 7.36-7.35 (q, 1H), 7.27-7.26 (q, 1H), 5.90-5.88 (m, 1H), 5.76-5.75 (m, 1H), 2.90-2.87 (m, 2×2H) ppm.

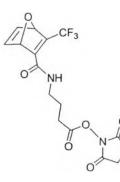
2,5-Dioxopyrrolidin-1-yl 3-((1S,4R)-3-(trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2-carboxamido)propanoate, 3b



3b was obtained from oxanorbornadienyl propanoic acid 1a and NHS in 95% yield according to the procedure as described in 3a. $R_{\rm F}$: 0.80

¹H-NMR (200 MHz, CDCl₃) δ: 7.33-7.29 (q, 1H), 7.24-7.20 (q, 1H), 5.67-5.65 (t, 1H), 5.56-5.53 (m, 1H), 3.32-3.29 (m, 2H), 2.60-2.54 (t, 2H), 2.90-2.87 (m, 2×2H) ppm.

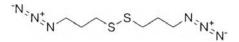
2,5-Dioxopyrrolidin-1-yl 4-((1S,4R)-3-(trifluoromethyl)-7-oxabicyclo[2.2.1]hepta-2,5-diene-2-carboxamido)butanoate, 3



3 was obtained from oxanorbornadienyl propanoic acid 1b and NHS in 60% yield according to the procedure as described in 3a. R_F : 0.80

¹H-NMR (200 MHz, CDCl₃) δ: 7.33-7.29 (q, 1H), 7.24-7.20 (q, 1H), 5.67-5.65 (t, 1H), 5.56-5.53 (m, 1H), 3.32-3.29 (m, 2H), 2.60-2.54 (t, 2H), 2.08-2.04 (m, 2H), 2.90-2.87 (m, 2×2H) ppm.

1,2-bis(3-Azidopropyl)disulfane, 5



3-Chloropropane-1-thiol (0.5 g, 4.5 mmol, 1 eq.) and sodium azide (0.4 g, 5.5 mmol, 1.2 eq.) were dissolved in DMF 20 mL, and stirred overnight at 110 °C. The color of solution was changed to yellow. After cooling down, water was added to dissolve excess of sodium azide. The crude product was extracted with diethyl ether $(3\times)$ and alternately washed by adding water. Then the organic phase was

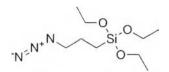
collected and dried over MgSO₄ and the solvent was removed at 40 $^{\circ}$ C 700-800 mbar to obtain 5.

¹H-NMR (200 MHz, CDCl₃) δ: 3.45-3.40 (t, 2×2H), 2.78-2.70 (t, 2×2H), 2.03-1.91 (m, 2×2H) ppm.

FT-IR: (KBr, cm⁻¹): 2915 (C-H, antisym stretching), 2095 (N=N⁺=N⁻, antisym stretching).

 $ESI : Calcd C_6H_{12}N_6S_2: 232.06; found 232.00$

(3-Azidopropyl)triethoxysilane, 6



(3-Chloropropyl)triethoxysilane (0.4 g, 1.7 mmol, 1 eq.) and sodium azide (1.3 g, 2.0 mmol, 1.2 eq.) were dissolved in DMF 20 mL, and stirred overnight at 80 °C. After cooling down, the precipitate was filtered. Then, the filtrate was washed with water and extracted by diethyl ether. The organic phase of diethyl ether was collected and dried over MgSO₄, and the solvent was removed at 40 °C 700-800 mbar to obtain 6.

¹H-NMR (200 MHz, CDCl₃) δ: 3.85-3.80 (q, 3×2H), 3.28-3.25 (t, 2H), 1.75-1.68 (m, 2H), 1.24-1.22 (t, 3×3H), 0.69-0.66 (t, 2H) ppm.

FT-IR: (KBr, cm⁻¹): 2974-2884 (C-H, antisym and sym stretching), 2093 (N=N⁺=N⁻, antisym stretching), 1077 (Si-O, antisym strething).

Chitosan functionalized 1 (CS-1)

Chitosan CS (10.0 mg, 0.06 mmol, 1 eq., MW 15 kDa) and HOBt (9.8 mg, 0.07 mmol, 1.2 eq.) were dissolved in water 10 mL. Then, CS-HOBt solution was added to 1 (37.5 mg, 0.18 mmol, 3 eq.) which was dissolved in the mixture of THF (2.5 mL) and water (7.5 mL). Then, EDC HCI (34.9 mg, 0.18 mmol, 3 eq.) and DMAP (22.2 mg, 0.18 mmol, 3 eq.) were added to the mixture. The reaction was cooled to 0 $^{\circ}$ C and stirred overnight at room temperature. The mixture was purified

by dialysis against NaCl solution followed by DI water for 3 days. After lyophilisation. CS-1 was obtained.

¹H-NMR (400 MHz, CDCl₃) δ: 7.37-7.33 (m, 2H), 5.79-5.77(m, 1H), 5.72-5.71 (m, 1H), 3.91-3.50(m, 5H from pyranose ring), 3.16-3.12(m, 1H from pyranose ring), 2.07-2.05 (m, 3H) ppm.

Chitosan functionalized 2 (CS-2)

CS-2 was obtained from 2 and CS according to the procedure as described in CS-1.

¹H-NMR (400 MHz, CDCl₃) δ: 7.37-7.33 (m, 2H), 5.85-5.84(m, 1H), 5.72-5.71 (m, 1H), 3.95-3.68(m, 5H from pyranose ring), 3.51-3.48 (m, 2H), 3.20-3.17(m, 1H from pyranose ring), 2.59-2.54 (m, 2H), 2.06-2.04 (m, 3H) ppm.

