# การสังเคราะห์ซิลิคอนในไตรค์พรุนด้วยกระบวนการคาร์โบเทอร์มอลรีดักชันและในไตรเดชัน

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# SYNTHESIS OF POROUS SILICON NITRIDE VIA THE CARBOTHERMAL REDUCTION AND NITRIDATION OF SILICA/PRF GEL COMPOSITE

Miss Marisa Meechoonuck

A Thesis Submitted in Partial Fulfillment of the Requirements for the Degree of Master of Engineering Program in Chemical Engineering Department of Chemical Engineering Faculty of Engineering Chulalongkorn University Academic Year 2011 Copyright of Chulalongkorn University

Thesis Title	SYNTHESIS OF POROUS SILICON NITRIDE VIA		
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มาริษา มีชูนึก : การสังเคราะห์ซิลิคอนในไตรค์พรุนด้วยกระบวนการคาร์โบเทอร์มอลรีดักชันและใน ใตรเดชันของซิลิกา/พีอาร์เอฟเจล คอมพอสิต (SYNTHESIS OF POROUS SILICON NITRIDE VIA THE CARBOTHERMAL REDUCTION AND NITRIDATION OF SILICA/PRF GEL COMPOSITE) อ. ที่ปรึกษาวิทยานิพนธ์หลัก: ผศ.ดร.วรงก์ ปวราจารย์, 81 หน้า.

้งานวิจัยนี้ศึกษาการสังเคราะห์ซิลิคอนในไตรด์พรุนซึ่งเป็นวัสดุที่มีโครงสร้างเหมาะสำหรับใช้งานใน ้การกรองภายใต้อุณหฏมิสูงจากซิลิกา/ฟีนอล-เร โซซินอล-ฟอร์มัลดีไฮด์(พีอาร์เอฟ)เจลคอมพอสิตที่ถูกเตรียมด้วย ้วิธีโซล-เจลร่วมกับปฏิกิริยาโพลิเมอไรเซชันของฟีนอล, เรโซซินอล และฟอร์มัลดีไฮด์โดยใช้โซเดียมการ์บอเนต ้เป็นตัวเร่งปฏิกิริยา และใช้ 3-อะมิโนโพรพิล ไตรเมทอกซีไซเลน (เอพีทีเอ็มเอส) เป็นสารตั้งต้นของซิลิกา หลังจากนั้นสารผสมจะถกบ่มไว้อีก 72 ชั่วโมงก่อนที่จะมีการแลกเปลี่ยนตัวทำละลายในสารผสมด้วย ที-บิว ้ทานอล ทุก 24 ชั่วโมง เป็นเวลา 3 วัน ก่อนที่จะนำไปอบแห้งแบบเยือกแข็งที่อุณหภูมิ -40 องศาเซลเซียส เป็นเวลา วัน จากนั้น นำซิลิกา/พีอาร์เอฟคอมพอสิตไปผ่านกระบวนการไพโรไลสิส ภายใต้แก๊สในโตรเจนและ 3 กระบวนการแกลซิเนชั่นรอบแรกภายใต้อากาศที่อุณหภูมิ 400-500 องศาเซลเซียสเป็นเวลา 2 ชั่วโมงเพื่อกำจัด ้โครงสร้างพีอาร์เอฟและการ์บอนบางส่วนออกให้กลายเป็นซิลิกา/การ์บอนคอมโพสิตพรน สดท้ายจะนำคอมโพ สิตที่ได้เข้าสู่กระบวนการในไตรเดชันที่อุณหภูมิ 1450 องศาเซลเซียสเป็นเวลา 10 ชั่วโมง แล้วเผากำจัดการ์บอน ้ส่วนที่เหลือออกอีกครั้งที่อุณหภูมิ 800 องศาเซลเซียสเป็นเวลา 8 ชั่วโมง จากการทดลองพบว่า อัตราส่วนโมล ้งองฟีนอล, เรโซซินอล, ซิลิกา, การ์บอนและอุณหภูมิที่ใช้ในการแกลไซน์เพื่อกำงัดการ์บอนบางส่วนออกที่ แตกต่างกัน ส่งผลต่อกวามพรุนของซิลิกา/การ์บอน กอมโพสิตและซิลิกอนในใตรด์ที่แตกต่างกันด้วย โดยการพรี แกลไซน์ที่เป็นตัวแปรหลักทำให้เกิดการปรับปรุงกวามพรุนของกอมโพสิต ซึ่งส่งผลต่อกวามพรุนของซิลิกอนไน ใตรค์ นอกจากนั้นยังพบว่าการเตรียมสารตั้งต้นซิลิกาให้อยู่ในรูปของโซลก่อนเติมลงไปในพือาร์เอฟเจลก็เป็นอีก ทางเลือกหนึ่งในการลดความรุนแรงของปฏิกิริยาระหว่างซิลิกาและสารละลายพีอาร์เอฟ ก็ส่งผลต่อความพรน และขนาคผลึกของซิลิคอนในไตรด์

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## MARISA MEECHOONUCK: SYNTHESIS OF POROUS SILICON NITRIDE VIA THE CARBOTHERMAL REDUCTION AND NITRIDATION OF SILICA/PRF GEL COMPOSITE ADVISOR: ASST. PROF. VARONG PAVARAJARN, Ph.D., 81 pp.

This research studied the synthesis of porous silicon nitride, one of the most promising structural materials for applications in filter at high-temperature, from silica/phenol-resorcinol-formaldehyde (PRF) gel composite which was prepared by combining sol-gel and polymerization of phenol, resorcinol and formaldehyde using sodium carbonate as catalyst and 3-aminopropyl trimethoxysilane (APTMS) as silica precursor. After aging of the silica/PRF gel for 72 hours, t-butanol was used in the solvent exchange process for 24 hours and repeated for 3 times. Then the sample was dried in freeze drying processes at -40°C for 3 days. Next, the silica/PRF composite was pyrolyzed under nitrogen gas and pre-calcined first under air at temperature in the range of 400-500°C for 2 hours to convert the PRF structure into porous carbon and partially remove carbon from the composite, respectively. Finally, the composite was subjected to the carbothermal reduction and nitridation at 1450°C for 10 hours followed by the removal of residual carbon by calcination at 800°C for 8 hours. From the results, differences in molar ratio of phenol-to-resorcinol, silica-to-carbon and pre-calcination temperature result in difference in porosity of both silica/carbon composite and that of silicon nitride product. The pre-calcination is the major effect to improve the porosity, which has an effect on porosity of silicon nitride product. Moreover, it was also found that the use of pre-formed silica sol, which is another way to decrease violent interaction between silica precursor and the PRF solution, has an effect on porosity and crystallite size of silicon nitride product.

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C.2	The calibration curve of nitrogen
D.1	The plot indicating the value of line broadening due to the
	equipment. The data were obtained by using quartz as standard

XV

### **CHAPTER I**

### **INTRODUCTION**

Silicon nitride  $(Si_3N_4)$  is one of the most promising advanced ceramic materials. It has been extensively studied for high temperature applications because of unique properties such as low density, high-temperature strength, high stress and damage tolerance, excellent thermal shock resistance, and high reliability comparing to most ceramics. In the common applications of silicon nitride, it has been used as structural components of gas turbines, reciprocating engine parts, membranes, catalyst supports, cutting tools, bearings, and metal forming components [1].

