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REGULAR ELEMENTS OF ORDER-PRESERVING  
TRANSFORMATION SEMIGROUPS



Miss Winita Mora

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วินิตา โมรา : สมาชิกปกติของกึ่งกรุปการแปลงที่รักษาอันดับ (REGULAR ELEMENTS OF ORDER-PRESERVING TRANSFORMATION SEMIGROUPS) อ. ที่ปรึกษา : ศาสตราจารย์ ดร. ยุพาภรณ์ เข็มประสิทธิ์, 33 หน้า. ISBN 974-14-2061-7.

เราเรียกสมาชิก  $x$  ของกึ่งกรุป  $S$  ว่าเป็นสมาชิกปกติ ถ้ามีสมาชิก  $y \in S$  ซึ่ง  $x = xyx$  และเรียก  $S$  ว่าเป็นกึ่งกรุปปกติ ถ้าทุกสมาชิกของ  $S$  เป็นสมาชิกปกติ

เรากล่าวว่าการส่ง  $\alpha$  จากเซตอันดับบางส่วน  $X$  ไปยังเซตอันดับบางส่วน  $Y$  เป็นการส่งที่รักษาอันดับ ถ้า

$$\text{สำหรับ } x, x' \in X \text{ ใด ๆ } x \leq x' \text{ ใน } X \Rightarrow x\alpha \leq x'\alpha \text{ ใน } Y$$

สำหรับเซตอันดับบางส่วน  $X$  ให้  $OT(X)$  เป็นกึ่งกรุปการแปลงที่รักษาอันดับของ  $X$  ภายใต้การประกอบ ให้  $\mathbb{Z}$  และ  $\mathbb{R}$  เป็นเซตอันดับทุกส่วนของจำนวนเต็มและเซตของจำนวนจริง ตามลำดับ ภายใต้อันดับธรรมชาติ เป็นที่รู้กันแล้วว่า  $OT(X)$  เป็นกึ่งกรุปปกติสำหรับทุกเซตย่อยไม่ว่าง  $X$  ของ  $\mathbb{Z}$  และสำหรับช่วง  $X$  ใน  $\mathbb{R}$ ,  $OT(X)$  เป็นกึ่งกรุปปกติ ก็ต่อเมื่อ  $X$  เป็นช่วงปิดที่มีขอบเขต ยิ่งไปกว่านั้น สำหรับช่วง  $X$  ในฟิลด์ย่อย  $F$  ของ  $\mathbb{R}$  ซึ่ง  $|X| > 1$ ,  $OT(X)$  เป็นกึ่งกรุปปกติ ก็ต่อเมื่อ  $F = \mathbb{R}$  และ  $X$  เป็นช่วงปิดที่มีขอบเขต

ในการวิจัยนี้ เราให้เงื่อนไขที่จำเป็นและเพียงพอสำหรับสมาชิกของ  $OT(X)$  ที่จะป็นสมาชิกปกติ เมื่อ  $X$  เป็นเซตอันดับทุกส่วนใดๆ เราได้ประยุกต์ความรู้นี้มาพิสูจน์ผลที่ทราบกันแล้วข้างต้นด้วย

สำหรับเซตอันดับทุกส่วน  $(X, \leq)$  ใด ๆ เซตอันดับบางส่วนแบบพจนานุกรมของ  $X$  คือเซตอันดับทุกส่วน  $(X \times X, \leq_d)$  โดย  $\leq_d$  นิยามบน  $X \times X$  โดย

$$(a_1, b_1) \leq_d (a_2, b_2) \Leftrightarrow \text{(i) } a_1 < a_2 \text{ หรือ}$$

$$\text{(ii) } a_1 = a_2 \text{ และ } b_1 \leq b_2$$

เราประยุกต์การให้ลักษณะของสมาชิกปกติมาศึกษาว่าเมื่อใด  $OT(X \times X, \leq_d)$  เป็นกึ่งกรุปปกติ เมื่อ  $X$  เป็นเซตย่อยไม่ว่างของ  $\mathbb{Z}$  ช่วงใน  $\mathbb{R}$  หรือ ช่วงในฟิลด์ย่อย  $F$  ของ  $\mathbb{R}$

ภาควิชา ...คณิตศาสตร์...

สาขาวิชา ...คณิตศาสตร์...

ปีการศึกษา .....2549.....

ลายมือชื่อนิสิต..... วินิตา โมรา .....

ลายมือชื่ออาจารย์ที่ปรึกษา..... ยุพาภรณ์ เข็มประสิทธิ์ .....

# # 4772474423 : MAJOR MATHEMATICS

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WINITA MORA : REGULAR ELEMENTS OF ORDER-PRESERVING TRANSFORMATION SEMIGROUPS. THESIS ADVISOR : PROFESSOR YUPAPORN KEMPRASIT, Ph.D., 33 pp. ISBN 974-14-2061-7.

An element  $x$  of a semigroup  $S$  is called *regular* if there is an element  $y \in S$  such that  $x = xyx$  and  $S$  is said to be a *regular semigroup* if every element of  $S$  is regular.

A mapping  $\alpha$  from a partially ordered set  $X$  into a partially ordered set  $Y$  is said to be *order-preserving* if

$$\text{for any } x, x' \in X, x \leq x' \text{ in } X \Rightarrow x\alpha \leq x'\alpha \text{ in } Y.$$

The semigroup, under composition, of all order-preserving transformations of a partially ordered set  $X$  is denoted by  $OT(X)$ . Let  $\mathbb{Z}$  and  $\mathbb{R}$  be the chain of integers and the chain of real numbers, respectively, under the natural order. It is known that  $OT(X)$  is regular for every nonempty subset  $X$  of  $\mathbb{Z}$  and for an interval  $X$  in  $\mathbb{R}$ ,  $OT(X)$  is regular if and only if  $X$  is closed and bounded. Moreover, for a nontrivial interval  $X$  in a subfield  $F$  of  $\mathbb{R}$ ,  $OT(X)$  is regular if and only if  $F = \mathbb{R}$  and  $X$  is closed and bounded.

In this research, we provide necessary and sufficient conditions for the elements of  $OT(X)$  to be regular when  $X$  is any chain. It is then applied to prove the above known results.

For a chain  $X$ , the *dictionary partially ordered set* of  $X$  is the chain  $(X \times X, \leq_d)$  where  $\leq_d$  is defined by

$$(a_1, b_1) \leq_d (a_2, b_2) \Leftrightarrow \begin{array}{l} \text{(i) } a_1 < a_2 \text{ or} \\ \text{(ii) } a_1 = a_2 \text{ and } b_1 \leq b_2. \end{array}$$

The characterization of regular elements is applied to determine when  $OT(X \times X, \leq_d)$  is a regular semigroup where  $X$  is a nonempty subset of  $\mathbb{Z}$ , an interval in  $\mathbb{R}$  or an interval in a subfield  $F$  of  $\mathbb{R}$ .

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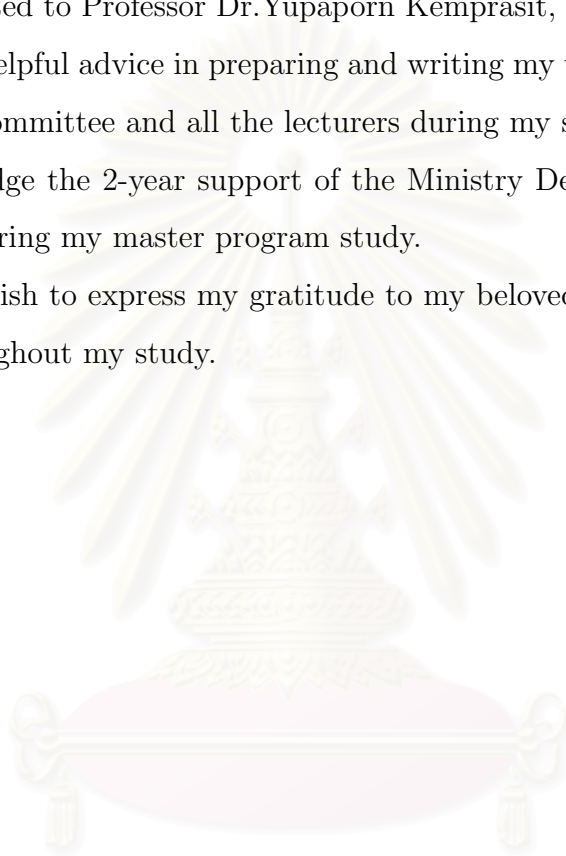
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สถาบันวิทยบริการ  
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# INTRODUCTION

Let  $X$  be a partially ordered set and  $OT(X)$  the semigroup, under composition, of all order-preserving transformations  $\alpha : X \rightarrow X$ .

It is known from [3, page 203] that  $OT(X)$  is a regular semigroup if  $X$  is a finite chain. Kemprasit and Changphas [5] extended this result to any chain which is order-isomorphic to a chain  $X$  where  $X \subseteq \mathbb{Z}$ , the set of integers with their natural order. Equivalently,  $OT(X)$  is regular for every nonempty subset of  $\mathbb{Z}$  with the usual order. Note that if the partially ordered sets  $X$  and  $Y$  are order-isomorphic, then the semigroups  $OT(X)$  and  $OT(Y)$  are isomorphic. It is also proved in [5] that for an interval  $X$  in  $\mathbb{R}$ , the set of real numbers with usual order,  $OT(X)$  is a regular semigroup if and only if  $X$  is closed and bounded. Rungrattrakoon and Kemprasit [9] extended this fact by showing that for a nontrivial interval  $X$  in a subfield  $F$  of  $\mathbb{R}$ ,  $OT(X)$  is regular if and only if  $F = \mathbb{R}$  and  $X$  is closed and bounded. Then it follows as a consequence that for a nontrivial interval  $X$  in  $\mathbb{Q}$ , the set of rational number,  $OT(X)$  is not a regular semigroup. In fact, the above result in [9] is a consequence of the main theorem in [7].

The regularity of semigroups of order-preserving partial transformations have been also studied. See [1], [2] and [5] for examples.

A standard isomorphism is provided in [8, page 222-223] as follows : For partially ordered sets  $X$  and  $Y$ ,  $OT(X) \cong OT(Y)$  if and only if  $X$  and  $Y$  are order-isomorphic or anti-order-isomorphic. In [6], the authors generalized full order-preserving transformation semigroups by using sandwich multiplication and investigated their regularity and also provided some isomorphism theorems.

For a chain  $X$ , let  $\leq_d$  denote the dictionary partial order on  $X \times X$ .

In this research, we extend the above results in [5] and [9]. The regular elements



of  $OT(X)$  are characterized when  $X$  is any chain. Then it is applied to prove those results and to determine the regularity of  $OT(X \times X, \leq_d)$  when  $X$  is one of the following chains : chains of integers, intervals in  $\mathbb{R}$  and intervals in a subfield of  $\mathbb{R}$ .

Chapter I provides basic definitions and known results which will be used in this research. Also, see [3] and [4] for more details.