Chitosan functionalized 3 (CS-3)

CS (10.0 mg, 0.06 mmol, 1 eq., MW 15 kDa) and HOBt (9.8 mg, 0.07 mmol, 1.2 eq.) were dissolved in water 10 mL. Then, CS-HOBt solution was added in to the 3 (70.6 mg, 0.18 mmol, 3 eq.) which was dissolved in the mixture of THF (2.5 mL) and water (7.5 mL). Additionally, diisopropylethylamine (DIPEA) (23.5 mg, 0.18 mmol, 3 eq.) were added to the mixture. The reaction was cooled to 0 °C and stirred overnight at room temperature. The mixture was purified by dialysis against NaCl solution followed by DI water for 3 days. After lyophilisation, CS-3 was obtained.

¹H-NMR (400 MHz, CDCl₃) δ: 7.37-7,33 (m, 2H), 5.85-5.84(m, 1H), 5.72-5.71 (m, 1H), 3.89-3.62(m, 5H from pyranose ring), 3.31-3.29 (m, 2H), 3.17-3.13(m, 1H from pyranose ring), 2.34-2.31(m, 2H), 2.06-2.04 (m, 3H), 1.85-1.82 (m, 2H) ppm.

Chitosan functionalized A (CS-4)

CS-3 (2 mg, 0.0045 mmol, 1 eq.) was dispersed in 2 % CD₃COOD/D₂O (1 mL). Then 4 0.65 μ I (0.65 mg, 0.0045 mmol, 1 eq.) was added in to the mixture. The ligation progress over time was monitored by ¹H-NMR.

¹H-NMR (400 MHz, CDCl₃) δ: 7.42-7.40 (m, 2H), 6.36-6.34 (m, 2H), 3.86-3.55 (m, 5H from pyranose ring), 3.23-3.18 (m, 2H), 2.30-2.26(m, 2H), 1.94-1.91 (m, 2H), 1.53-1.50 (m, 2H) ppm.

Chitosan functionalized 5 (CS-5)

CS-3 (5 mg, 0.01 mmol, 1 eq.) was dispersed in 2 % CD₃COOD/D₂O (1 mL). Then 5 1.8 μ l (1.3 mg, 0.01 mmol, 1 eq.) was added in to the mixture. The ligation progress over time was monitored by ¹H-NMR.

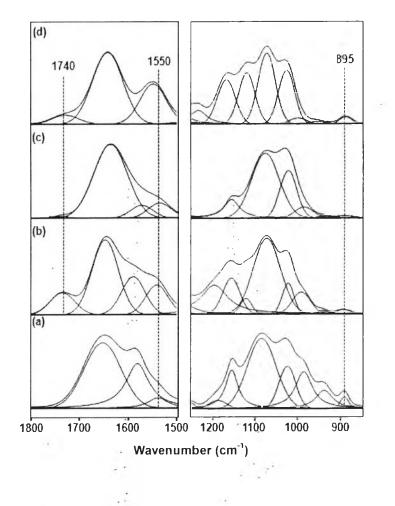
¹H-NMR (400 MHz, CDCl₃) δ: 7.46-7.44 (m, 2H), 6.40-6.38 (m, 2H), 3.88-3.57 (m, 5H from pyranose ring), 3.40-3.32 (m, 2H), 3.17-3.13(m, 1H from pyranose ring), 2.75-2.68(m, 2H), 1.96-1.92 (m, 3H) ppm.

Chitosan functionalized 4 (CS-6)

4

CS-3 (2 mg, 0.0045 mmol, 1 eq.) was dispersed in 2 % CD₃COOD/D₂O (1 mL). Then 6 (1.12 mg, 0.0045 mmol, 1 eq.) was added in to the mixture. The ligation progress over time was monitored by ¹H-NMR.

¹H-NMR (400 MHz, CDCl₃) δ: 7.44-7.42 (m, 2H), 6.38-6.36 (m, 2H), 3.87-3.58 (m, 5H from pyranose ring), 3.54-3.51 (m, 3×2H), 3.23-3.17 (m, 2H), 1.60-1.55 (m, 2H), 1.07-1.04 (m, 3×3H), 0.60-0.58 (m, 2H) ppm.



Appendix H Supporting Structural Characterization for Chapter IV

Figure H1. Curve fitting FT-IR spectra of (a) CS (b) CS-1, (c) CS-2, and (d) CS-3.

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	Integral ratio Ester/std	Amide2/std	
Sample	(at position 1740/895 cm ⁻¹)	(at position 1550/895 cm ⁻¹)	
CS	0	15	
CS-1	5.8	56	
CS-2	0.5	6.2	
<u>CS-3</u>	3.5	15.3	