Silicon nitride exists in two major hexagonal crystallographic forms, i.e.,  $\alpha$ phase and  $\beta$ -phase [2].  $\beta$ -Si<sub>3</sub>N<sub>4</sub> is more desirable structure for high-temperature engineering applications because of its high-temperature strength and excellent thermal shock resistance. Fabrication of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> parts usually starts from  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> powder mixed with sintering additives which is subsequently sintered at high temperature. During the sintering process, transformation from  $\alpha$ -phase to  $\beta$ -phase occurs, providing desirable microstructure having high mechanical and thermal strength [3].

 $Si_3N_4$  powders can be produced by the several methods such as direct nitridation of silicon, carbothermal reduction and nitridation of silica, diimide synthesis, and vapor phase synthesis. Nevertheless, one of the most popular methods for  $Si_3N_4$  synthesis is the carbothermal reduction and nitridation of silica. This process involves reaction of carbon and silica in nitrogen atmosphere at temperature in the range from 1200°C to 1450°C [4]. Porous ceramics are essential for many industries, where high surface area and insulating characteristics are required. Porosity in ceramic reduces density of specimen. With the expansion of applications for porous ceramics, high porosity as well as high strength is required at the same time. Porous ceramics have also been attracting great interest for various applications relating to separation in severe environments, in which other materials such as metals or organic materials can not be used.

Porous silicon nitride has attracted great interest for engineering applications. Its high strength at high temperature, good thermal stress resistance due to the low coefficient of thermal expansion, and relatively good resistance to oxidation comparing to other high-temperature materials make porous silicon nitride to be a very good candidate for applications such as high-temperature gas filter and catalyst carriers.

Many researchers have studied the preparation of porous silicon nitride and silicon nitride-based composites. Properties of the porous silicon nitride ceramics are influenced by their relative density and morphology of grains and pores (e.g., grain size, aspect ratio, pore size and amount) [5]. The porous silicon nitride ceramics can be fabricated by using the fugitive substance [6], partial sintering [7], restrained sintering [8,9], partial hot-pressing [10], and freeze-drying [11].

In this work, resorcinol-formaldehyde (RF) gel was used as a carbon source for the carbothermal reduction with silica. It was prepared from resorcinol and formaldehyde according to the method proposed by Pekala [12]. The RF gel can be converted into highly porous carbon gel via the pyrolysis. When phenol is used in place of resorcinol, the phenol-formaldehyde (PF) gel, which can also be pyrolyzed into porous carbon aerogel as well, is obtained. Although the structures of RF and PF gel are similar, the oxidation of carbon derived from RF and PF gels was found to occur at different temperature [13]. In this research, both phenol and resorcinol was used to form phenolresorcinol-formaldehyde (PRF) gel. Silica was incorporated into the PRF gel via the sol-gel process. The silica/carbon composite formed by the pyrolysis of the dried silica/PRF gel was used as a precursor for the carbothermal reduction and nitridation to produce silicon nitride, of which the porosity was controlled by porous structure of the silica/PRF gel. This work focuses on effects of various factors, such as composition of the PRF-gel, silica-to-carbon molar ratio of the composites, controlled calcination temperature to partially remove carbon from the silica/carbon composite to enhance porosity of the composite, and the alteration of the silica precursor into preformed silica sol.

This thesis is divided into five chapters. The first three chapters describe general information about the study, while the following two chapters emphasize on the results and discussion from the present study. Chapter I is the introduction of this work. Chapter II describes basic theory about silicon nitride properties, the carbothermal reduction and nitridation process, sol-gel process, resorcinol– formaldehyde (RF) gel, and phenol-formaldehyde (PF) gel. Chapter III shows materials and experimental systems. Chapter IV presents the experimental results and discussion. In the last chapter, the overall conclusions from the results and recommendation for future work are presented.

### **CHAPTER II**

### THEORY AND LITERATURE SURVEY

### 2.1 Silicon nitride

Silicon nitride  $(Si_3N_4)$  has been regarded as one of the most promising advanced ceramic materials which have to be synthesized because it does not exist in nature. It is not easy to obtain the silicon nitride powders with high purity, fine grain size and narrow size distribution. These characteristics are significant for the fabrication of silicon nitride specimen [14].

The following techniques are usually applied to synthesize  $Si_3N_4$  powders [4] : (1) direct nitridation of metallic silicon powder, (2) gas-phase reaction of SiCl<sub>4</sub>, (3) carbothermal reduction and nitridation of SiO<sub>2</sub> in nitrogen atmosphere, and (4) precipitation and thermal decomposition of silicon diimide. For the industrial production of silicon nitride powders, silica, silicon, and silicon tetrachloride (SiCl<sub>4</sub>) are the three commonly used starting materials for the mentioned processes, owing to their high purity. They can also be easily purified. Properties of the powders produced via these techniques may be changed by varying the processing conditions as shown in Table 2.1 [15].

Properties	Direct nitridation of silicon	Vapor phase synthesis	Carbothermal nitridation	Diimide synthesis
Specific surface area (m <sup>2</sup> /g)	8-25	3.7	4.8	10
Oxygen content (wt %)	1.0-2.0	1	1.6	1.4
Carbon content (wt %)	0.1-0.4	-	0.9-1.1	0.1
Metallic impurities (wt %)	0.07-0.15	0.03	0.06	0.005
Crystallinity (%)	100	60	100	100
α/(α+β) (%)	95	95	95	85

**Table 2.1**Typical properties of silicon nitride powders produced by various<br/>processing techniques [15].

#### 2.1.1 Crystal structure of silicon nitride

Silicon nitride exists in three crystallographic forms. The structures are designated as  $\alpha$ ,  $\beta$ , and  $\gamma$ -phase. While the  $\alpha$  and  $\beta$  phases can be produced under normal nitrogen pressure and have a great importance in the production of advanced ceramics, the recently discovered  $\gamma$ -Si<sub>3</sub>N<sub>4</sub> can be formed only at extremely high pressure [16] and has no practical use yet.

In the process of manufacturing silicon nitride specimen, the  $\alpha$ -phase is generally preferred as basic raw material because of the desirable microstructural characteristics obtained during the phase transformation into  $\beta$ -phase at high temperature. Detailed X-ray diffractometry (XRD) examinations in the mid-1950s have proved that the crystal structure of both  $\alpha$  and  $\beta$  polymorphs are hexagonal [2]. However, their respective structural dimensions are different. The structures of  $\alpha$ ,  $\beta$  and  $\gamma$ -phase are shown in Figure 2.1, 2.2 and 2.3 respectively.

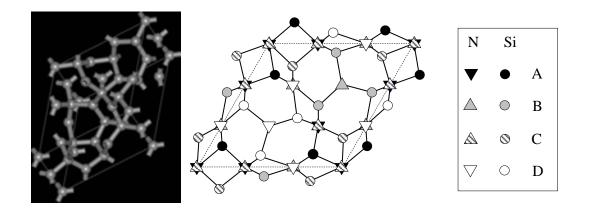
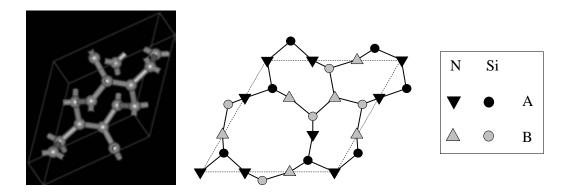


Figure 2.1  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> unit cell: the structure of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> can be described as a stacking of Si-N layers in ...ABCDABCD... sequence.