In Chapter II, the regular elements of  $OT(X)$  are characterized when  $X$  is any chain. Then this characterization is applied to prove the above known results of the regularity of  $OT(X)$  where  $X$  is a nonempty subset of  $\mathbb{Z}$ , an interval in  $\mathbb{R}$  or an interval in a subfield of  $\mathbb{R}$ .

In Chapter III, the regularity of  $OT(X \times X, \leq_d)$  is characterized by using the main result in Chapter II, when  $X$  is one of the following chains : chains of integers, intervals in  $\mathbb{R}$  and intervals in a subfield of  $\mathbb{R}$ .



# CHAPTER I

## PRELIMINARIES

For a set  $X$ , let  $|X|$  denote the cardinality of  $X$ . The identity mapping on a nonempty set  $A$  is denoted by  $1_A$ . The set of positive integers, the set of integers, the set of rational numbers and the set of real numbers are denoted by  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}$  and  $\mathbb{R}$ , respectively. Note that they are chains with the natural order.

The following property of real numbers will be used. If  $X$  is an interval in  $\mathbb{R}$  and  $A, B$  are nonempty subsets of  $\mathbb{R}$  such that

$$X = A \dot{\cup} B \quad \text{and} \quad a < b \quad \text{for all } a \in A \text{ and } b \in B,$$

then  $\sup(A) = \inf(B)$ .

An element  $a$  of a semigroup  $S$  is called *regular* if  $a = aba$  for some  $b \in S$ , and  $S$  is called a *regular semigroup* if every element of  $S$  is regular. The set of all regular elements of a semigroup  $S$  will be denoted by  $\text{Reg } S$ , that is,

$$\text{Reg } S = \{a \in S \mid a = aba \text{ for some } b \in S\}.$$

The domain and the range of any mapping  $\alpha$  will be denoted by  $\text{dom } \alpha$  and  $\text{ran } \alpha$ , respectively. For an element  $x$  in the domain of a mapping  $\alpha$ , the image of  $\alpha$  at  $x$  is written by  $x\alpha$ .

Denote by  $T(X)$  the full transformation semigroup on a nonempty set  $X$ , that is, the semigroup, under composition, of all mappings  $\alpha : X \rightarrow X$ . It is well-known that  $T(X)$  is a regular semigroup ([3], page 4 or [4], page 63).

Let  $X$  and  $Y$  be partially ordered sets. A mapping  $\varphi$  from  $X$  into  $Y$  is said to be *order-preserving* if

$$\text{for any } x, x' \in X, \quad x \leq x' \text{ in } X \Rightarrow x\varphi \leq x'\varphi \text{ in } Y.$$

A bijection  $\varphi : X \rightarrow Y$  is called an *order-isomorphism* if  $\varphi$  and  $\varphi^{-1}$  are order-preserving. It is clear that if both  $X$  and  $Y$  are chains and  $\varphi : X \rightarrow Y$  is an order-preserving bijection, then  $\varphi$  is an order-isomorphism from  $X$  onto  $Y$ . We say that  $X$  and  $Y$  are *order-isomorphic* if there is an order-isomorphism from  $X$  onto  $Y$ .

For a partially ordered set  $X$ , let

$$OT(X) = \{ \alpha \in T(X) \mid \alpha \text{ is order-preserving} \}.$$

It is clear that  $OT(X)$  is a subsemigroup of  $T(X)$  containing  $1_X$  and all constant mappings. The semigroup  $OT(X)$  is called the *full order-preserving transformation semigroup* on  $X$ .

**Proposition 1.1.** *Let  $X$  and  $Y$  be partially ordered sets. If  $\varphi : X \rightarrow Y$  is an order-isomorphism, then*

- (i)  $\varphi^{-1}(OT(X))\varphi \subseteq OT(Y)$  and  $\varphi(OT(Y))\varphi^{-1} \subseteq OT(X)$ .
- (ii)  $OT(X) \cong OT(Y)$  through the mapping  $\alpha \mapsto \varphi^{-1}\alpha\varphi$ .

*Proof.* (i) is clearly obtained since  $\varphi : X \rightarrow Y$  and  $\varphi^{-1} : Y \rightarrow X$  are order-preserving.

(ii) Define  $\theta : OT(X) \rightarrow OT(Y)$  by

$$\alpha\theta = \varphi^{-1}\alpha\varphi \text{ for all } \alpha \in OT(X).$$

If  $\alpha, \beta \in OT(X)$ , then

$$(\alpha\beta)\theta = \varphi^{-1}(\alpha\beta)\varphi = (\varphi^{-1}\alpha\varphi)(\varphi^{-1}\beta\varphi) = (\alpha\theta)(\beta\theta).$$

Hence  $\theta$  is a homomorphism. If  $\alpha, \beta \in OT(X)$  are such that  $\alpha\theta = \beta\theta$ , then

$$\alpha = \varphi(\varphi^{-1}\alpha\varphi)\varphi^{-1} = \varphi(\alpha\theta)\varphi^{-1} = \varphi(\beta\theta)\varphi^{-1} = \varphi(\varphi^{-1}\beta\varphi)\varphi^{-1} = \beta.$$

Thus  $\theta$  is 1-1. If  $\lambda \in OT(Y)$ , then by (i),  $\varphi\lambda\varphi^{-1} \in OT(X)$  and thus

$$(\varphi\lambda\varphi^{-1})\theta = \varphi^{-1}(\varphi\lambda\varphi^{-1})\varphi = \lambda.$$

This proves that  $\theta$  is an isomorphism from  $OT(X)$  onto  $OT(Y)$ . □

The following result is a direct consequence of Proposition 1.1.

**Corollary 1.2.** *Let  $X$  and  $Y$  be partially ordered sets. If  $X$  and  $Y$  are order-isomorphic, then  $OT(X)$  is regular if and only if  $OT(Y)$  is regular.*

Intervals in a chain are defined naturally as follows : A nonempty subset  $Y$  of a chain  $X$  is called an *interval* in  $X$  if for  $a, b, x \in X$ ,  $a, b \in Y$  and  $a \leq x \leq b$  imply that  $x \in Y$ . We say that an interval  $Y$  in  $X$  is a *nontrivial interval* if  $Y$  contains more than one element. Since every subfield  $F$  of  $\mathbb{R}$  contains  $\mathbb{Q}$ , it follows that every nontrivial interval  $X$  of  $F$  is infinite.

The following results about the semigroup  $OT(X)$  are known.

**Theorem 1.3** ([5]). *For any nonempty subset  $X$  of  $\mathbb{Z}$ ,  $OT(X)$  is a regular semigroup.*

**Theorem 1.4** ([5]). *For an interval  $X$  in  $\mathbb{R}$ ,  $OT(X)$  is a regular semigroup if and only if  $X$  is closed and bounded.*

**Theorem 1.5** ([9]). *If  $X$  is a nontrivial interval in a subfield  $F$  of  $\mathbb{R}$ , then  $OT(X)$  is regular if and only if  $F = \mathbb{R}$  and  $X$  is closed and bounded.*

**Corollary 1.6.** *For every nontrivial interval  $X$  in  $\mathbb{Q}$ ,  $OT(X)$  is not regular.*

For a chain  $X$ , the *dictionary partially ordered set* of  $X$  is defined to be the chain  $(X \times X, \leq_d)$  where  $\leq_d$  is defined on  $X \times X$  by

$$(a_1, b_1) \leq_d (a_2, b_2) \Leftrightarrow \begin{array}{l} \text{(i) } a_1 < a_2 \text{ or} \\ \text{(ii) } a_1 = a_2 \text{ and } b_1 \leq b_2. \end{array}$$

## CHAPTER II

### REGULAR ELEMENTS OF ORDER-PRESERVING TRANSFORMATION SEMIGROUPS ON CHAINS

The regular elements of  $OT(X)$  are characterized in this chapter where  $X$  is any chain. Then by this characterization, necessary and sufficient conditions are given for certain chains  $X$  so that  $OT(X)$  is a regular semigroup.

#### 2.1 Regular Elements

We recall the following result from [5].

**Lemma 2.1.1 ([5]).** *Let  $X$  be a chain. If  $\alpha \in OT(X)$  and  $a, b \in \text{ran } \alpha$  with  $a < b$ , then  $x < y$  for all  $x \in a\alpha^{-1}$  and  $y \in b\alpha^{-1}$ .*

Also, the following lemma is needed.

**Lemma 2.1.2.** *If  $X$  is a nonempty set and  $\alpha, \beta \in T(X)$  are such that  $\alpha = \alpha\beta\alpha$ , then  $X\beta\alpha = (\text{ran } \alpha)\beta\alpha$  and  $x\beta\alpha = x$  for all  $x \in \text{ran } \alpha$ .*

*Proof.* If  $x \in X$ , then  $x\alpha = x\alpha\beta\alpha = (x\alpha)\beta\alpha$ . This implies that  $x\beta\alpha = x$  for all  $x \in \text{ran } \alpha$ . Since  $\text{ran } \alpha = X\alpha = (X\alpha)\beta\alpha = (\text{ran } \alpha)\beta\alpha \subseteq X\beta\alpha \subseteq X\alpha = \text{ran } \alpha$ , we have that  $X\beta\alpha = (\text{ran } \alpha)\beta\alpha$ . □

To obtain the main theorem, some necessary conditions for the regular elements of  $OT(X)$ , where  $X$  is any chain, are given as its lemmas.

**Lemma 2.1.3.** *Let  $X$  be a chain and  $\alpha \in OT(X)$ . If  $\alpha$  is a regular element of  $OT(X)$  and  $\text{ran } \alpha$  has an upper bound in  $X$ , then  $\max(\text{ran } \alpha)$  exists.*

*Proof.* Let  $\beta \in OT(X)$  be such that  $\alpha = \alpha\beta\alpha$ , and let  $u \in X$  be an upper bound of  $\text{ran } \alpha$ . Suppose that  $\text{ran } \alpha$  has no maximum element in  $X$ . Then

$$x < u \quad \text{for all } x \in \text{ran } \alpha. \quad (1)$$

From Lemma 2.1.2,

$$X\beta\alpha = (\text{ran } \alpha)\beta\alpha, \quad (2)$$

$$x\beta\alpha = x \quad \text{for all } x \in \text{ran } \alpha. \quad (3)$$

From (2), there exists an element  $a \in \text{ran } \alpha$  such that  $u\beta\alpha = a\beta\alpha$ . By (3),  $a\beta\alpha = a$ . Hence  $a < u$  by (1) and  $u\beta\alpha = a$ . Since  $a \in \text{ran } \alpha$  and  $\max(\text{ran } \alpha)$  does not exist, there exists an element  $b \in \text{ran } \alpha$  such that  $a < b < u$ . Then  $b\beta\alpha = b$  by (3). Hence  $a = a\beta\alpha \leq b\beta\alpha = b \leq u\beta\alpha = a$  which implies that  $a = b$ , a contradiction. This proves that  $\max(\text{ran } \alpha)$  exists.  $\square$

The dual of Lemma 2.1.3 is the following lemma.