Table H1. Curve fitting FT-IR integral ratio of CS, CS-1, CS-2, and CS-3

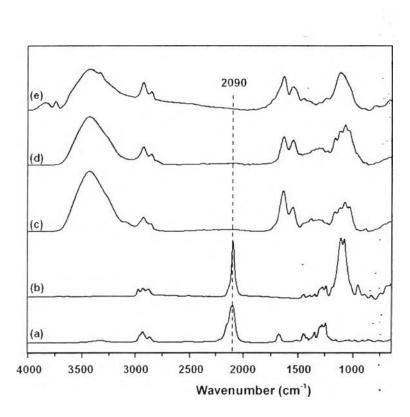
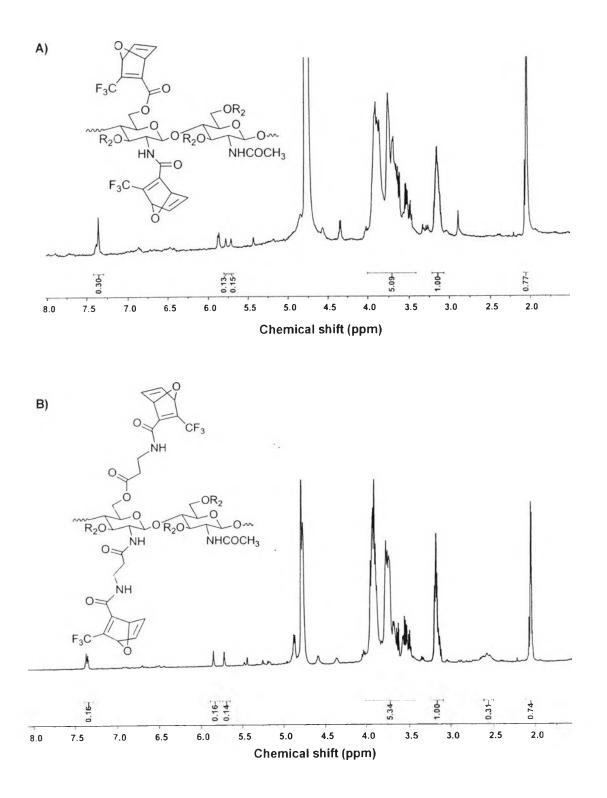
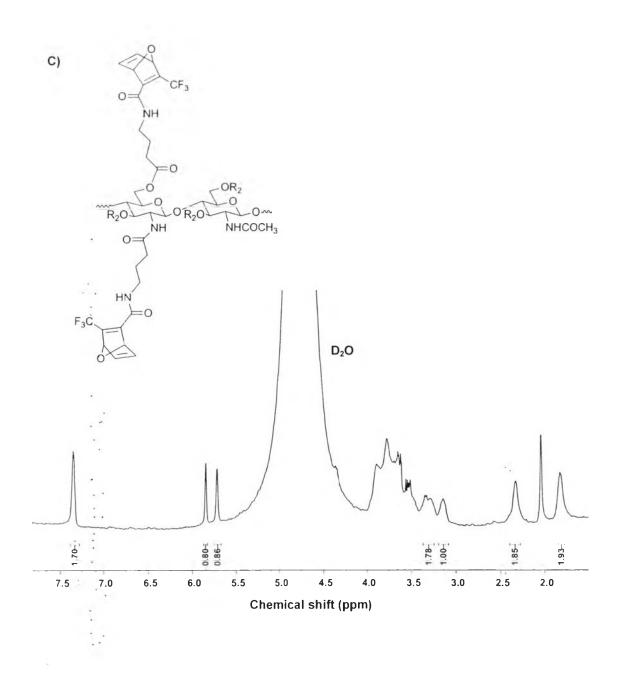
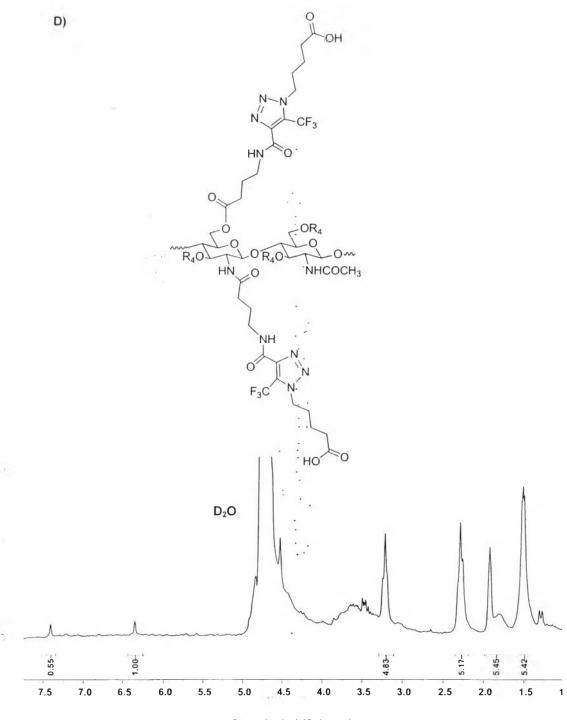


Figure H2. FT-IR spectra of (a) 5, (b) 6, (c) CS-3, (d) CS-5, and (e) CS-6.

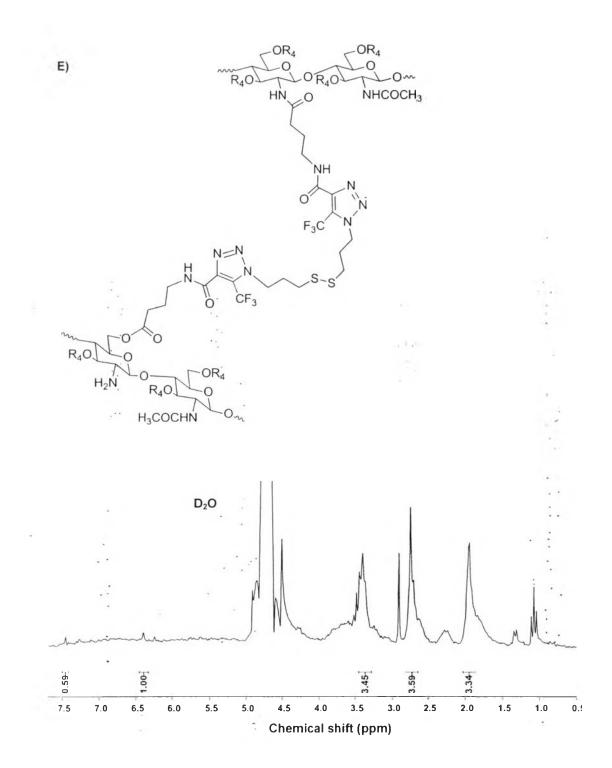




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Chemical shift (ppm)