**Figure 2.2**  $\beta$ -Si<sub>3</sub>N<sub>4</sub> unit cell: the structure of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> can be described as a stacking of Si-N layers in ...ABAB... sequence.

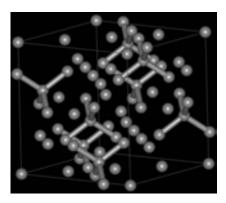


Figure 2.3 Crystal structure of  $\gamma$ -Si<sub>3</sub>N<sub>4</sub>

### 2.1.2 Properties of silicon nitride ceramic

The properties of silicon nitride ceramics depend on two types of silicon nitride, i.e., dense  $Si_3N_4$  and porous  $Si_3N_4$ . The former can be produced by pressureless sintering, hot-pressing or hot-isostatic pressing, all of which were controlled by a liquid-phase sintering. The latter can be produced by using the fugitive substance [6], partial sintering [7], restrained sintering [8,9], partial hot-pressing [10], freeze-drying [11], and reaction-bonding of silicon powder compacts [17]. The properties of two types of silicon nitride specimens are shown in Table 2.2 [4]

**Table 2.2**Properties of silicon nitride ceramics.

Theoretical density (g cm <sup>-3</sup> ):	
α-phase	3.168-3.188
β-phase	3.19-3.202
Density (g cm <sup>-3</sup> ):	
dense Si <sub>3</sub> N <sub>4</sub>	90-100% th.d.*
reaction-bonded Si <sub>3</sub> N <sub>4</sub>	70-88% th.d.
Coefficient of thermal expansion	
$(20-1500^{\circ}C) (10^{-6} C^{-1})$	2.9-3.6

### Table 2.2(Continued).

Thermal conductivity (RT) (W m <sup>-1</sup> K <sup>-1</sup> ):	
dense Si <sub>3</sub> N <sub>4</sub>	15-50
reaction-bonded $Si_3N_4$	4-30
Thermal diffusivity (RT) $(cm^2 sec^{-1})$ :	
dense Si <sub>3</sub> N <sub>4</sub>	0.08-0.29
reaction-bonded Si <sub>3</sub> N <sub>4</sub>	0.02-0.22
Specific heat $(J \text{ kg}^{-1} \circ \text{C}^{-1})$	700
Electrical resistivity (RT) (Ω cm)	~1013
Microhardness (Vickers, MN m <sup>-2</sup> )	1600-2200
Young's modulus, (RT) (GN m <sup>-2</sup> ):	
dense Si <sub>3</sub> N <sub>4</sub>	300-330
reaction-bonded $Si_3N_4$	120-220
Flexural strength (RT) (MN m <sup>-2</sup> ):	
dense Si <sub>3</sub> N <sub>4</sub>	400-95
reaction-bonded Si <sub>3</sub> N <sub>4</sub>	150-350
Fracture toughness (MN m <sup>-3/2</sup> ):	
dense Si <sub>3</sub> N <sub>4</sub>	3.4-8.2
reaction-bonded Si <sub>3</sub> N <sub>4</sub>	1.5-2.8
Thermal stress resistance parameter	
$R = \sigma_F(1-\nu)/\alpha E$ (°C) and R' = R $\lambda$ (10 <sup>3</sup> W m <sup>-1</sup> ):	
dense Si <sub>3</sub> N <sub>4</sub>	R = 300-780 R' = 7-32
reaction-bonded Si <sub>3</sub> N <sub>4</sub>	R = 220-580 R' = 0.5-10

th.d.\* = Theoretical density is dependent on the type and composition of consolidation aids (Theoretical density of pure  $Si_3N_4 = 3.2 \text{ g cm}^{-3}$ )

#### 2.2 Carbothermal reduction and nitridation of silica

The carbothermal reduction and nitridation of silica powder under nitrogen is the earliest method to synthesize silicon nitride and silicon carbide. This technique also showed the possibility of synthesizing highly porous and strong silicon nitride materials at considerably lower cost than at present. The reaction starts from a mixture of silica and carbon, of which the reaction with nitrogen at high temperature in the range from 1400°C up to 1500°C can produce either  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> or  $\beta$ -Si<sub>3</sub>N<sub>4</sub>. As carbon is an active reducer of oxide, SiO<sub>2</sub> can also react with carbon directly to form SiC [18]. However, the formation of SiC can be avoided by controlling high pressure of nitrogen gas to promote the Si<sub>3</sub>N<sub>4</sub> formation [19]. The overall reaction of the process can be described with the following reactions.

$$3SiO_2(s) + 6C(s) + 2N_2(g) \rightarrow \alpha - Si_3N_4(s) + 6CO(g)$$
 (2.1)

$$3SiO_{2}(s) + 6C(s) + 2N_{2}(g) \to \beta - Si_{3}N_{4}(s) + 6CO(g)$$
(2.2)

$$2SiO_{2}(s) + 3C(s) + N_{2}(g) \to Si_{2}N_{2}O(s) + 3CO(g)$$
(2.3)

$$3Si_2N_2O(s) \rightarrow Si_3N_4(s) + 3SiO(g) + N_2(g)$$

$$(2.4)$$

$$SiO_2(s) + 3C(s) \rightarrow SiC(s) + 2CO(g)$$
 (2.3)

Nevertheless, the overall reaction is reported to be slow, requiring many hours to complete. As the reaction depends on solid contacts between silica and carbon, excess of carbon content is required for entire transformation of silica to silicon nitride, which often results in free carbon remaining in the nitrided powder.  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> can be produced directly from very fine silica and carbon at temperature in the range of 1400 - 1500°C depending on the reactivity of raw materials. In some process, sintering additives such as MgO and Y<sub>2</sub>O<sub>3</sub> were used for reduce the time to complete the reaction and change the morphology of product during sintering [20].

(25)

In some reports for the synthesis of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> via the carbothermal nitridation reaction,  $\beta$ -Si<sub>3</sub>N<sub>4</sub> and SiC were by-products when the reaction conditions were changed. At the temperature higher than 1600°C, phase transformation from  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> to  $\beta$ -Si<sub>3</sub>N<sub>4</sub> will occur [21]. Besides, carbon reduces SiO<sub>2</sub> to pure silicon in this process and then pure silicon reacts with N<sub>2</sub> to form Si<sub>3</sub>N<sub>4</sub>, while the impurity oxides of silica promote formation of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> instead of preferred  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> [19]. Si<sub>3</sub>N<sub>4</sub>/SiC nanocomposite powders were also synthesized via the carbothermal reaction of silicaphenol resin hybrid gels. The Si<sub>3</sub>N<sub>4</sub> and SiC contents can be easily controlled by adjusting the reaction temperature and/or the starting carbon content, or using a twostage process, while the size of the obtained Si<sub>3</sub>N<sub>4</sub> particles can be effectively controlled by adding Si<sub>3</sub>N<sub>4</sub> powder as seed particles to the silica/phenol resin gel [22].