**Lemma 2.1.4.** *Let  $X$  be a chain and  $\alpha \in OT(X)$ . If  $\alpha$  is regular in  $OT(X)$  and  $\text{ran } \alpha$  has a lower bound in  $X$ , then  $\min(\text{ran } \alpha)$  exists.*

**Lemma 2.1.5.** *Let  $X$  be a chain and  $\alpha \in OT(X)$ . If  $\alpha$  is regular in  $OT(X)$  and  $a \in X \setminus \text{ran } \alpha$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$ , then  $\max(\{x \in \text{ran } \alpha \mid x < a\})$  or  $\min(\{x \in \text{ran } \alpha \mid a < x\})$  exists.*

*Proof.* Let  $\beta \in OT(X)$  be such that  $\alpha = \alpha\beta\alpha$ . It follows from the assumption that

$$\begin{aligned} \{x \in \text{ran } \alpha \mid x < a\} \neq \emptyset, \quad \{x \in \text{ran } \alpha \mid a < x\} \neq \emptyset, \\ \text{ran } \alpha = \{x \in \text{ran } \alpha \mid x < a\} \cup \{x \in \text{ran } \alpha \mid a < x\}. \end{aligned} \quad (1)$$

By Lemma 2.1.2 ,

$$X\beta\alpha = (\text{ran } \alpha)\beta\alpha, \quad (2)$$

$$x\beta\alpha = x \quad \text{for all } x \in \text{ran } \alpha. \quad (3)$$

By (2),  $a\beta\alpha = e\beta\alpha$  for some  $e \in \text{ran } \alpha$ , and hence  $a\beta\alpha = e\beta\alpha = e$  by (3). From (1), either  $e < a$  or  $a < e$ . Suppose that neither  $\max(\{x \in \text{ran } \alpha \mid x < a\})$  nor  $\min(\{x \in \text{ran } \alpha \mid a < x\})$  exists.

**Case 1 :**  $e < a$ . Since  $\max(\{x \in \text{ran } \alpha \mid x < a\})$  does not exist,  $e < p < a$  for some  $p \in \text{ran } \alpha$ . By (3),  $p\alpha\beta = p$ . Then  $e = e\beta\alpha \leq p\beta\alpha = p \leq a\beta\alpha = e$ , so  $e = p$ , a contradiction.

**Case 2 :**  $a < e$ . Since  $\min(\{x \in \text{ran } \alpha \mid a < x\})$  does not exist, there is an element  $q \in \text{ran } \alpha$  such that  $a < q < e$ . Then we have  $q\beta\alpha = q$  by (3) and thus  $e = a\beta\alpha \leq q\beta\alpha = q \leq e\beta\alpha = e$ . Hence  $e = q$ , a contradiction.

Hence the lemma is proved.  $\square$

**Theorem 2.1.6.** *Let  $X$  be a chain and  $\alpha \in OT(X)$ . Then  $\alpha$  is regular in  $OT(X)$  if and only if the following three conditions hold.*

- (i) *If  $\text{ran } \alpha$  has an upper bound in  $X$ , then  $\max(\text{ran } \alpha)$  exists.*
- (ii) *If  $\text{ran } \alpha$  has a lower bound in  $X$ , then  $\min(\text{ran } \alpha)$  exists.*
- (iii) *If  $a \in X \setminus \text{ran } \alpha$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$ , then  $\max(\{x \in \text{ran } \alpha \mid x < a\})$  or  $\min(\{x \in \text{ran } \alpha \mid a < x\})$  exists.*

*Proof.* If  $\alpha$  is regular in  $OT(X)$ , then (i), (ii) and (iii) hold by Lemma 2.1.3, Lemma 2.1.4 and Lemma 2.1.5, respectively.

For the converse, assume that (i), (ii) and (iii) hold. If  $\text{ran } \alpha$  has an upper bound, let  $u = \max(\text{ran } \alpha)$ . If  $\text{ran } \alpha$  has a lower bound, let  $l = \min(\text{ran } \alpha)$ . If  $x \in X \setminus \text{ran } \alpha$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$ , let

$$m_x = \begin{cases} \max(\{t \in \text{ran } \alpha \mid t < x\}) & \text{if } \max(\{t \in \text{ran } \alpha \mid t < x\}) \text{ exists,} \\ \min(\{t \in \text{ran } \alpha \mid x < t\}) & \text{otherwise.} \end{cases}$$

that is,

$$m_x = \begin{cases} \max(\{t \in \text{ran } \alpha \mid t < x\}) & \text{if } \max(\{t \in \text{ran } \alpha \mid t < x\}) \text{ exists,} \\ \min(\{t \in \text{ran } \alpha \mid x < t\}) & \text{if } \max(\{t \in \text{ran } \alpha \mid t < x\}) \text{ does not exist} \\ & \text{and } \min(\{t \in \text{ran } \alpha \mid x < t\}) \text{ exists.} \end{cases}$$

For each  $x \in \text{ran } \alpha$ , choose an element  $x' \in x\alpha^{-1}$ . Then  $x'\alpha = x$  for all  $x \in \text{ran } \alpha$ .

Thus  $(x\alpha)'\alpha = x\alpha$  for all  $x \in X$ . Define  $\beta : X \rightarrow X$  by

$$x\beta = \begin{cases} x' & \text{if } x \in \text{ran } \alpha, \\ u' & \text{if } x \in X \setminus \text{ran } \alpha \text{ and } x \text{ is an upper bound of } \text{ran } \alpha, \\ l' & \text{if } x \in X \setminus \text{ran } \alpha \text{ and } x \text{ is a lower bound of } \text{ran } \alpha, \\ m_x' & \text{if } x \in X \setminus \text{ran } \alpha \text{ and } x \text{ is neither an upper bound nor} \\ & \text{a lower bound of } \text{ran } \alpha. \end{cases}$$

for every  $x \in X$ . Then  $\beta \in T(X)$  and for  $x \in X$ ,  $x\alpha \in \text{ran } \alpha$  and thus

$$x\alpha\beta\alpha = (x\alpha)\beta\alpha = (x\alpha)'\alpha = x\alpha.$$

Hence  $\alpha = \alpha\beta\alpha$ . It remains to show that  $\beta$  is order-preserving. Let  $x, y \in X$  be such that  $x < y$ .

**Case 1 :**  $x, y \in \text{ran } \alpha$ . By Lemma 2.1.1,  $s < t$  for all  $s \in x\alpha^{-1}$  and  $t \in y\alpha^{-1}$ . But  $x' \in x\alpha^{-1}$  and  $y' \in y\alpha^{-1}$ , so  $x' < y'$ . Hence  $x\beta = x' < y' = y\beta$ .

**Case 2 :**  $x \in \text{ran } \alpha, y \in X \setminus \text{ran } \alpha$  and  $y$  is an upper bound of  $\text{ran } \alpha$ . Since  $x \leq y$ , by Lemma 2.1.1,  $x' \leq u'$ , so  $x\beta \leq y\beta$ .

**Case 3 :**  $x \in X \setminus \text{ran } \alpha, x$  is a lower bound of  $\text{ran } \alpha$  and  $y \in \text{ran } \alpha$ . Then  $l \leq y$ , so by Lemma 2.1.1,  $l' \leq y'$ . Hence  $x\beta \leq y\beta$ .

**Case 4 :**  $x, y \in X \setminus \text{ran } \alpha$  and  $x$  and  $y$  are upper bounds of  $\text{ran } \alpha$ . Then



$$x\beta = u' = y\beta.$$

**Case 5 :**  $x, y \in X \setminus \text{ran } \alpha$  and  $x$  and  $y$  are lower bounds of  $\text{ran } \alpha$ . Then  $x\beta = l' = y\beta$ .

**Case 6 :**  $x, y \in X \setminus \text{ran } \alpha$ ,  $x$  is a lower bound of  $\text{ran } \alpha$  and  $y$  is an upper bound of  $\text{ran } \alpha$ . Since  $l \leq u$ , by Lemma 2.1.1,  $l' \leq u'$ , so  $x\beta \leq y\beta$ .

**Case 7 :**  $x \in \text{ran } \alpha, y \in X \setminus \text{ran } \alpha$  and  $y$  is not an upper bound of  $\text{ran } \alpha$ . Then  $y \in X \setminus \text{ran } \alpha$  and  $y$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$ .

**Subcase 7.1 :**  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  exists. Then

$$m_y = \max(\{t \in \text{ran } \alpha \mid t < y\}).$$

But  $x \in \text{ran } \alpha$  and  $x < y$ , so  $x \leq m_y$ . Hence  $x' \leq m_y'$  by Lemma 2.1.1. Thus  $x\beta \leq y\beta$ .

**Subcase 7.2 :**  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  does not exist. Then

$$m_y = \min(\{t \in \text{ran } \alpha \mid y < t\}).$$

Thus  $x < y < m_y$ . Hence  $x\beta = x' < m_y' = y\beta$ , as before.

**Case 8 :**  $x \in X \setminus \text{ran } \alpha, x$  is not a lower bound of  $\text{ran } \alpha$  and  $y \in \text{ran } \alpha$ . Then  $x \in X \setminus \text{ran } \alpha$  and  $x$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$ .

**Subcase 8.1 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  exists. Then  $m_x < x < y$ , so  $x\beta = m_x' < y' = y\beta$ .

**Subcase 8.2 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  does not exist. Then  $m_x = \min(\{t \in \text{ran } \alpha \mid x < t\})$ . Since  $y \in \text{ran } \alpha$  and  $x < y$ , it follows that  $m_x \leq y$ . Hence  $x\beta = m_x' \leq y' = y\beta$ , as before.

**Case 9 :**  $x, y \in X \setminus \text{ran } \alpha$ ,  $x$  is a lower bound of  $\text{ran } \alpha$  and  $y$  is neither an upper

bound nor a lower bound of  $\text{ran } \alpha$ .

**Subcase 9.1 :**  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  exists. Then  $l \leq m_y$ , so  $x\beta = l' \leq m_y' = y\beta$ .

**Subcase 9.2 :**  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  does not exist. Then  $m_y = \min(\{t \in \text{ran } \alpha \mid y < t\})$ , so  $l < y < m_y$ . Hence  $x\beta = l' < m_y' = y\beta$ .

**Case 10 :**  $x, y \in X \setminus \text{ran } \alpha$ ,  $x$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$  and  $y$  is an upper bound of  $\text{ran } \alpha$ .

**Subcase 10.1 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  exists. Then  $m_x < x < u$ , so  $x\beta = m_x' < u' = y\beta$ .

**Subcase 10.2 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  does not exist. Then  $m_x = \min(\{t \in \text{ran } \alpha \mid x < t\})$ , so  $m_x \leq u$ . Hence  $x\beta = m_x' \leq u' = y\beta$ .

**Case 11 :**  $x, y \in X \setminus \text{ran } \alpha$  and  $x$  and  $y$  are neither upper bounds nor lower bounds of  $\text{ran } \alpha$ .