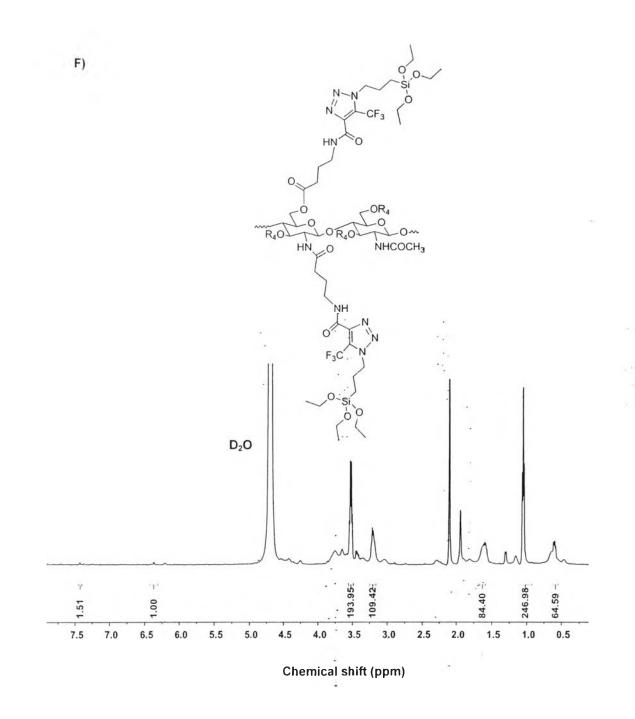


Figure H3. ¹H-NMR spectra of A) CS-1, B) CS-2, C) CS-3, D) CS-4, E) CS-5, and F) CS-6.

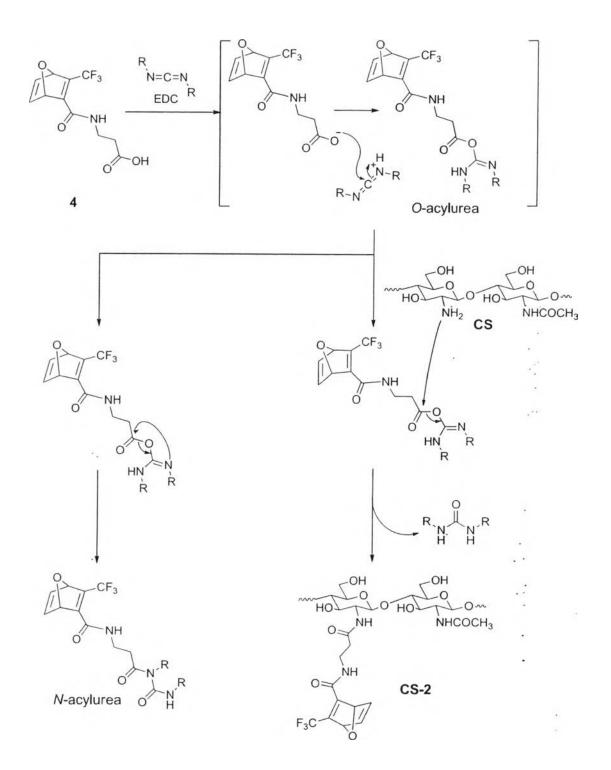
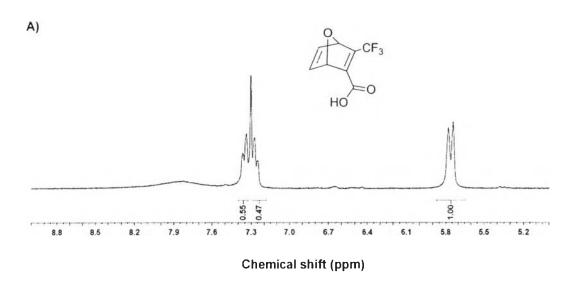
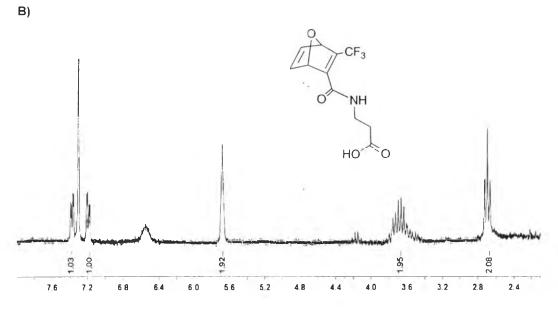
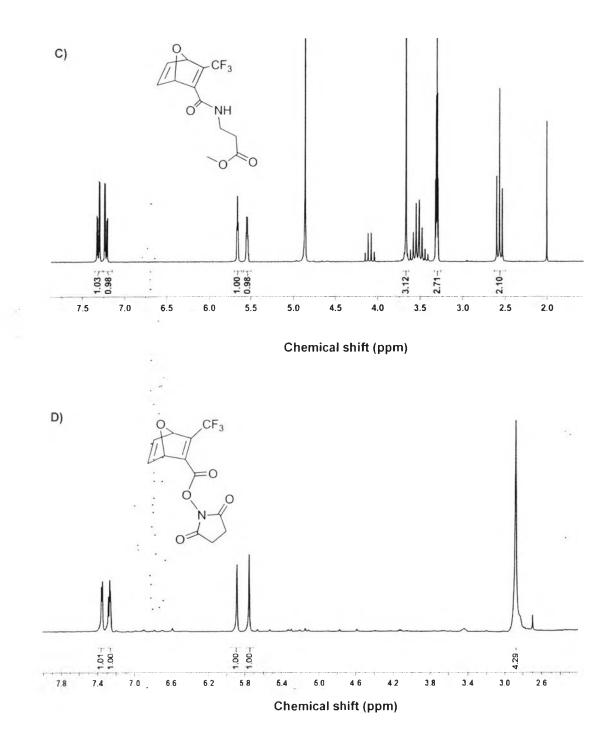