The characteristics of the  $Si_3N_4$  powder resulting from the carbothermal reduction process are affected by many factors such as silica-to-carbon molar ratio, nitrogen flow rate, reaction temperature, particle size and specific surface area of silica and carbon as well as the impurities. The carbothermal reduction and nitridation was used in this research because the porosity of silicon nitride can be controlled by porosity of carbon derived from phenol - resorcinol formaldehyde (PRF) gel.

#### 2.3 Sol-Gel processing

Sol-gel process is a wet chemical technique for the fabrication of materials, including ceramics and organic-inorganic hybrids. It starts from chemical solution to produce a coordinated network, which is subjected to hydrolysis and polycondensation reactions to form colloidal suspension, or "sol" according to Equations 2.6 to 2.8, where M and R are metal atom and alkyl groups, respectively.

$M\text{-}O\text{-}R + H_2O \rightarrow M\text{-}OH + R\text{-}OH$	(hydrolysis)	(2.6)
$M\text{-}OH + HO\text{-}M \rightarrow M\text{-}O\text{-}M + H_2O$	(water condensation)	(2.7)
$\text{M-O-R} + \text{HO-M} \rightarrow \text{M-O-M} + \text{R-OH}$	(alcohol condensation)	(2.8)

In general, the sol-gel process involves the transition of a system from liquid "sol" into solid "gel" phase. For the sol-gel process, it is possible to fabricate materials in a wide variety of forms, e.g. ultra-fine or spherical shaped powders, thin film coatings, ceramic fibers, porous or dense ceramic materials, monolithic ceramics and extremely porous aerogel materials as shown in Figure 2.4.

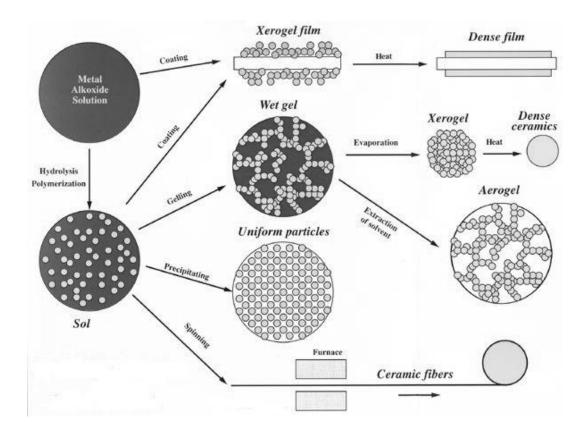


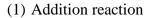
Figure 2.4 Sol-gel process and their products.

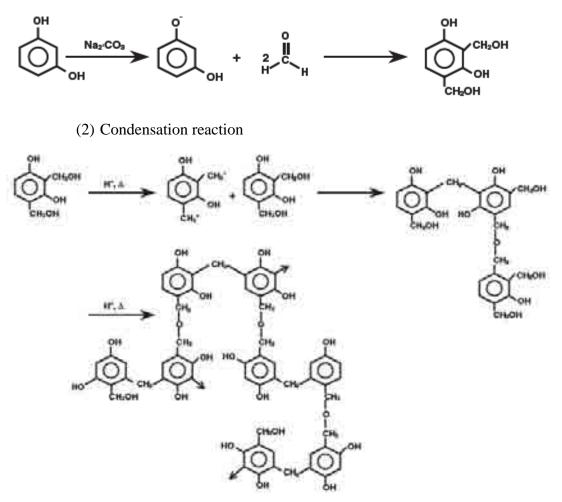
#### 2.4 Resorcinol–formaldehyde (RF) gel

Organic and carbon aerogels were first produced by Pekala and co-workers. Their main research subject was resorcinol formaldehyde aerogels, but phenolicfurfurol or melamine-formaldehyde aerogels have also been produced and investigated [13].

Resorcinol–formaldehyde (RF) derived carbon gels are known as a source for excellent porous carbon materials due to their high specific surface area ( $400 - 1200 \text{ m}^2/\text{g}$ ), high porosity (>80%), and large mesopore volume [23]. RF organic aerogels are useful precursors of carbon aerogels, which are consisted of solid carbon skeletons. They can be formed by pyrolysis of the RF gel in an inert atmosphere after solvent exchange and drying [24]. The obtain carbon gels are suitable for many applications such as adsorbents, column packing materials for high-performance liquid chromatography, electrode materials for electric double layer capacitors and catalyst supports [25].

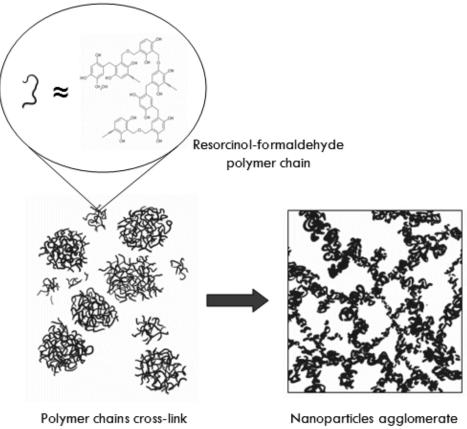
The first RF gel was synthesized by Pekala via the sol-gel polycondensation of resorcinol (R) and formaldehyde (F) with sodium carbonate (C) as basic catalyst [12]. The intermediates formed during the reaction further react to form a cross-linked polymer network. The two major reactions include: (1) the formation of hydroxymethyl (-CH<sub>2</sub>OH) derivatives of resorcinol, and (2) the condensation of the hydroxymethyl derivatives to form methylene (-CH<sub>2</sub>-) and methylene ether (-CH<sub>2</sub>OCH<sub>2</sub>-) bridged compounds [26].





**Figure 2.5** Molecular presentation of the polymerization mechanism of resorcinol with formaldehyde.

Porosity and surface area of the final products depend on the structure of their parent hydrogel, conditions of RF gel synthesis, drying conditions, and carbonizing techniques. A catalyst plays the most important role for the formation of the pore structure of the product. The catalyst initially promotes the generation of resorcinol anions. These anions are subsequently transformed into substituted resorcinol, which form RF clusters through polycondensation. Then RF clusters react with each other and grow into networks of colloidal particles, which finally form a RF hydrogel [27].



and form nanoparticles

Nanoparticles agglomerate and form an interconnected network

Figure 2.6 Gel formation in the resorcinol-formaldehyde system.

Thermal properties of RF gel were characterized by TGA and DTG. Three major weight losses were observed. The first weight loss was due to desorption of water at 150°C. The second weight loss was ascribed to desorption of organic molecule by breaking chemical bonds such as C–O at 400°C. The third weight loss was assigned to further carbonization reaction by breaking chemical bonds such as C-H at 600°C. The TGA analysis showed that there was no further weight loss above 800°C [28].