**Subcase 11.1 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  and  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  exist. Then

$$m_x = \max(\{t \in \text{ran } \alpha \mid t < x\}) \text{ and } m_y = \max(\{t \in \text{ran } \alpha \mid t < y\}).$$

Since  $x < y$ , it follows that  $\{t \in \text{ran } \alpha \mid t < x\} \subseteq \{t \in \text{ran } \alpha \mid t < y\}$  which implies that  $m_x \leq m_y$ . Hence  $x\beta = m_x' \leq m_y' = y\beta$ .

**Subcase 11.2 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  exists and  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  does not exist. Then

$$m_x = \max(\{t \in \text{ran } \alpha \mid t < x\}) \text{ and } m_y = \min(\{t \in \text{ran } \alpha \mid y < t\}).$$

Then  $m_x < x < y < m_y$ , so  $x\beta = m_x' < m_y' = y\beta$ .

**Subcase 11.3 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  does not exist and  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  exists. Then

$$m_x = \min(\{t \in \text{ran } \alpha \mid x < t\}) \text{ and } m_y = \max(\{t \in \text{ran } \alpha \mid t < y\}).$$

If  $\{t \in \text{ran } \alpha \mid x < t < y\} = \emptyset$ , then  $\{t \in \text{ran } \alpha \mid t < y\} = \{t \in \text{ran } \alpha \mid t < x\}$  which is impossible since  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  does not exist but  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  exists. Then there exists an element  $c \in \text{ran } \alpha$  such that  $x < c < y$ . Consequently,  $m_x \leq c \leq m_y$  which implies that  $x\beta = m_x' \leq m_y' = y\beta$ .

**Subcase 11.4 :**  $\max(\{t \in \text{ran } \alpha \mid t < x\})$  and  $\max(\{t \in \text{ran } \alpha \mid t < y\})$  do not exist. Then

$$m_x = \min(\{t \in \text{ran } \alpha \mid x < t\}) \text{ and } m_y = \min(\{t \in \text{ran } \alpha \mid y < t\}).$$

Since  $x < y$ ,  $\{t \in \text{ran } \alpha \mid x < t\} \supseteq \{t \in \text{ran } \alpha \mid y < t\}$ . Then  $m_x \leq m_y$ , so  $x\beta = m_x' \leq m_y' = y\beta$ .

Hence  $\beta \in OT(X)$ , and the proof is complete.  $\square$

The following lemma shows that if  $X$  is an interval in  $\mathbb{R}$ , then every  $\alpha \in OT(X)$  satisfies (iii) of Theorem 2.1.6.

**Lemma 2.1.7.** *Let  $X$  be an interval in  $\mathbb{R}$  and  $\alpha \in OT(X)$ . If  $a \in X \setminus \text{ran } \alpha$  is neither an upper bound nor a lower bound of  $\text{ran } \alpha$ , then either  $\max(\{x \in \text{ran } \alpha \mid x < a\})$  or  $\min(\{x \in \text{ran } \alpha \mid a < x\})$  exists.*

*Proof.* By assumption, we have that

$$\begin{aligned} \{x \in \text{ran } \alpha \mid x < a\} &\neq \emptyset, \{x \in \text{ran } \alpha \mid a < x\} \neq \emptyset, \\ \text{ran } \alpha &= \{x \in \text{ran } \alpha \mid x < a\} \dot{\cup} \{x \in \text{ran } \alpha \mid a < x\}. \end{aligned}$$

It follows that

$$\{x \in \text{ran } \alpha \mid x < a\}\alpha^{-1} \neq \emptyset, \{x \in \text{ran } \alpha \mid a < x\}\alpha^{-1} \neq \emptyset, \quad (1)$$

$$X = \{x \in \text{ran } \alpha \mid x < a\}\alpha^{-1} \dot{\cup} \{x \in \text{ran } \alpha \mid a < x\}\alpha^{-1}. \quad (2)$$

By Lemma 2.1.1,

$$\text{for all } s \in \{x \in \text{ran } \alpha \mid x < a\}\alpha^{-1} \text{ and } t \in \{x \in \text{ran } \alpha \mid a < x\}\alpha^{-1}, s < t. \quad (3)$$

Since  $X$  is an interval in  $\mathbb{R}$ , (1), (2) and (3) yield the fact that

$$\sup (\{x \in \text{ran } \alpha \mid x < a\} \alpha^{-1}) = \inf (\{x \in \text{ran } \alpha \mid a < x\} \alpha^{-1}), \text{ say } e.$$

Then either  $e = \max (\{x \in \text{ran } \alpha \mid x < a\} \alpha^{-1})$  or  $e = \min (\{x \in \text{ran } \alpha \mid a < x\} \alpha^{-1})$ .

Since  $\alpha$  is order-preserving, we have

$$\begin{aligned} e = \max (\{x \in \text{ran } \alpha \mid x < a\} \alpha^{-1}) &\Rightarrow e\alpha = \max (\{x \in \text{ran } \alpha \mid x < a\}), \\ e = \min (\{x \in \text{ran } \alpha \mid a < x\} \alpha^{-1}) &\Rightarrow e\alpha = \min (\{x \in \text{ran } \alpha \mid a < x\}). \end{aligned}$$

Hence the lemma is proved. □

The following corollary is obtained directly from Theorem 2.1.6 and Lemma 2.1.7.

**Corollary 2.1.8.** *Let  $X$  be an interval in  $\mathbb{R}$  and  $\alpha \in OT(X)$ . Then  $\alpha$  is a regular element of  $OT(X)$  if and only if the following two conditions hold.*

- (i) *If  $\text{ran } \alpha$  has an upper bound in  $X$ , then  $\max(\text{ran } \alpha)$  exists.*
- (ii) *If  $\text{ran } \alpha$  has a lower bound in  $X$ , then  $\min(\text{ran } \alpha)$  exists.*

## 2.2 Regular Semigroups

Throughout this section, the partial order on a nonempty subset of real numbers always means the natural order.

We shall apply Theorem 2.1.6 to prove Theorem 1.3 and Theorem 1.4 given in [5]. In addition, the regularity of  $OT(X)$  for some other chains  $X$  in  $\mathbb{R}$  are determined.

**Theorem 2.2.1.** *If  $X$  is a nonempty subset of  $\mathbb{Z}$ , then  $OT(X)$  is a regular semigroup.*

*Proof.* Let  $A$  be a nonempty subset of  $X$ . By the property of subsets of  $\mathbb{Z}$ , we have that if  $A$  is bounded above in  $X$ , then  $\max(A)$  exists. Also, if  $A$  is bounded below in  $X$ , then  $\min(A)$  exists.

If  $c \in X \setminus A$  is neither an upper bound nor a lower bound of  $A$ , then  $\{x \in A \mid x < c\} \neq \emptyset$  and  $\{x \in A \mid c < x\} \neq \emptyset$ , so both  $\max(\{x \in A \mid x < c\})$  and  $\min(\{x \in A \mid c < x\})$  exist.

This shows that for every  $\alpha \in OT(X)$ ,  $\text{ran } \alpha$  satisfies (i), (ii) and (iii) of Theorem 2.1.6. By Theorem 2.1.6, every  $\alpha \in OT(X)$  is regular in  $OT(X)$ . Hence  $OT(X)$  is a regular semigroup.  $\square$

**Lemma 2.2.2.** *If  $X$  is  $\mathbb{R}$ ,  $[a, \infty)$  or  $(a, \infty)$  where  $a \in \mathbb{R}$ , then  $OT(X)$  is not a regular semigroup.*

*Proof.* Let  $c \in X$  and define  $\alpha : X \rightarrow \mathbb{R}$  by

$$x\alpha = \begin{cases} c + \frac{x-c}{x-c+1} & \text{if } x \geq c, \\ c & \text{if } x < c. \end{cases}$$

Then  $x\alpha = c$  for all  $x \in X$  with  $x \leq c$ ,  $\alpha$  is continuous on  $X$  and the derivative of  $\alpha$  at  $x > c$  is  $\frac{1}{(x-c+1)^2} > 0$ . These imply that  $\alpha$  is a nondecreasing function on  $X$ . Also,  $\text{ran } \alpha = [c, c+1) \subseteq X$ , so  $\alpha \in OT(X)$ . Since  $\text{ran } \alpha$  is bounded in  $X$  and  $\max(\text{ran } \alpha)$  does not exist, by Theorem 2.1.6,  $\alpha$  is not a regular element of  $OT(X)$ . Hence  $OT(X)$  is not a regular semigroup.  $\square$

**Lemma 2.2.3.** *If  $X$  is  $(-\infty, a]$  or  $(-\infty, a)$ , then  $OT(X)$  is not a regular semigroup.*

*Proof.* Let  $c \in X$  and define  $\alpha : X \rightarrow \mathbb{R}$  by

$$x\alpha = \begin{cases} c - \frac{x-c}{x-c+1} & \text{if } x \leq c, \\ c & \text{if } x > c. \end{cases}$$

Then  $x\alpha = c$  for all  $x \geq c$ ,  $\alpha$  is continuous on  $X$  and the derivative of  $\alpha$  at  $x < c$  is  $\frac{1}{(x-c+1)^2} > 0$ . Hence  $\alpha$  is a nondecreasing function on  $X$ . We also have that

$\text{ran } \alpha = (c - 1, c] \subseteq X$ . Then  $\alpha \in OT(X)$ ,  $\text{ran } \alpha$  is bounded in  $X$  and  $\min(\text{ran } \alpha)$  does not exist. By Theorem 2.1.6,  $\alpha$  is not a regular element of  $OT(X)$ , hence  $OT(X)$  is not a regular semigroup.  $\square$

**Lemma 2.2.4.** *If  $X$  is  $[a, b)$ ,  $(a, b]$  or  $(a, b)$  where  $a, b \in \mathbb{R}$  and  $a < b$ , then the semigroup  $OT(X)$  is not regular.*

*Proof.* Define  $\alpha : X \rightarrow \mathbb{R}$  by

$$x\alpha = \frac{1}{4}(x - a) + \frac{a + b}{2} \quad \text{for all } x \in X.$$

Then the derivative of  $\alpha$  at  $x \in X$  is  $\frac{1}{4}$ . Hence  $\alpha$  is a nondecreasing function.

Also,

$$\text{ran } \alpha = X\alpha = \begin{cases} [\frac{a+b}{2}, \frac{a+3b}{4}) & \text{if } X = [a, b), \\ (\frac{a+b}{2}, \frac{a+3b}{4}] & \text{if } X = (a, b], \\ (\frac{a+b}{2}, \frac{a+3b}{4}) & \text{if } X = (a, b), \end{cases}$$

$$a < \frac{a+b}{2} < \frac{a+3b}{4} < b.$$

Then we deduce that  $\alpha \in OT(X)$ . Since  $\text{ran } \alpha$  is both bounded above and bounded below in  $X$ ,  $\max(\text{ran } \alpha)$  does not exist if  $X = [a, b)$  or  $X = (a, b]$  and  $\min(\text{ran } \alpha)$  does not exist if  $X = (a, b)$  or  $X = (a, b]$ , it follows from Theorem 2.1.6,  $\alpha$  is not a regular element of  $OT(X)$ . Hence  $OT(X)$  is not a regular semigroup.  $\square$

**Lemma 2.2.5.** *For  $a, b \in \mathbb{R}$  with  $a \leq b$ ,  $OT([a, b])$  is a regular semigroup.*

*Proof.* To show that every element of  $OT([a, b])$  is regular, let  $\alpha \in OT([a, b])$ .