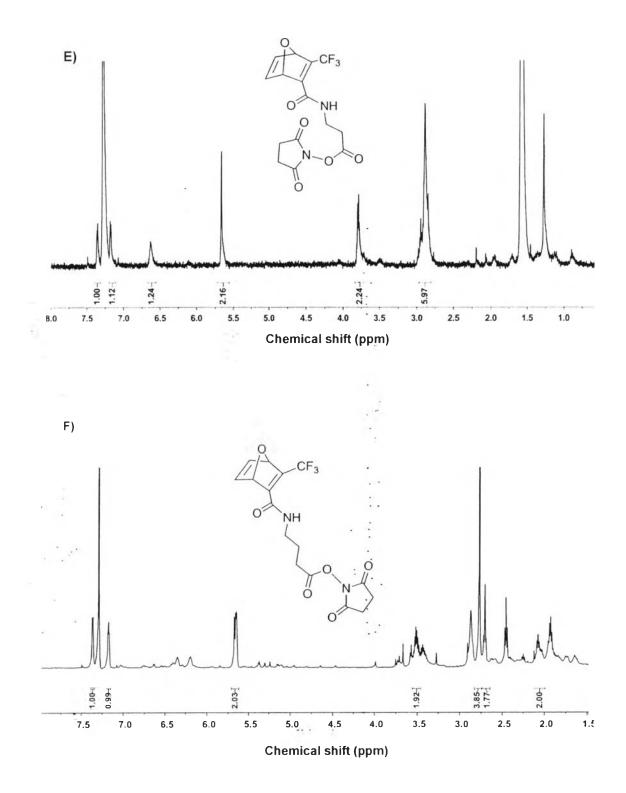
Figure H4. Mechanism of CS-2 and *N*-acylurea by using EDC.





Chemical shift (ppm)





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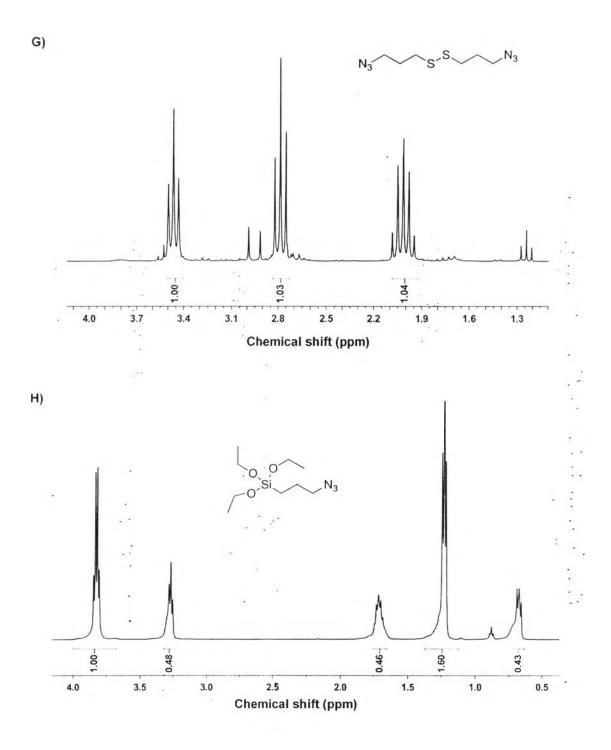
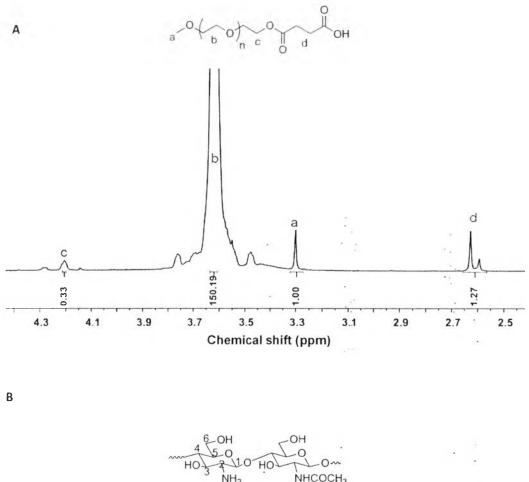
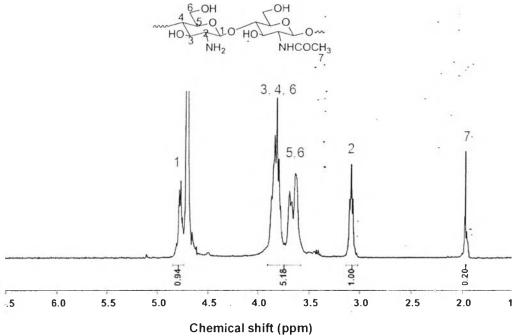
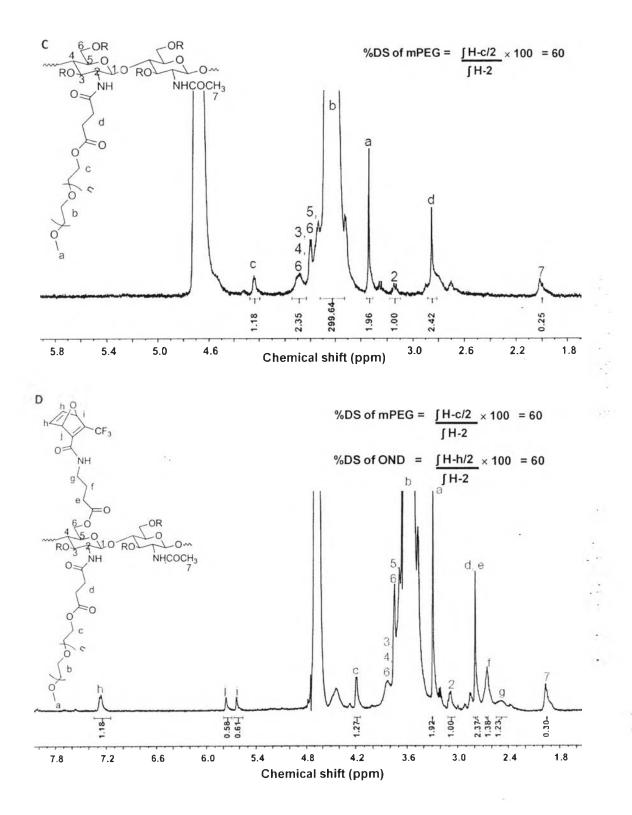