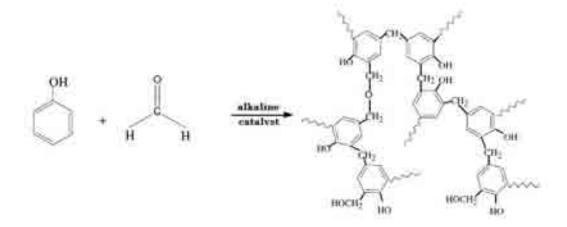
The technique of drying RF gels has effects on the structure of carbon gels. There are three kinds of drying methods commonly used to convert the hydrogel to a solid RF gel. The first method is drying in an inert atmosphere, which gives a RF xerogel with the lowest surface area ( $<900 \text{ m}^2/\text{g}$ ) [29]. The second method is

extraction with supercritical CO<sub>2</sub>, which gets a RF aerogel with an intermediate surface area (1000 m<sup>2</sup>/g) [30], and the final method is freeze-drying, which provides a RF cryogel with the highest surface area (>2500 m<sup>2</sup>/g) [25].

RF gel has been used as a template for the synthesis of porous silica. To form silica/RF gel, resorcinol and formaldehyde were used as a carbon source for porous RF structure and 3-aminopropyltrimethoxysilane (APTMS) was used as a silica precursor. The gel was formed via sol–gel polycondensation process. Pyrolysis of the dried gel at 250°C for 2 h following by at 750°C for 4 h resulted in silica/carbon composite which could be later converted into mesoporous Si<sub>3</sub>N<sub>4</sub> or Si<sub>3</sub>N<sub>4</sub>/SiC composite via the carbothermal reduction and nitridation process at 1450°C. It was shown that the content of silica in the starting composite was an important factor influencing both phase and porosity of the product. The optimum SiO<sub>2</sub>/C ratio of 0.05, was found to result in Si<sub>3</sub>N<sub>4</sub> product with surface area as high as 212.63 m<sup>2</sup>/g. Moreover, the research demonstrated the effects of type of silica precursor i.e. 3-aminopropyltrimethoxysilane (APTMS), tetraethyl orthosilicate (TEOS) and sodium silicate, on how they affect the phase of Si<sub>3</sub>N<sub>4</sub> products. It was found that APTMS was suitable to be used as silica precursor in the synthesis of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> from the silica/RF composite [31].

#### 2.5 Phenol–formaldehyde (PF) gel

In general, organic and carbon aerogels were obtained through polycondensation of RF gel, solvent exchange, drying process, and finally carbonization under an inert atmosphere. However phenol-formaldehyde (PF) gel was also an alternative starting material for the synthesis of carbon aerogels under similar procedure of RF gel [13]. If phenol is considered as the substitution for resorcinol, the reaction scheme should be very similar to Figure 2.7, because both monomers possess a negative electron charge at 2,4,6-ring position, regardless of the lack of one of the hydroxyl-groups in case of phenol that leads these positions to a reduced electron density compared to resorcinol. As a consequence, the solubility of phenol in water (80 g/l) is drastically reduced compared to resorcinol (500 g/l) [32] and the reactivity of phenol is about 10–15 times lower than the reactivity of resorcinol [33]. Therefore the phenol content in the starting aqueous solution is limited to small values, because otherwise segregation will take place.



**Figure 2.7** A schematic diagram of the reaction of phenol and formaldehyde catalyzed by alkaline catalyst.

It should be noted that, acid catalysts have less ability to achieve a high crosslink density than base catalysts [34]. Morever, phenol behaves like weak acids and thus reacts rapidly with alkali (e.g. NaOH) to form phenoxide ion, whose oxide group, as a very strong activating substituent, has great ability in increasing the electron density in the 2, 4, 6 ring positions [35]. Therefore, based upon these facts, it is believed that phenol can also undergo the sol–gel polymerization with formaldehyde in much the same manner as resorcinol under proper alkaline catalyst conditions. Nevertheless, phenol requires a significantly higher catalyst concentration to increase the reaction rate.

For the formation of PF gel, phenol reacts with formaldehyde under alkaline conditions to form mixture of addition and condensation compounds, which can react further to form a hydrogel network. It is credible that the sol–gel polymerization of phenol with formaldehyde includes two principal reactions: (1) the formation of hydroxymethyl derivatives (-CH<sub>2</sub>OH) of phenol; (2) the condensation of

hydroxymethyl derivatives to form methylene (-CH<sub>2</sub>-) and methylene ether bridged compounds (-CH<sub>2</sub>OCH<sub>2</sub>) [35].

The thermal properties of PF gel were characterized by TGA and DTG. The initial mass loss was due to the loss of the water content at 118°C. The second mass loss was attributed to the breakdown of methylene linkages to yield aldehydes and phenols at 475°C. Finally, about 30% of the mass remains as a coke-like solid mass residue above 600°C [36]. When compared to the RF gel, it was indicated that the oxidation of carbon derived from RF and PF gels was found to occur at different temperature although the structures of RF and PF gel were similar. However, it was found that specific surface area and pore volumes of PF gel were less than RF gel. PF gel had a narrow range which from 300 to 700 m<sup>2</sup>/g and 0.08 to 1.84 cm<sup>3</sup>/g, respectively.

It is possible to obtain low density carbon aerogels from organic gels derived via the polycondensation reaction of phenol and formaldehyde (PF) in aqueous, using base catalysed system [37,38], but their process still requires solvent exchange and freeze drying or supercritical ethanol drying. Phenol could undergo the sol-gel polymerization with formaldehyde to form organic gels when the great amount of NaOH is used as catalyst, followed by ethanol supercritical drying to prepare lowdensity organic and carbon aerogels. Synthesis conditions, such as the P/C ratio, the P/F ratio, PF content and gelation temperature, play a significant role in the fabrication of low-density organic and carbon aerogels. The framework of the phenol derived organic and carbon aerogels was similar to that of typical resorcinolformaldehyde aerogels obtained with CO2 supercritical drying technique. The networking particles of the aerogels obtained were approximately 10-15 nm in diameter, which defined numerous mesopores smaller than 50 nm. As a result, the highest measured BET surface area and mesopore volume of the carbon aerogels obtained reached 714 m<sup>2</sup>/g and 1.84 cm<sup>3</sup>/g, respectively [38]. Moreover, sodium carbonate could also be used as the catalyst to prepare PF carbon cryogels. The mixture was aged and the water in the obtained samples was exchanged with tbutanol, followed by freeze drying. The obtained PF cryogels were carbonized at 1000°C for 4 h in a nitrogen atmosphere and were transformed to PF carbon cryogels. The BET surface area of this sample was 400 m<sup>2</sup>/g, and its micropore volume and mesopore volume were 0.08 cm<sup>3</sup>/g and 1.2 cm<sup>3</sup>/g, respectively [37].

### **CHAPTER III**

### **EXPERIMENTAL**

This chapter describes the experimental procedures used in this research. It is divided into five sections: chemicals, preparation of silica/PRF gel, preparation of silica/carbon composite, carbothermal reduction and nitridation of silica/carbon composite and characterizations of the products.

#### 3.1 Chemicals

Phenol (P) > 99%, resorcinol (R) 99.8% and formaldehyde (F) 37% aqueous solution were used to prepare PRF gel. Sodium carbonate anhydrous (Na<sub>2</sub>CO<sub>3</sub>) 99.8% was used as a catalyst for PRF gel preparation. 3-Aminopropyltrimethoxysilane (APTMS) 97% was used as a precursor of silica.

Phenol, resorcinol, formaldehyde solution, and sodium carbonate anhydrous were purchased from Asia Pacific Specialty Chemicals Limited. APTMS was purchased from Sigma-Aldrich Chemical Company. All chemicals were used as received without further purification.