Since  $\alpha$  is order-preserving on  $[a, b]$ , we have that  $a\alpha = \min(\text{ran } \alpha)$  and  $b\alpha = \max(\text{ran } \alpha)$ . By Corollary 2.1.8,  $\alpha$  is a regular element of  $OT([a, b])$ .  $\square$

From Lemma 2.2.2, Lemma 2.2.3, Lemma 2.2.4 and Lemma 2.2.5, the following theorem is obtained.

**Theorem 2.2.6.** *For an interval  $X$  in  $\mathbb{R}$ ,  $OT(X)$  is a regular semigroup if and only if  $X$  is closed and bounded.*

Note that if  $X$  is a trivial interval, that is,  $|X| = 1$ , then  $|OT(X)| = 1$ , so  $OT(X)$  is a regular semigroup.

**Theorem 2.2.7.** *If  $X$  is a nontrivial interval of a proper subfield  $F$  of  $\mathbb{R}$ , then  $OT(X)$  is not a regular semigroup.*

*Proof.* We first note that  $\mathbb{Q} \subseteq F \subsetneq \mathbb{R}$ . Then there is an irrational number  $c \in \mathbb{R} \setminus F$ . Let  $a, b \in X$  be such that  $a < b$ . Thus  $a - c < b - c$ , so  $a - c < d < b - c$  for some  $d \in \mathbb{Q}$ . Hence  $a < c + d < b$ . Since  $c \in \mathbb{R} \setminus F$  and  $d \in \mathbb{Q} \subseteq F$ , it follows that  $c + d \in \mathbb{R} \setminus F$  and  $c + d$  is an irrational number. Let  $e = c + d$ . Consequently,

$$X = ((-\infty, a) \cap X) \cup ([a, e) \cap X) \cup ((e, \infty) \cap X). \quad (1)$$

Define  $\mu : \mathbb{R} \rightarrow F$  by

$$x\mu = \begin{cases} x & \text{if } x \in (-\infty, a), \\ \frac{a+x}{2} & \text{if } x \in [a, e), \\ x & \text{if } x \in (e, \infty). \end{cases} \quad (2)$$

Then  $a\mu = a < e$ ,  $\alpha$  is continuous on  $(-\infty, e)$  and the derivative of  $\mu$  at  $x \in (a, e)$  is  $\frac{1}{2}$ . Consequently,  $\mu$  is an order-preserving function on  $\mathbb{R}$ . Let  $\alpha = \mu|_X : X \rightarrow F$ .

Then  $\alpha$  is order-preserving. We claim that

$$([a, e) \cap X) \alpha = [a, \frac{a+e}{2}) \cap X. \quad (3)$$

Let  $x \in [a, e) \cap X$ . Then  $a \leq x < e < b$  and  $x \in X \subseteq F$ , so

$$a \leq \frac{a+x}{2} = x\alpha < \frac{a+e}{2} < \frac{a+b}{2} < b \quad \text{and} \quad \frac{a+x}{2} \in F.$$

This implies that  $x\alpha \in [a, \frac{a+e}{2}) \cap X$  since  $X$  is an interval in  $F$  and  $a, b \in X$  with  $a < b$ . For the reverse inclusion, let  $y \in [a, \frac{a+e}{2}) \cap X$ . Then  $a \leq y < \frac{a+e}{2}$  and  $y \in X \subseteq F$ . Hence

$$a \leq 2y - a < e < b \quad \text{and} \quad 2y - a \in F.$$

Then  $2y - a \in [a, e) \cap X$  since  $a, b \in X$  and  $X$  is an interval in  $F$  and  $(2y - a)\alpha = \frac{a + (2y - a)}{2} = y$ . Therefore (3) holds. From (1), (2) and (3), we have

$$\begin{aligned} \text{ran } \alpha = X\alpha &= ((-\infty, a) \cap X) \cup ([a, \frac{a+e}{2}) \cap X) \cup ((e, \infty) \cap X) \\ &= ((-\infty, \frac{a+e}{2}) \cap X) \cup ((e, \infty) \cap X) \subseteq X. \end{aligned} \quad (4)$$

Hence  $\alpha \in OT(X)$ . Let  $q \in \mathbb{Q}$  be such that  $\frac{a+e}{2} < q < e$ . But

$$a < \frac{a+e}{2} < q < e < b,$$

$q \in \mathbb{Q} \subseteq F$ ,  $a, b \in X$  and  $X$  is an interval in  $F$ , thus by (4),  $q \in X \setminus \text{ran } \alpha$ ,  $\{x \in \text{ran } \alpha \mid x < q\} = (-\infty, \frac{a+e}{2}) \cap X$  and  $\{x \in \text{ran } \alpha \mid q < x\} = (e, \infty) \cap X$ . If  $\max((-\infty, \frac{a+e}{2}) \cap X)$  exists, say  $m$ , then

$$a \leq m < \frac{a+e}{2} < b \text{ and } m \in X.$$

Let  $p \in \mathbb{Q}$  be such that  $m < p < \frac{a+e}{2}$ . Then  $p \in F$  and  $a < p < b$  which imply that  $m < p \in (-\infty, \frac{a+e}{2}) \cap X$ , a contradiction. Then  $\max((-\infty, \frac{a+e}{2}) \cap X)$  does not exist. We can show similarly that  $\min((e, \infty) \cap X)$  does not exist. By Theorem 2.1.6,  $\alpha$  is not a regular element of  $OT(X)$ . This proves that  $OT(X)$  is not a regular semigroup, as desired.  $\square$

The following corollary is a direct consequence of Theorem 2.2.7.

**Corollary 2.2.8.** *If  $X$  is a nontrivial interval in  $\mathbb{Q}$ , then  $OT(X)$  is not a regular semigroup.*

**Example 2.2.9.** Under the usual order,  $X = \{1, \frac{1}{2}, \frac{1}{3}, \dots\}$  is order-isomorphic to  $\{-1, -2, -3, \dots\}$  through  $\frac{1}{n} \mapsto -n$  for  $n \in \mathbb{N}$ . Then  $OT(X) \cong OT(\{-1, -2, -3, \dots\})$  by Proposition 1.1. Since  $OT(\{-1, -2, -3, \dots\})$  is a regular semigroup by Theorem 2.2.1, it follows that  $OT(X)$  is a regular semigroup.

It is natural to ask that whether  $OT(X \cup \{0\})$  is a regular semigroup. Note that 1 and 0 are the maximum element and the minimum element of  $X \cup \{0\}$ ,



respectively. Since an infinite subset of  $\mathbb{Z}$  cannot have both a maximum element and a minimum element, it follows that  $X \cup \{0\}$  is not order-isomorphic to any chain of integers. However, we can show by Theorem 2.1.6 that  $OT(X \cup \{0\})$  is a regular semigroup. To prove this, let  $\alpha \in OT(X \cup \{0\})$ . Then  $1\alpha = \max(\text{ran } \alpha)$  and  $0\alpha = \min(\text{ran } \alpha)$ . Let  $m \in \mathbb{N} \setminus \{1\}$  be such that  $\frac{1}{m} \notin \text{ran } \alpha$ ,  $\{x \in \text{ran } \alpha \mid x < \frac{1}{m}\} \neq \emptyset$  and  $\{x \in \text{ran } \alpha \mid \frac{1}{m} < x\} \neq \emptyset$ . Since

$$\begin{aligned} \emptyset \neq \{x \in \text{ran } \alpha \mid x < \frac{1}{m}\} &\subseteq \left\{ \frac{1}{m+1}, \frac{1}{m+2}, \dots \right\} \cup \{0\}, \\ \emptyset \neq \{x \in \text{ran } \alpha \mid \frac{1}{m} < x\} &\subseteq \left\{ 1, \frac{1}{2}, \dots, \frac{1}{m-1} \right\}, \end{aligned}$$

it follows clearly both  $\max(\{x \in \text{ran } \alpha \mid x < \frac{1}{m}\})$  and  $\min(\{x \in \text{ran } \alpha \mid \frac{1}{m} < x\})$  exist. Hence by Theorem 2.1.6,  $\alpha$  is a regular element of  $OT(X \cup \{0\})$ .

**Example 2.2.10.** Let  $X = [0, 1) \cup (2, 3]$  with the natural order. Then  $OT(X)$  is not regular. To prove this, define  $\alpha \in OT([0, 1))$  be as in Lemma 2.2.4. Then  $\text{ran } \alpha = [\frac{0+1}{2}, \frac{0+3}{4}] = [\frac{1}{2}, \frac{3}{4}]$ . Define  $\bar{\alpha} : X \rightarrow \mathbb{R}$  by

$$x\bar{\alpha} = \begin{cases} x\alpha & \text{if } x \in [0, 1), \\ x & \text{if } x \in (2, 3]. \end{cases}$$

Thus,  $\bar{\alpha} \in OT(X)$  and  $\text{ran } \bar{\alpha} = \text{ran } \alpha \cup (2, 3] = [\frac{1}{2}, \frac{3}{4}] \cup (2, 3]$ . Since  $\frac{4}{5} \in X \setminus \text{ran } \bar{\alpha}$ ,

$$\{x \in \text{ran } \bar{\alpha} \mid x < \frac{4}{5}\} = [\frac{1}{2}, \frac{3}{4}]$$

and

$$\{x \in \text{ran } \bar{\alpha} \mid \frac{4}{5} < x\} = (2, 3],$$

it follows that neither  $\max(\{x \in \text{ran } \bar{\alpha} \mid x < \frac{4}{5}\})$  nor  $\min(\{x \in \text{ran } \bar{\alpha} \mid \frac{4}{5} < x\})$  exists. By Theorem 2.1.6,  $\bar{\alpha}$  is not a regular element of  $OT(X)$ .

A natural question arises. If  $X = [0, 1) \cup [2, 3]$  or  $[0, 1] \cup (2, 3]$ , is  $OT(X)$  a regular semigroup? The following theorem gives a general result. This result indicates that this semigroup  $OT(X)$  is a regular semigroup.

**Theorem 2.2.11.** Let  $X = I_1 \cup I_2 \cup \dots \cup I_n$  where  $n > 1$ ,

$$\begin{aligned} &I_i \text{ is an interval in } \mathbb{R} \text{ for all } i \in \{1, 2, \dots, n\}, \\ &\text{for } i \in \{1, 2, \dots, n-1\}, x < y \text{ for all } x \in I_i \text{ and } y \in I_{i+1}, \\ &I_i \cup I_{i+1} \text{ is not an interval in } \mathbb{R}, \end{aligned} \quad (1)$$

then  $OT(X)$  is regular if and only if the following three conditions hold.

- (i)  $\min(I_1)$  exists.
- (ii)  $\max(I_n)$  exists.
- (iii) For each  $i \in \{1, 2, \dots, n-1\}$ ,  $\max(I_i)$  or  $\min(I_{i+1})$  exists.