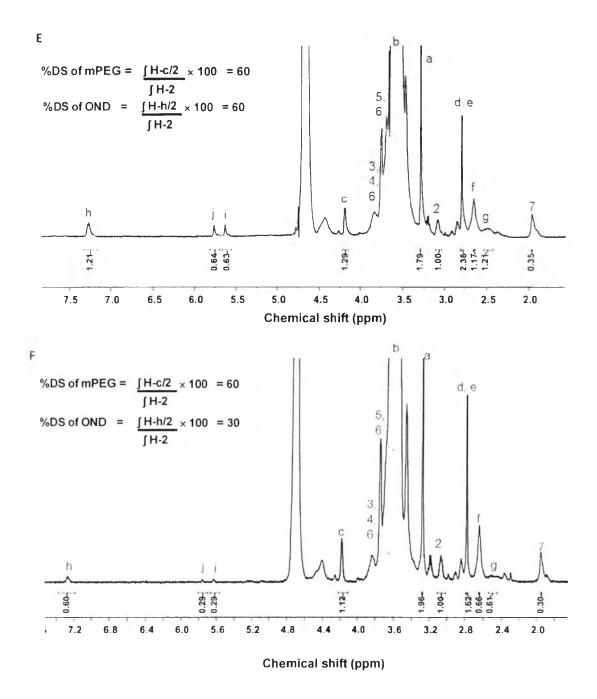
Figure H5. ¹H-NMR spectra of A) 1, B) 2, C) 1a, D) 3a, E) 3b, F), G) 5, and H) 6.



Appendix I Supporting Structural Characterization for Chapter V







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Figure I1. ¹H-NMR spectra of A) mPEG-COOH, B) CS, C) CS-mPEG, CS-mPEG-OND from the initial content of OND; D) 1.5, E) 1.0, F) 0.5 equivalent to CS.

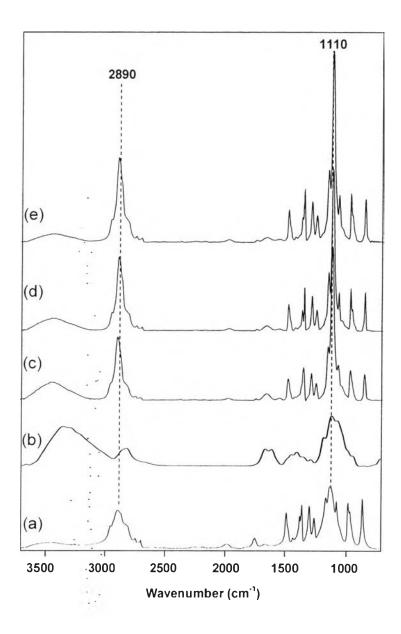


Figure I2. FTIR spectra of (a) mPEG-COOH, (b) CS (c) CS-mPEG, (d) CS-mPEG-OND with 30 %DS of OND, and (e) CS-mPEG-OND-Ab with 30 %DS of OND.

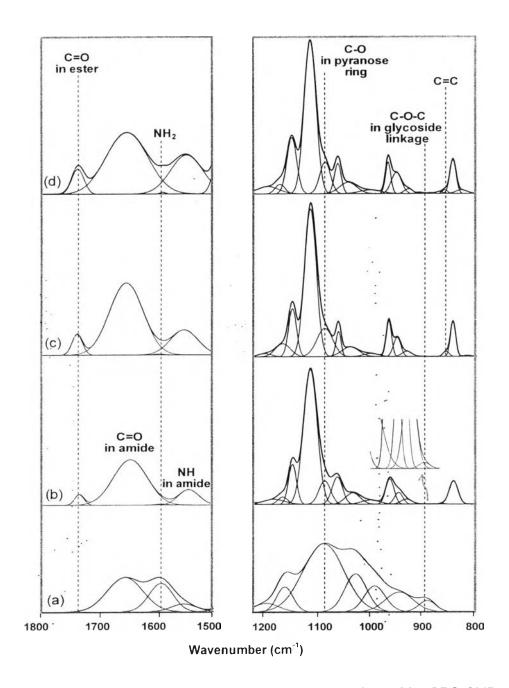


Figure I3. Curve fitting FTIR spectra of (a) CS, (b) CS-mPEG, (c) CS-mPEG-OND with 30 % DS of OND, and (d) CS-mPEG-OND-Ab with 30 %DS of OND.