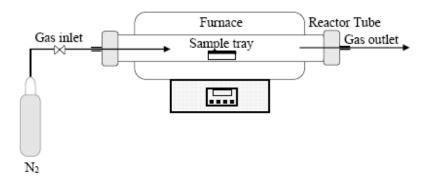
#### 3.2 Preparation of silica/PRF gel

Phenol (P) and resorcinol (R) were firstly dissolved into DI water (W) and stirred until the dissolution was completed. Next, sodium carbonate (C) was added into the solution and stirred at room temperature for 15 min. After that, formaldehyde solution was added, using R/F molar ratio of 0.5, R/W molar ratio of 0.15 and C/W ratio of 10 mol/dm<sup>3</sup> and stirred for 15 min. Then, APTMS in the predetermined amount was added to the solution under continuous stirring at room temperature. The

mixture was aged at the room temperature without stirring for 3 days. After aging, solvent in the gel was removed by solvent exchange process, i.e., the sample was immersed in *t*-butanol for 24 h, renewed with fresh *t*-butanol everyday. The solvent exchange process was repeated for three times. Finally, the obtained gel was removed from *t*-butanol and dried in freeze drying process at -40°C for 3 days to obtain dried silica/PRF gel.

#### **3.3** Preparation of porous silica/carbon composite

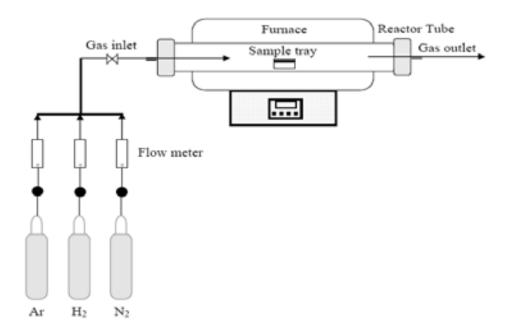
Porous silica/carbon composite was obtained by pyrolysis of the dried silica/PRF gel in the step-wised fashion. The dried gel was heated under continuous flow of nitrogen gas (25 ml/min) in a tubular flow reactor operated at 250°C for 2 h and subsequently heated up to 750°C and held for another 4 h. The heating rate employed was fixed at 10°C/min. Finally, it was calcined in a box furnace at the controlled calcination temperature in the range of 400 to 500°C for 2 h to partially remove carbon from the composite. The product of this step is porous silica/carbon composite.



**Figure 3.1** Schematic diagram of the tubular flow reactor used for the preparation of silica/carbon composite.

#### 3.4 Carbothermal reduction and nitridation of silica/carbon composite

In the carbothermal reduction and nitridation process, the silica/carbon composite was put into an alumina tray (25 mm x15 mm x 5 mm deep) and placed in a horizontal tubular flow reactor. The schematic diagram of the reactor system is shown in Figure 3.2. The composite was then heated to 1450°C using the heating rate of 10°C/min under a continuous flow of argon at 50 l/h. After the temperature had reached 1450°C, the gas stream was switched from argon to a mixture of 90% nitrogen and 10% hydrogen with same total flow rate. The reaction was held at constant temperature for 10 h. The obtained product was subsequently calcined in a box furnace at 800°C for 8 h to remove the residual carbon.



**Figure 3.2** Schematic diagram of the tubular flow reactor used for the carbothermal reduction and nitridation.

## **3.5** Characterizations of the products

The obtained products were characterized by using various techniques, as following:

## 3.5.1 X-ray diffraction analysis (XRD)

Crystalline phases of the product were determined from X-ray diffraction analysis, using a SIEMENS D5000 diffractometer with CuK $\alpha$  radiation. Each sample was scanned in the range of  $2\theta = 10-50^{\circ}$  with a step size of  $2\theta = 0.02^{\circ}$ .

### 3.5.2 Fourier-transform infrared spectroscopy (FT-IR)

The functional groups in the samples were determined by using a Fourier transform infrared spectrometer (Nicolet 6700) at Center of Excellence in Particle and Technology Engineering laboratory, Chulalongkorn University. The spectra were recorded at wavenumber between 400 and 4000 cm<sup>-1</sup> with resolution of 2 cm<sup>-1</sup>. The number of scan for the measurement was 64. The sample was mixed with KBr with the sample to KBr ratio of 1:100 and formed into a thin pellet, before measurement.

## 3.5.3 Scanning electron microscopy (SEM)

Morphology of the products was examined by using a scanning electron microscope (JSM-6400, JEOL Co., Ltd.) at the Scientific and Technological Research Equipment Center (STREC), Chulalongkorn University.

#### 3.5.4 Transmission electron microscopy (TEM)

Morphology of an individual grain in the samples was observed on a JEOL JEM-2100 Analytical Transmission Electron Microscope, operated at 80-200 keV at the Scientific and Technological Research Equipment Center (STREC), Chulalongkorn University. The crystallographic information was also obtained from the selected area electron diffraction (SAED) analysis performed in the same instrument.

#### 3.5.5 Surface area measurement

The specific surface area of products was determined via nitrogen adsorption/desorption analysis using the Brunauer-Emmett-Teller (BET) model, by Belsorp mini II BEL, Japan at Center of Excellence in Particle and Technology Engineering laboratory, Chulalongkorn University.

### 3.5.6 Thermogravimetric analysis (TGA)

The residual carbon content and thermal behavior of the samples were determined by using thermogravimetric analysis on a Mettler-Toledo TGA/DSC1 STARe System at Center of Excellence in Particle and Technology Engineering laboratory, Chulalongkorn University. The analysis was performed from temperature of 25 to 1,000°C under a heating rate of 10°C/min in 40 ml/min flow of either oxygen or nitrogen.

## **CHAPTER IV**

# **RESULTS AND DISCUSSION**

In this chapter, silica/PRF gels, pyrolyzed silica/PRF composites and nitrided products fabricated by combined sol-gel method and carbothermal reduction and nitridation technique are investigated. Effects of various factors, such as composition of the PRF-gel, silica-to-carbon molar ratio of the composites, controlled calcination temperature, as well as the use of pre-formed silica sol as source are presented.

In the first section, the overall process and the overall results were described. First of all, silica/PRF composite was prepared by the method as mentioned earlier. Next, the silica/PRF composite was pyrolyzed under nitrogen gas to convert the PRF structure into porous silica/carbon composite and then it was calcined in a box furnace at the controlled calcination temperature to partially remove carbon from the composite. Finally, the silica/carbon composite was subjected to the carbothermal reduction and nitridation process at 1450°C for 10 hours followed by the removal of excess carbon by calcination at 800°C for 8 hours.

Figure 4.1 shows SEM micrographs of the product after different preparation steps. It can be seen the products obtained after pyrolysis, after being calcination and after being nitrided (Figure 4.1(a)-(c)) have similar morphology, all of which are consisting of spherical grains with uniform size. The result indicates that the structure, morphology as well as porosity of subsequent products depend upon the formation of silica/PRF gel. BET surface area of the pyrolyzed silica/carbon after the first calcination (377 m<sup>2</sup>/g) is high because the composite still contains high carbon content. After the nitridation process, the surface area is dramatically decreased (135 m<sup>2</sup>/g), which is a result from the fact that some carbon in the product was used in the carbothermal reduction and nitridation process. Moreover, the final product after the final calcination (Figure 4.1(d)) has different morphology than the products from other preparation step. It has much lower surface area (75 m<sup>2</sup>/g) than the nitrided

product because the residual carbon was burned out leaving only silicon nitride in the product. Therefore, it can be concluded that the residual carbon in the sample contributes to large fraction of the measured surface area.