*Proof.* We shall show by contrapositive that if  $OT(X)$  is regular, then (i), (ii) and (iii) hold. Assume that at least one of (i), (ii) and (iii) is not true.

**Case 1 :**  $\min(I_1)$  does not exist. By the proofs of Lemma 2.2.3 and Lemma 2.2.4, there exists an element  $\alpha \in OT(I_1)$  such that

$$\text{ran } \alpha \text{ has a lower bound in } I_1 \text{ and } \min(\text{ran } \alpha) \text{ does not exist.} \quad (2)$$

Define  $\bar{\alpha} : X \rightarrow X$  by

$$x\bar{\alpha} = \begin{cases} x\alpha & \text{if } x \in I_1, \\ x & \text{if } x \in I_2 \cup \dots \cup I_n. \end{cases}$$

Since  $\alpha \in OT(I_1)$ , by (1),  $\bar{\alpha} \in OT(X)$ . Also,  $\text{ran } \bar{\alpha} = \text{ran } \alpha \cup I_2 \cup \dots \cup I_n$ . By (1) and (2),  $\text{ran } \bar{\alpha}$  has a lower bound and  $\min(\text{ran } \bar{\alpha})$  does not exist. By Theorem 2.1.6,  $\bar{\alpha}$  is not regular in  $OT(X)$ .

**Case 2 :**  $\max(I_n)$  does not exist. By the proofs of Lemma 2.2.2 and Lemma 2.2.4, there is an element  $\beta \in OT(I_n)$  such that

$$\text{ran } \beta \text{ has an upper bound in } I_n \text{ and } \max(\text{ran } \beta) \text{ does not exist.} \quad (3)$$

Define  $\bar{\beta} : X \rightarrow X$  by

$$x\bar{\beta} = \begin{cases} x & \text{if } x \in I_1 \cup \dots \cup I_{n-1}, \\ x\beta & \text{if } x \in I_n. \end{cases}$$

Since  $\beta \in OT(I_n)$ , by (1),  $\bar{\beta} \in OT(X)$ . We also have  $\text{ran } \bar{\beta} = I_1 \cup \dots \cup I_{n-1} \cup \text{ran } \beta$ . It follows from (1) and (3) that  $\text{ran } \bar{\beta}$  has an upper bound and  $\max(\text{ran } \bar{\beta})$  does not exist. By Theorem 2.1.6,  $\bar{\beta}$  is not regular in  $OT(X)$ .

**Case 3 :**  $\min(I_1)$  exists,  $\max(I_n)$  exists and there exists  $j \in \{1, 2, \dots, n-1\}$  such that neither  $\max(I_j)$  nor  $\min(I_{j+1})$  exists. By the proof of Lemma 2.3.4, there are elements  $\gamma_1 \in OT(I_j)$  and  $\gamma_2 \in OT(I_{j+1})$  such that

$$\text{ran } \gamma_1 \text{ has an upper bound in } I_j \text{ and } \max(\text{ran } \gamma_1) \text{ does not exist.} \quad (4)$$

and

$$\text{ran } \gamma_2 \text{ has a lower bound in } I_{j+1} \text{ and } \min(\text{ran } \gamma_2) \text{ does not exist.} \quad (5)$$

Define  $\bar{\gamma} : X \rightarrow X$  by

$$x\bar{\gamma} = \begin{cases} x\gamma_1 & \text{if } x \in I_j, \\ x\gamma_2 & \text{if } x \in I_{j+1} \\ x & \text{if } x \in X \setminus (I_j \cup I_{j+1}). \end{cases}$$

Since  $\gamma_1 \in OT(I_j)$  and  $\gamma_2 \in OT(I_{j+1})$ , it follows from (1) that  $\bar{\gamma} \in OT(X)$ . Moreover,

$$\text{ran } \bar{\gamma} = I_1 \cup \dots \cup I_{j-1} \cup \text{ran } \gamma_1 \cup \text{ran } \gamma_2 \cup I_{j+2} \cup \dots \cup I_n.$$

Let  $a \in I_j$  be an upper bound of  $\text{ran } \gamma_1$ . By (4),  $a \in I_1 \setminus \text{ran } \gamma_1$ . Then  $a \in X \setminus \text{ran } \bar{\gamma}$ ,

$$\text{ran } \bar{\gamma} = \{x \in \text{ran } \bar{\gamma} \mid x < a\} \dot{\cup} \{x \in \text{ran } \bar{\gamma} \mid a < x\},$$

$$\{x \in \text{ran } \bar{\gamma} \mid x < a\} = I_1 \cup \dots \cup I_{j-1} \cup \text{ran } \gamma_1, \quad (6)$$

$$\{x \in \text{ran } \bar{\gamma} \mid a < x\} = \text{ran } \gamma_2 \cup I_{j+2} \cup \dots \cup I_n. \quad (7)$$

By (1), (4) and (6),  $\max\{x \in \text{ran } \bar{\gamma} \mid x < a\}$  does not exist. Also, by (1), (5) and (7),  $\min\{x \in \text{ran } \bar{\gamma} \mid a < x\}$  does not exist. Hence by Theorem 2.1.6,  $\bar{\gamma}$  is not regular in  $OT(X)$ .

For the converse, assume that (i), (ii) and (iii) hold. Note that by (1),

$\min(X) = \min(I_1)$  and  $\max(X) = \max(I_n)$ . Let  $\alpha \in OT(X)$ . Since  $\alpha$  is order-preserving,  $\min(\text{ran } \alpha) = (\min(X))\alpha$  and  $\max(\text{ran } \alpha) = (\max(X))\alpha$ . Let  $c \in X \setminus \text{ran } \alpha$  be such that  $\{x \in \text{ran } \alpha \mid x < c\} \neq \emptyset$  and  $\{x \in \text{ran } \alpha \mid c < x\} \neq \emptyset$ . Then

$$X = \{x \in \text{ran } \alpha \mid x < c\}\alpha^{-1} \dot{\cup} \{x \in \text{ran } \alpha \mid c < x\}\alpha^{-1}, \quad (8)$$

and by Lemma 2.2.1,

$$\text{for all } s \in \{x \in \text{ran } \alpha \mid x < c\}\alpha^{-1} \text{ and } t \in \{x \in \text{ran } \alpha \mid c < x\}\alpha^{-1}, s < t. \quad (9)$$

From (9) and (10), we have that

either  $\{x \in \text{ran } \alpha \mid x < c\}\alpha^{-1} = I_1 \cup I_2 \dots \cup I_k$  and

$$\{x \in \text{ran } \alpha \mid c < x\}\alpha^{-1} = I_{k+1} \cup \dots \cup I_n \text{ for some } k \in \{1, 2, \dots, n-1\}$$

or there exists  $k \in \{1, 2, \dots, n\}$  such that  $I_k = A \dot{\cup} B$ ,  $A$  and  $B$  are nonempty interval,  $a < b$  for all  $a \in A$  and  $b \in B$ ,

$$\{x \in \text{ran } \alpha \mid x < c\}\alpha^{-1} = I_1 \cup I_2 \dots \cup I_{k-1} \cup A \text{ and}$$

$$\{x \in \text{ran } \alpha \mid c < x\}\alpha^{-1} = B \cup I_{k+1} \cup \dots \cup I_n.$$

By this fact, the assumption and the property of interval in  $\mathbb{R}$ , either  $\max(\{x \in \text{ran } \alpha \mid x < c\}\alpha^{-1})$  or  $\min(\{x \in \text{ran } \alpha \mid c < x\}\alpha^{-1})$  exists. Since  $\{x \in \text{ran } \alpha \mid x < c\} = (\{x \in \text{ran } \alpha \mid x < c\}\alpha^{-1})\alpha$  and  $\{x \in \text{ran } \alpha \mid c < x\} = (\{x \in \text{ran } \alpha \mid c < x\}\alpha^{-1})\alpha$  and  $\alpha$  is order-preserving, it follows that either  $\max(\{x \in \text{ran } \alpha \mid x < c\})$  or  $\min(\{x \in \text{ran } \alpha \mid c < x\})$  exists.  $\square$

From above Theorem, we can determine the regularity of  $OT(X)$  for various kinds of  $X \subseteq \mathbb{R}$ , for examples,  $OT([0, 1) \cup [2, 3) \cup [4, 5])$  is a regular semigroup and  $OT((0, 1) \cup [2, 3) \cup [4, 5])$  is not a regular semigroup.

**CHAPTER III**

**REGULAR ORDER-PRESERVING TRANSFORMATION**

**SEMIGROUPS ON DICTIONARIES PARTIALLY**

**ORDERED SETS OF CHAINS**

In this chapter, we characterize the regularity of  $OT(X \times X, \leq_d)$  when  $X$  is one of the following chains : chains of integers, intervals in  $\mathbb{R}$  and intervals in a subfield of  $\mathbb{R}$ . Theorem 2.1.6 is a main tool for these characterizations.

### 3.1 Chains of integers

The following lemma gives an important necessary condition for  $OT(X \times X, \leq_d)$  to be regular when  $X$  is any chain.

**Lemma 3.1.1.** *Let  $X$  be a chain. If  $OT(X \times X, \leq_d)$  is a regular semigroup, then  $X$  has a maximum and a minimum.*

*Proof.* Suppose that  $OT(X \times X, \leq_d)$  is regular. If  $|X| = 1$ , then we are done. Next, assume that  $|X| > 1$ . Let  $u, v \in X$  be such that  $u < v$ . Define  $\alpha : X \times X \rightarrow X \times X$  by

$$(x, y)\alpha = (u, x) \quad \text{for all } x, y \in X. \quad (1)$$

Then

$$(\{x\} \times X)\alpha = \{(u, x)\} \quad \text{for all } x \in X$$

and so

$$\text{ran } \alpha = \{u\} \times X. \quad (2)$$

We have that for  $x, y \in X$ ,

$$x \leq y \Rightarrow (u, x) \leq_d (u, y). \quad (3)$$

Then (1) and (3) give the fact that  $\alpha$  is order-preserving on  $(X \times X, \leq_d)$ . Hence  $\alpha \in OT(X \times X, \leq_d)$ . Since  $OT(X \times X, \leq_d)$  is regular, we have that  $\alpha = \alpha\beta\alpha$  for some  $\beta \in OT(X \times X, \leq_d)$ . By Lemma 2.1.2,  $(\beta\alpha)|_{\text{ran } \alpha}$  is the identity map on  $\text{ran } \alpha$  which implies from (2) that

$$(u, x)\beta\alpha = (u, x) \quad \text{for all } x \in X. \quad (4)$$

Since  $u < v$ , it follows that

$$(u, x) <_d (v, v) \quad \text{for all } x \in X.$$

Thus  $(u, x)\beta\alpha \leq_d (v, v)\beta\alpha$  for all  $x \in X$ . This implies by (4) that

$$(u, x) \leq_d (v, v)\beta\alpha \quad \text{for all } x \in X. \quad (5)$$

Since  $(v, v)\beta\alpha \in \text{ran } \alpha$ , by (2),  $(v, v)\beta\alpha = (u, f)$  for some  $f \in X$ . Hence from (5),

$$(u, x) \leq_d (u, f) \quad \text{for all } x \in X$$

which implies that  $x \leq f$  for all  $x \in X$ . This shows that  $f$  is the maximum of  $X$ .