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	Integral ratio at peak positions (cm ⁻¹ /cm ⁻¹)			
Samples	1730/895	1650/895	1595/895	1550/895
CS	0	4.2	2.4	0.7
CS-mPEG	0.2	57.4	0.5	12.1
CS-mPEG-OND	5.6	64.5	0.3	17.5
CS-mPEG-OND-Ab	5.7	68.7	0.2	19

Table I1. Curve fitting FTIR integral ratio of CS, CS-mPEG, CS-mPEG-OND with30 %DS of OND, and CS-mPEG-OND-Ab with 30%DS of OND

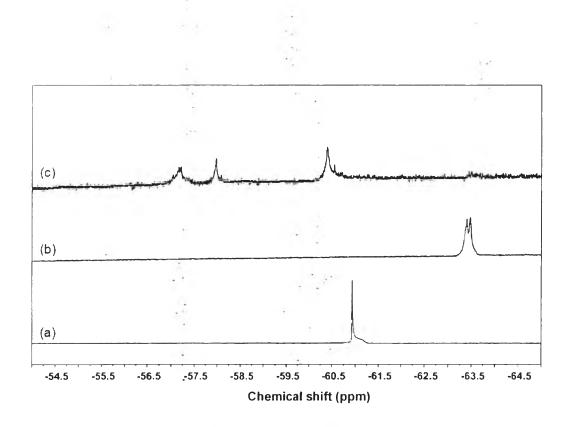


Figure I4. ¹⁹F-NMR spectra of (a) oxanorbornadiene derivative (OND), (b) CS-mPEG-OND-Ab, and (c) CS-mPEG-Ab-click-disulfide.

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Appendix J Observation of Chitosan Solution Appearances

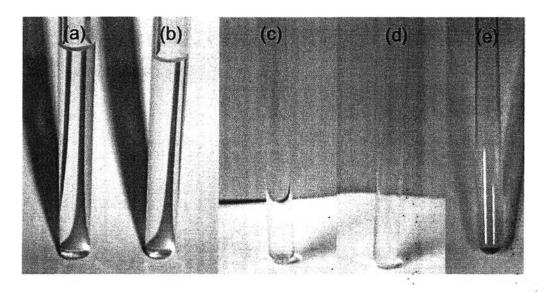
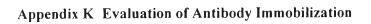


Figure J1. Appearances of (a) CS-mPEG, (b) CS-mPEG-OND with 60 % and 60 %DS of mPEG and OND, respectively, (c) CS-mPEG-OND-Ab with 30 %DS of OND, (d) CS-mPEG-OND-Ab with 60 %DS of OND, and (e) CS-mPEG-OND-Ab with 30 %DS of OND after adding azido-disulfide for 11 d.



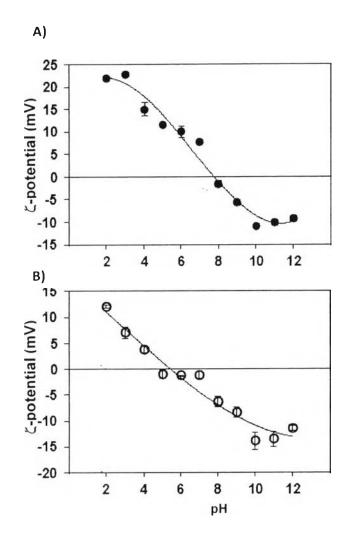
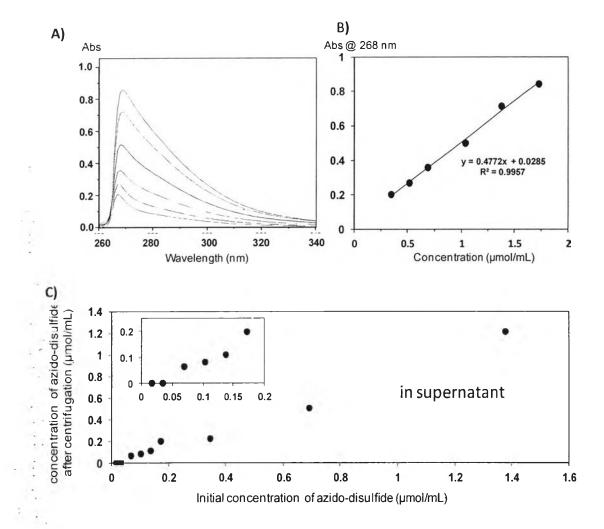


Figure K1. ζ-potentials of (A) WSC-OND and (B) WSC-OND-Ab at pH 2-12 adjusted by 0.1 M NaOH/0.1 M NaCl.



Appendix L Evaluation of Azido-disulfide Content

Figure L1. (A) UV-Vis spectra of azido-disulfide in DMSO (B) Standard Curve plotting between concentration of azido-disulfide and absorbance. (C) Concentration of supernatant (after centrifugation with 21,000 rpm to separate AuNPs at the bottom part) measured by UV-VIS spectrophotometer with a variation of initial concentration of azido-disulfide.