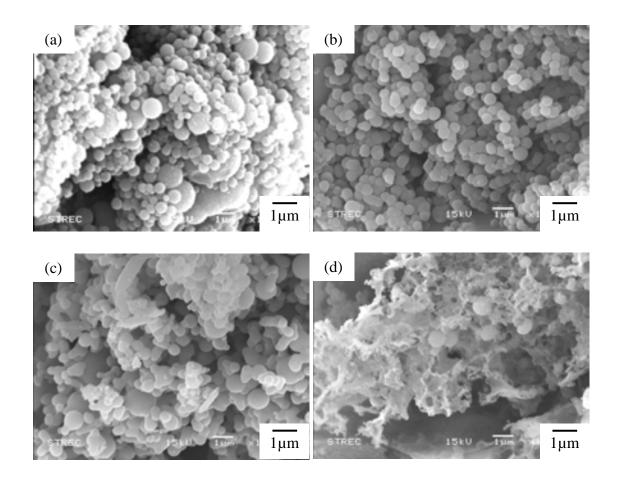
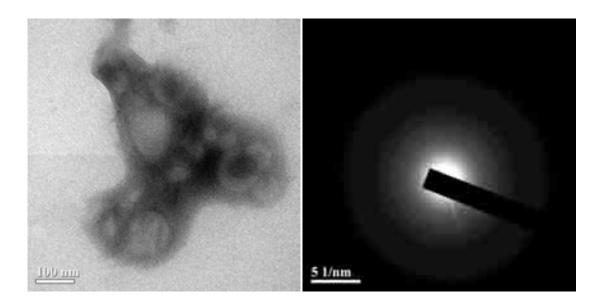


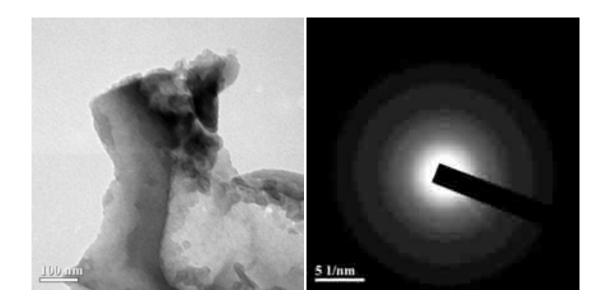
Figure 4.1 SEM micrographs of silica PRF composite prepared by using P/R molar ratio of 0.5 and the SiO<sub>2</sub>/C molar ratio of 0.05: (a) after pyrolysis (b) after pyrolysis and first calcination, (c) after nitridation and (d) after final calcination.

TEM micrographs and SAED patterns of the product after different preparation steps are shown in Figure 4.2 and 4.3. Both of silica/PRF composites before and after being pyrolyzed have amorphous phase as shown in SAED analysis in Figure 4.2 (a) and 4.2 (b). On the contrary, the TEM micrographs and SAED patterns of both the nitrided product and the product after final calcination reveal

crystal phase, as confirmed by the SAED patterns in Figure 4.3 (a) and 4.3 (b), respectively. However, the final product after calcination has higher crystallinity than the product obtained right after being nitrided, as evidenced from the SAED patterns shown in both Figure 4.3 (a) and (b). According to the TEM micrographs of the product after the final calcination process (Figure 4.3), the particles of silicon nitride product are agglomerated and the particles size is around 50 nm.

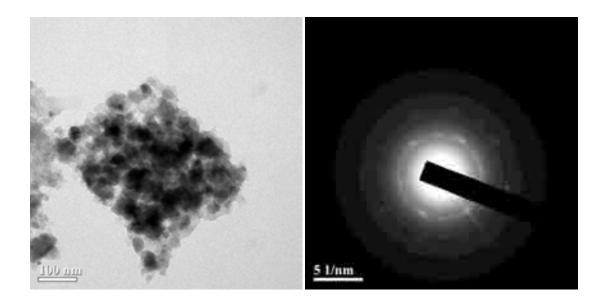


(a)

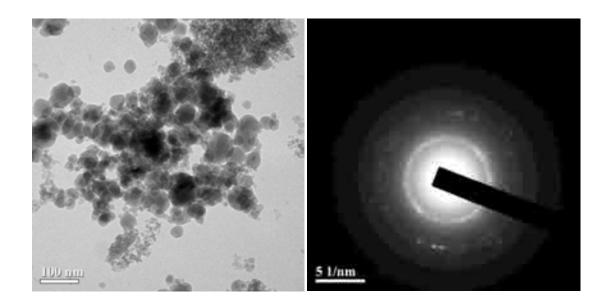


(b)

**Figure 4.2** TEM micrographs and SAED patterns of silica/PRF composite prepared by using P/R molar ratio of 0.5 and the SiO<sub>2</sub>/C molar ratio of 0.05: (a) before pyrolysis and (b) after being pyrolysis and first calcination.



(a)



(b)

**Figure 4.3** TEM micrographs and SAED pattern of silica/PRF composite prepared by using P/R molar ratio of 0.5 and the SiO<sub>2</sub>/C molar ratio of 0.05: (a) after nitridation and (b) after final calcination. Figure 4.4 shows result from X-ray diffraction analysis of the product after final calcination. The sample was prepared by using P/R molar ratio of 0.5 and SiO<sub>2</sub>/C molar ratio of 0.05. According to the XRD analysis, it indicates that the final product is  $\alpha$ -phase of silicon nitride. The average crystallite size of the product is around 43 nm, which was calculated from the width at half-height of peak at the (201) plane of  $\alpha$ -silicon nitride using the Scherrer equation. The result corresponds with the average particle size measured by TEM micrograph (Figure 4.3), which suggests that the silicon nitride product is single crystal. However, this figure shows the collection of single crystal of silicon nitride.

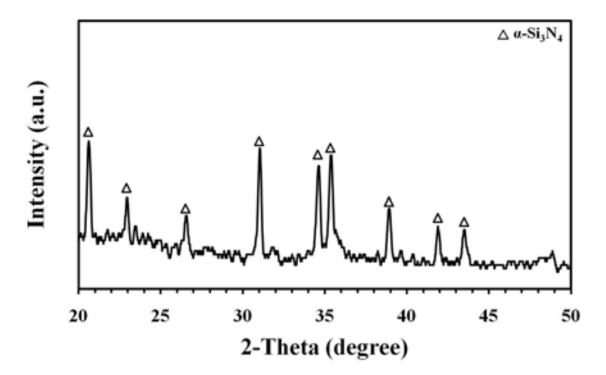
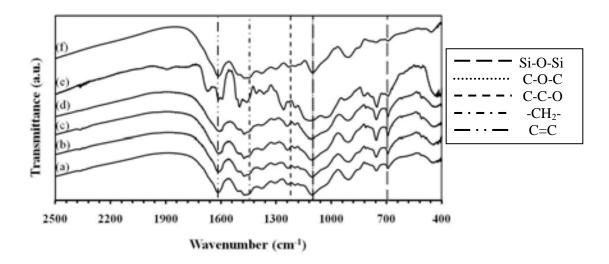


Figure 4.4 XRD patterns of silicon nitride product, after calcination at 800°C for 8h. The products were synthesized with P/R molar ratio of 0.5 and SiO<sub>2</sub>/C molar ratio of 0.05.