To show that  $X$  also has a minimum, let  $\gamma : X \times X \rightarrow X \times X$  be defined by

$$(x, y)\gamma = (v, x) \quad \text{for all } x, y \in X. \quad (6)$$

Then

$$(\{x\} \times X)\gamma = \{(v, x)\} \quad \text{for all } x \in X$$

and thus

$$\text{ran } \gamma = \{v\} \times X. \quad (7)$$

Since for  $x, y \in X$ ,

$$x < y \Rightarrow (v, x) <_d (v, y), \quad (8)$$

we deduce from (6) and (8) that  $\gamma \in OT(X \times X, \leq_d)$ . Since  $OT(X \times X, \leq_d)$  is regular, we have that  $\gamma = \gamma\lambda\gamma$  for some  $\lambda \in OT(X \times X, \leq_d)$ . By Lemma 2.1.2,  $(\lambda\gamma)|_{\text{ran } \gamma} = 1|_{\text{ran } \gamma}$ , so by (7), we have

$$(v, x)\lambda\gamma = (v, x) \quad \text{for all } x \in X. \quad (9)$$

Since  $u < v$ , it follows that

$$(u, u) <_d (v, x) \quad \text{for all } x \in X,$$

and so  $(u, u)\lambda\gamma \leq_d (v, x)\lambda\gamma$  for all  $x \in X$ . This implies by (9) that

$$(u, u)\lambda\gamma \leq_d (v, x) \quad \text{for all } x \in X. \quad (10)$$

But  $(u, u)\lambda\gamma \in \text{ran } \gamma$ , so  $(u, u)\lambda\gamma = (v, e)$  for some  $e \in X$  by (7). Hence from (10),

$$(v, e) \leq_d (v, x) \quad \text{for all } x \in X$$

which implies that  $e \leq x$  for all  $x \in X$ . Hence  $e$  is the minimum of  $X$ .

Hence  $X$  has a maximum and a minimum, and the proof is complete.  $\square$

**Theorem 3.1.2.** *For  $\emptyset \neq X \subseteq \mathbb{Z}$ ,  $OT(X \times X, \leq_d)$  is a regular semigroup if and only if  $X$  is finite.*

*Proof.* If  $OT(X \times X, \leq_d)$  is regular, then by Lemma 3.1.1,  $\max(X)$  and  $\min(X)$  exist. But  $X$  is a nonempty subset of  $\mathbb{Z}$ , so we have that  $X$  must be finite.

Conversely, if  $X$  is a finite set, then  $(X \times X, \leq_d)$  is a finite chain. It follows that  $(X \times X, \leq_d)$  is order-isomorphic to a (finite) chain of integers. Hence by Theorem 2.2.1,  $OT(X \times X, \leq_d)$  is regular.  $\square$

**Remark 3.1.3.** By Theorem 2.2.1 and Theorem 3.1.2,  $OT(\mathbb{Z})$  is regular and  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$  is not regular, respectively. In addition,  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$  contains an infinitely many nonregular element. To see this, let  $c \in \mathbb{Z}$  and define  $\alpha_c : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z} \times \mathbb{Z}$  by

$$(x, y)\alpha_c = (c, x) \quad \text{for all } x, y \in \mathbb{Z}.$$

From the proof of Lemma 3.1.1,  $\alpha_c \in OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$  and  $\text{ran}(\alpha_c) = \{c\} \times \mathbb{Z}$ . Since

$$(c, x) <_d (c + 1, 0) \quad \text{for all } x \in \mathbb{Z},$$

we deduce that  $(c + 1, 0)$  is an upper bound of  $\text{ran}(\alpha_c)$ . But  $\{c\} \times \mathbb{Z}$  has no maximum, so by Theorem 2.1.6,  $\alpha_c$  is not a regular element of  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$ .

Hence

$$\{\alpha_c \mid c \in \mathbb{Z}\} \subseteq OT(\mathbb{Z} \times \mathbb{Z}, \leq_d) \setminus \text{Reg}(OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)).$$

If  $c_1 \neq c_2$  in  $\mathbb{Z}$ , then  $\text{ran}(\alpha_{c_1}) = \{c_1\} \times \mathbb{Z} \neq \{c_2\} \times \mathbb{Z} = \text{ran}(\alpha_{c_2})$  which implies that  $\alpha_{c_1} \neq \alpha_{c_2}$ . Hence  $\{\alpha_c \mid c \in \mathbb{Z}\}$  is an infinite subset of  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d) \setminus \text{Reg}(OT(\mathbb{Z} \times \mathbb{Z}, \leq_d))$ . Therefore, we deduce that  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$  contains an infinitely many nonregular elements. Since every constant map in  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$  is a regular element, it follows that  $OT(\mathbb{Z} \times \mathbb{Z}, \leq_d)$  also contains an infinitely many regular elements.

From the above proof, we can show similarly by Theorem 2.1.6 that if  $X$  is an infinite subset of  $\mathbb{Z}$ , then  $OT(X \times X, \leq_d)$  contains an infinitely many nonregular elements and an infinitely many regular elements.

### 3.2 Intervals in $\mathbb{R}$

We shall show that for an interval  $X$  in  $\mathbb{R}$ ,  $OT(X \times X, \leq_d)$  is regular if and only if  $X$  is closed and bounded.

**Lemma 3.2.1.** *Let  $a, b \in \mathbb{R}$  be such that  $a < b$ . If  $A$  and  $B$  are nonempty subsets of  $[a, b] \times [a, b]$  such that*

$$[a, b] \times [a, b] = A \dot{\cup} B \tag{1}$$

and

$$\text{for all } (x, y) \in A \text{ and } (x', y') \in B, (x, y) <_d (x', y'), \tag{2}$$

then  $\sup(A) = \inf(B)$ , hence either  $\sup(A) = \max(A)$  or  $\inf(B) = \min(B)$ .

*Proof.* Since  $(a, a) = \min([a, b] \times [a, b], \leq_d)$  and  $(b, b) = \max([a, b] \times [a, b], \leq_d)$ , we have  $(a, a) \in A$  and  $(b, b) \in B$ . Let

$$\begin{aligned} A_1 &= \{x \in [a, b] \mid (x, a) \in A\}, \\ B_1 &= \{x \in [a, b] \mid (x, a) \in B\}. \end{aligned} \tag{3}$$



By (1),

$$\begin{aligned} [a, b] \times \{a\} &= (A \dot{\cup} B) \cap ([a, b] \times \{a\}) \\ &= (A \cap ([a, b] \times \{a\})) \dot{\cup} (B \cap ([a, b] \times \{a\})). \end{aligned}$$

It follows that

$$[a, b] = A_1 \dot{\cup} B_1. \quad (4)$$

If  $x \in A_1$  and  $y \in B_1$ , then by (3),  $(x, a) \in A$  and  $(y, a) \in B$ . Hence  $(x, a) <_d (y, a)$  by (2) which implies that  $x < y$ . Therefore we have that

$$\text{for all } x \in A_1 \text{ and } y \in B_1, \quad x < y. \quad (5)$$

Since  $(a, a) \in A$ , we have by (3) that  $a \in A_1$ .

**Case 1 :**  $B_1 = \emptyset$ . By (3),  $(b, a) \notin B$ . Then  $(b, a) \in A$  by (1). By the definition of  $\leq_d$ , we have

$$\text{for all } (x, y) \in [a, b] \times [a, b], \quad (x, y) <_d (b, a) \notin B.$$

This fact, (1) and (2) imply that  $B \subseteq \{b\} \times (a, b]$ . Let

$$A_2 = \{y \in [a, b] \mid (b, y) \in A\} \text{ and } B_2 = \{y \in [a, b] \mid (b, y) \in B\}.$$

Then  $a \in A_2$  and  $b \in B_2$  since  $(b, a) \in A$  and  $(b, b) \in A$ . From (1) and (2), we respectively have

$$[a, b] = A_2 \dot{\cup} B_2$$

and

$$\text{for all } x \in A_2 \text{ and } y \in B_2, \quad x < y.$$

These imply that  $\sup(A_2) = \inf(B_2)$ , say  $c$ . Since  $B \subseteq \{b\} \times (a, b]$ , it follows from (2) that either  $B = \{b\} \times (c, b]$  or  $B = \{b\} \times [c, b]$ . Then we deduce from (1) that

$$B = \{b\} \times (c, d] \Rightarrow A = ([a, b] \times [a, b]) \cup (\{b\} \times [a, c]),$$

$$B = \{b\} \times [c, d] \Rightarrow A = ([a, b] \times [a, b]) \cup (\{b\} \times [a, c]).$$

Consequently,  $\max(A) = (b, c) = \inf(B)$ .

**Case 2 :**  $B_1 \neq \emptyset$ . Then  $b \in B_1$  by (4) and (5). It follows that  $\sup(A_1) = \inf(B_1)$ , say  $e$ . Let

$$A_3 = \{y \in [a, b] \mid (e, y) \in A\} \text{ and } B_3 = \{y \in [a, b] \mid (e, y) \in B\}. \quad (6)$$

By (1) and (2), we have respectively that

$$[a, b] = A_3 \dot{\cup} B_3 \quad (7)$$

and

$$\text{for all } x \in A_3 \text{ and } y \in B_3, x < y. \quad (8)$$

**Subcase 2.1 :**  $A_3 = \emptyset$ . By (6) and (7), we have  $(e, a) \notin A$  and  $(e, a) \in B$ . Since  $(a, a) \in A$ , we have  $a < e$ . By the definition of  $\leq_d$ , (1) and (2), we have

$$A = [a, e) \times [a, b] \text{ and } B = [e, b] \times [a, b],$$

and thus  $\min(B) = (e, a)$  which is an upper bound of  $A$ . If  $(u, v) <_d (e, a)$ , then  $u < e$ . But  $u < e$  implies that  $(u, v) <_d (\frac{u+e}{2}, v)$  and both belong to  $[a, e) \times [a, b]$ , so  $(u, v)$  is not an upper bound of  $A$ . This shows that  $\sup(A) = (e, a)$ . Hence  $\sup(A) = (e, a) = \inf(B)$ .

**Subcase 2.2 :**  $B_3 = \emptyset$ . Then by (6) and (7),  $(e, b) \notin B$  and  $(e, b) \in A$ . Thus by (1) and (2),

$$A = [a, e] \times [a, b] \text{ and } B = (e, b] \times [a, b].$$

Hence  $\max(A) = (e, b)$  and we can show similarly that  $\inf(B) = (e, b)$ .

**Subcase 2.3 :**  $A_3 \neq \emptyset$  and  $B_3 \neq \emptyset$ . From (7) and (8), we have  $\sup(A_3) = \inf(B_3)$ , say  $f$ .