Appendix M Mechanism of Disulfide Cleavage and Azido-Gold Nanoparticle Forming

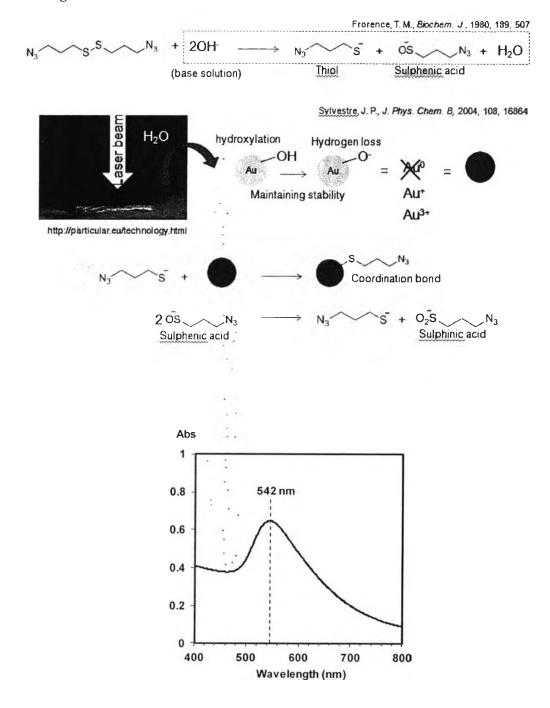
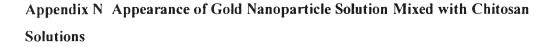


Figure M1. UV-Vis spectra of azido-AuNPs.



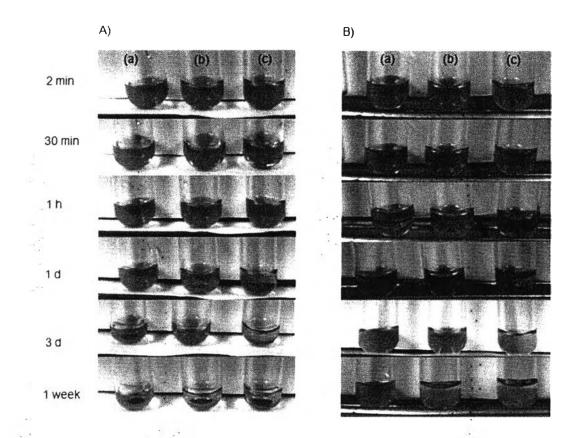


Figure N1. Appearances of mixture between azido-AuNP solution and (a) water (b) CS-mPEG-Ab solution, and (c) CS-mPEG-OND-Ab solution with A) 30% and B) 60% OND substitutution and over the time at room temperature.

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Appendix O Cycloaddition Time of Mixture between WSC-OND-Ab and Azidodisulfide

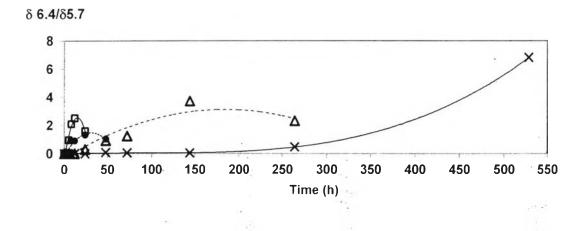


Figure O1. Ratio of furan and oxanorbornadiene (integral ratio of δ 6.4/ δ 5.7) of cycloaddition between CS-mPEG-OND-Ab and azido-disulfide over the reaction time detected by ¹H-NMR based on the integration at; (\Box) 60 °C, (Δ) 25 °C, (\bullet) 40 °C, and (×) 4 °C.

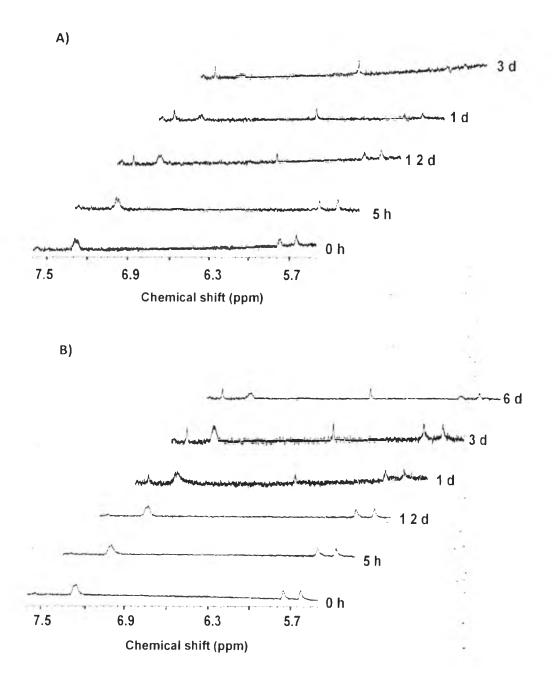


Figure O2. ¹H-NMR spectra of ligation progress in the presence of A) phosphate buffer and B) 10% DMSO over time between CS-mPEG-OND-Ab and azido-disulfide.

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Appendix P Synthesis of 1,2-bis (3-azidopropyl) disulfane Immobilized Gold Nanoparticle, Azido-AuNPs

1,2-bis (3-azidopropyl) disulfane or azido-disulfide (2 μ L, 8.6 μ mol/mL in phosphate buffer pH 9.4) was added into AuNPs aqueous solution (498 μ L, 1.0 μ mol/mL in 10% DMSO/DI water V/V) to obtain azido-AuNPs. The mixture was incubated for 1 h at room temperature before use.

Appendix Q Antigen Detection by Naked Eyes

This technique was adapted from Dot-blot Elisa. In brief, 2 μ l of antigen (Ag, 0.44 mg/mL) mixed with coating buffer, was coated on a nitrocellulose membrane (Millipore, Ireland). After drying, 2 μ l of CS-mPEG-OND-Ab solution (4 mg/mL in PBS buffer, pH 7.4) was dropped at the center of membrane and allowed to dry. Then, the membrane was washed with PBS-Tween 20. After drying, the membrane was soaked in 600 μ l of azido-AuNP solution for 10 min and was dried by air dryer. The soaking with azido-AuNP solution and washing steps were done for four times before observing the appearance of the color. For comparative studies, a series of compounds, CS-mPEG, CS-mPEG-Ab, CS-mPEG-OND, Ab, with and without Ag were also followed from above steps.

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Publications:

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