If  $f \in A_3$ , then  $(e, f) \in A$  and  $(e, f) \notin B$  by (6) and (7), so from (1) and (2), we have

$$A = ([a, e) \times [a, b]) \cup (\{e\} \times [a, f]),$$

$$B = ((e, b] \times [a, b]) \cup (\{e\} \times (f, b])$$

which implies that  $\max(A) = (e, f)$ . We can see that  $(e, f)$  is a lower bound of  $B$ . If  $(u, v) >_d (e, f)$ , then  $u > e$  or  $u = e$  and  $v > f$ . Hence

$$\begin{aligned} u > e &\Rightarrow (u, v), \left(\frac{u+e}{2}, v\right) \in (e, b] \times [a, b] \subseteq B \\ &\text{and } \left(\frac{u+e}{2}, v\right) <_d (u, v), \\ u = e \text{ and } v > f &\Rightarrow (u, v), \left(u, \frac{v+f}{2}\right) \in \{e\} \times (f, b] \subseteq B \\ &\text{and } \left(u, \frac{v+f}{2}\right) <_d (u, v). \end{aligned}$$

Consequently,  $\inf(B) = (e, f)$ . Hence  $\sup(A) = (e, f) = \inf(B)$ .

If  $f \in B_3$ , then  $(e, f) \in B$  and  $(e, f) \notin A$ , by (6) and (7), so

$$\begin{aligned} A &= ([a, e) \times [a, b]) \cup (\{e\} \times [a, f)), \\ B &= ([e, b] \times [a, b]) \cup (\{e\} \times [f, b]) \end{aligned}$$

by (1) and (2). Thus  $\min(B) = (e, f)$ . We can show similarly that  $\sup(A) = (e, f)$ .

Hence  $\sup(A) = (e, f) = \inf(B)$ .

Therefore the proof is complete.  $\square$

**Theorem 3.2.2.** *For an interval  $X$  in  $\mathbb{R}$ ,  $OT(X \times X, \leq_d)$  is a regular semigroup if and only if  $X$  is closed and bounded.*

*Proof.* Assume that the semigroup  $OT(X \times X, \leq_d)$  is regular. By Lemma 3.1.1,  $X$  has a maximum and a minimum, say  $a$  and  $b$ , respectively. Hence  $X = [a, b]$ .

For the converse, assume that  $X = [a, b]$  where  $a, b \in \mathbb{R}$  and  $a < b$ . We shall prove that  $OT(X \times X, \leq_d)$  is a regular semigroup by Theorem 2.1.6 and Lemma 3.2.1. Let  $\alpha \in OT(X \times X, \leq_d)$ . Since  $\alpha$  is order-preserving,  $(a, a) = \min(X \times X, \leq_d)$  and  $(b, b) = \max(X \times X, \leq_d)$ , it following that  $(a, a)\alpha = \min(\text{ran } \alpha)$  and  $(b, b)\alpha = \max(\text{ran } \alpha)$ . Next, let  $(e, f) \in (X \times X) \setminus \text{ran } \alpha$  be such that

$$A = \{(x, y) \in \text{ran } \alpha \mid (x, y) <_d (e, f)\} \neq \emptyset$$

and

$$B = \{(x, y) \in \text{ran } \alpha \mid (e, f) <_d (x, y)\} \neq \emptyset.$$

This implies that

$$\begin{aligned} A\alpha^{-1} &\neq \emptyset, B\alpha^{-1} \neq \emptyset, \\ [a, b] \times [a, b] &= A\alpha^{-1} \dot{\cup} B\alpha^{-1}, \end{aligned}$$

and by Lemma 2.1.1,

$$\text{for all } x \in A\alpha^{-1} \text{ and } y \in B\alpha^{-1}, x < y.$$

From these facts and Lemma 3.2.1,  $\sup(A\alpha^{-1}) = \inf(B\alpha^{-1})$ . If  $\sup(A\alpha^{-1}) = \max(A\alpha^{-1})$ , then  $(\max(A\alpha^{-1}))\alpha = \max(A)$  since  $\alpha$  is order-preserving. Also, if  $\inf(B\alpha^{-1}) = \min(B\alpha^{-1})$ , then  $(\min(B\alpha^{-1}))\alpha = \min(B)$ . Hence by Theorem 2.1.6,  $\alpha$  is a regular element of  $OT(X \times X, \leq_d)$ , as desired.  $\square$

As a direct consequence of Theorem 2.2.6 and Theorem 3.2.2, we have

**Corollary 3.2.3.** *Let  $X$  be an interval in  $\mathbb{R}$ . Then the following statements are equivalent.*

- (i)  $OT(X \times X, \leq_d)$  is a regular semigroup.
- (ii)  $OT(X)$  is a regular semigroup.
- (iii)  $X$  is closed and bounded.

**Remark 3.2.4.** We define  $\leq_d$  on  $[a, b] \times \{1, 2, \dots, n\}$ , where  $a < b$  in  $\mathbb{R}$  and  $n \in \mathbb{N}$ , as before, that is,

$$\begin{aligned} (x, k) \leq_d (y, l) &\Leftrightarrow \text{either (i) } x < y \text{ or} \\ &\text{(ii) } x = y \text{ and } k \leq l. \end{aligned}$$

Then  $([a, b] \times \{1, 2, \dots, n\}, \leq_d)$  is a chain. It can be easily seen that

$$([a, b] \times \{1, 2, \dots, n\}, \leq_d) \text{ and } \left( \bigcup_{i=0}^{n-1} [a, b] + 2i(b-a), \leq \right)$$

are order-isomorphic through the map  $(x, k) \mapsto x + 2(k-1)(b-a)$  where  $\leq$  is the natural order of real numbers. For an example,

$$([1, 2] \times \{1, 2, 3, 4\}, \leq_d) \cong ([1, 2] \cup [3, 4] \cup [5, 6] \cup [7, 8], \leq).$$

By Theorem 2.2.6,  $OT(\bigcup_{i=0}^{n-1} [a, b] + 2i(b - a), \leq)$  is regular. Hence  $OT([a, b] \times \{1, 2, \dots, n\}, \leq_d)$  is a regular semigroup.

### 3.3 Intervals in Subfields of $\mathbb{R}$

We shall show in this section that if  $X$  is a nontrivial interval in a subfield  $F$  of  $\mathbb{R}$ , then  $OT(X \times X, \leq_d)$  is regular only the case that  $F = \mathbb{R}$  and  $X$  is closed and bounded.

**Lemma 3.3.1.** *If  $X$  is a nontrivial interval in a proper subfield  $F$  of  $\mathbb{R}$ , then  $OT(X \times X, \leq_d)$  is not a regular semigroup.*

*Proof.* Let  $a, b \in X$  be such that  $a < b$ . Then there is an irrational number  $e \in \mathbb{R} \setminus F$  such that  $a < e < b$  (see the proof of Theorem 2.2.7). Thus

$$X = ((-\infty, a) \cap X) \cup ([a, e) \cap X) \cup ((e, \infty) \cap X).$$

Hence

$$X \times X = (((-\infty, a) \cap X) \times X) \cup (([a, e) \cap X) \times X) \cup (((e, \infty) \cap X) \times X).$$

Define  $\alpha : X \times X \rightarrow X \times X$  by

$$(x, y)\alpha = \begin{cases} (x, a) & \text{if } x \in (-\infty, a) \cap X \text{ and } y \in X, \\ (\frac{a+x}{2}, a) & \text{if } x \in [a, e) \cap X \text{ and } y \in X, \\ (x, a) & \text{if } x \in (e, \infty) \cap X \text{ and } y \in X. \end{cases}$$

We can see from the proof of Theorem 2.2.7 that  $\alpha \in OT(X \times X, \leq_d)$  and

$$\text{ran } \alpha = (((-\infty, \frac{a+e}{2}) \cap X) \dot{\cup} ((e, \infty) \cap X)) \times \{a\}.$$

Let  $q \in (\frac{a+e}{2}, e) \cap X$ . Then  $(q, a) \in (X \times X) \setminus \text{ran } \alpha$ . We also have from the definition of  $\alpha$  that

$$\{(x, y) \in \text{ran } \alpha \mid (x, y) <_d (q, a)\} = ((-\infty, \frac{a+e}{2}) \cap X) \times \{a\}$$

and

$$\{(x, y) \in \text{ran } \alpha \mid (q, a) <_d (x, y)\} = ((e, \infty) \cap X) \times \{a\}$$

It can be seen from the proof of Theorem 2.2.7 that none of  $\max\left(\left(-\infty, \frac{a+e}{2}\right) \cap X\right) \times \{a\}$  and  $\min\left(\left((e, \infty) \cap X\right) \times \{a\}\right)$  exists. By Theorem 2.1.6,  $\alpha$  is not a regular element of  $OT(X \times X, \leq_d)$ .  $\square$

As a direct consequence of Lemma 3.3.1, we have

**Corollary 3.3.2.** *It  $X$  is a nontrivial interval in  $\mathbb{Q}$ , then  $OT(X \times X, \leq_d)$  is not a regular semigroup.*

**Remark 3.3.3.** Notice that the converse of Lemma 3.1.1 is true under the assumption that  $\emptyset \neq X \subseteq \mathbb{Z}$  or  $X$  is an interval in  $\mathbb{R}$ . This follows from Theorem 3.1.2 and Theorem 3.2.2. However, the converse of Lemma 3.1.1 is not generally true. To see this, let  $a, b \in \mathbb{Q}$  be such that  $a < b$ . Then  $[a, b] \cap \mathbb{Q}$  is a nontrivial interval in  $\mathbb{Q}$ . By Corollary 3.3.2,  $OT\left(\left([a, b] \cap \mathbb{Q}\right) \times \left([a, b] \cap \mathbb{Q}\right), \leq_d\right)$  is not a regular semigroup. However,  $b = \max([a, b] \cap \mathbb{Q})$  and  $a = \min([a, b] \cap \mathbb{Q})$ .

**Theorem 3.3.4.** *Let  $X$  be a nontrivial interval in a subfield  $F$  of  $\mathbb{R}$ . Then  $OT(X \times X, \leq_d)$  is a regular semigroup if and only if  $F = \mathbb{R}$  and  $X$  is closed and bounded.*

*Proof.* If  $F \neq \mathbb{R}$ , then by Lemma 3.3.1,  $OT(X \times X, \leq_d)$  is not regular. Therefore if  $OT(X \times X, \leq_d)$  is regular, then  $F = \mathbb{R}$ , and hence by Theorem 3.2.2,  $X$  is closed and bounded.

The converse holds by Theorem 3.2.2.  $\square$

The following corollary is obtained from Theorem 2.2.7 and Theorem 3.3.4.

**Corollary 3.3.5.** *Let  $X$  be a nontrivial interval in a subfield  $F$  of  $\mathbb{R}$ . Then the following statements are equivalent.*

- (i)  $OT(X \times X, \leq_d)$  is a regular semigroup.
- (ii)  $OT(X)$  is a regular semigroup.
- (iii)  $F = \mathbb{R}$  and  $X$  is closed and bounded.

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