## 4.1 Effect of phenol-to-resorcinol molar ratio

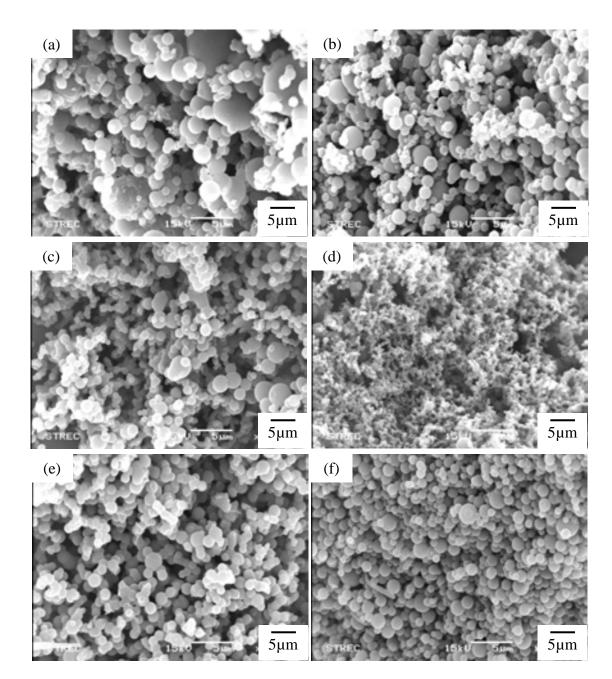
The effect of phenol-to-resorcinol (P/R) molar ratio is investigated in this section. All samples were prepared by using R/F, R/W, SiO<sub>2</sub>/C molar ratios of 0.5, 0.15 and 0.05, respectively. Figure 4.5 shows FTIR spectrum of dried silica/PRF gels prepared using various P/R molar ratios. All samples show similar main functional groups. The absorption bands at wave number of 696 and 1100 cm<sup>-1</sup> are corresponding to symmetric bending and antisymmetric stretching vibrations of Si-O-Si bonding [39], respectively, which confirm the presence of silica in all composites. The signal at wavenumber around 450 cm<sup>-1</sup> is indicated as the deformation vibration of O-Si-O group [40]. Other signals are associated with PRF gel. The absorption band at 1102 cm<sup>-1</sup> represents asymmetric stretching vibration of C–O–C aliphatic ether, while that located at 1444  $\text{cm}^{-1}$  is assigned to –CH<sub>2</sub>– scissor vibration in methylene bridge [41]. It should be noted that the -CH<sub>2</sub>- bonding is a result from a bridge between two aromatic rings, formed by the interaction of hydroxymethyl derivatives of resorcinol [42]. The band at 1218 and 1220  $\text{cm}^{-1}$  are corresponding to C-C-O asymmetric stretching vibration. Finally, the IR band at 1615 cm<sup>-1</sup> is assigned to C=C stretching vibration in aromatic rings [41]. All FT-IR bands that have been proposed to associate with the functional groups of dried silica/PRF gel prepared by using various P/R molar ratios are shown in Table 4.1.



- **Figure 4.5** FTIR spectrum of dried silica/PRF gel prepared by using P/R molar ratio of 0.3 (a), 0.5 (b), 1.0 (c), 1.5 (d), compared with that of silica/PF gel (e), and silica/RF gel (f).
- **Table 4.1**Assignments of FTIR absorption bands of the dried silica/PRF gels.

IR bands (cm <sup>-1</sup> )	Functional groups		
1615	C=C stretching vibration in aromatic rings [41]		
1444	-CH <sub>2</sub> - scissor vibration in methylene bridge [41]		
1220, 1218	C–C–O asymmetric stretching vibration [41]		
1102	C–O–C asymmetric stretching vibration		
	of aliphatic ether [41]		
1100	Si–O–Si bonding		
	antisymmetric stretching vibration [39]		
696	Si–O–Si bonding symmetric bending vibration [39]		
450	O–Si–O deformation vibration [40]		

Figure 4.6 shows morphologies of pyrolyzed silica/carbon composites observed with SEM. It is clearly seen that most of the pyrolyzed composites have same morphology which appears to be agglomerated spherical particles. It is also shown that the molar ratio of P-to-R affects the uniformity of the pyrolyzed particles. According to the SEM images, size of the particles is uniform in the pyrolyzed silica/PF composite and pyrolyzed silica/RF composite (Figure 4.6 (e-f)). On the other hand, size of particles in the sample of pyrolyzed silica/PRF composites is not uniform (Figure 4.6 (a-d)). The difference in particle size depends on the nature of precursors. For instance the solubility of phenol in water is drastically reduced comparing to that of resorcinol [32] and the reactivity of phenol is about 10–15 times lower than the reactivity of resorcinol [33]. Thus, non-homogeneous mixing between resorcinol and phenol within the silica/PRF gel has an effect on particle size with that of silica/carbon composite.



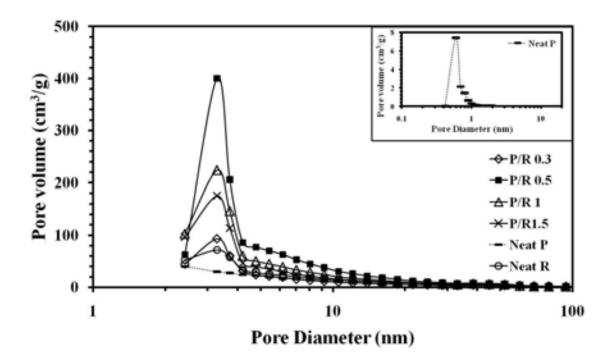
**Figure 4.6** SEM micrographs of pyrolyzed silica/PRF composites. The composites were prepared by pyrolysis of silica/PRF gels using P/R molar ratio of 0.3 (a), 0.5 (b), 1.0 (c), 1.5 (d), compared with that of pyrolyzed silica/PF composite (e), and pyrolyzed silica/RF composite (f).

Table 4.2 shows BET surface area and carbon content of the pyrolyzed silica/PRF composites with various molar ratios of P-to-R after being calcined at 500°C for 2 h. From the results, it is found that the surface area of silica/carbon composite is generally increased with the increasing phenol content, but the P/R molar ratio of 1.0 result in the silica/carbon composite with the highest surface area (536.7 m<sup>2</sup>/g). For the pyrolyzed silica/PF gel and pyrolyzed silica/RF gel, surface areas of the two silica/carbon composites are similar but less than that of the pyrolyzed silica/PRF composite. This is because when the silica/PRF composite, which was mixed from two precursors (i.e., phenol, resorcinol), was subjected to the pre-calcination process, carbon derived from different precursor was partially removed at different time. It results in the higher surface area of the silica/PRF composite. According to the TGA results, the content of carbon remaining in the composites is inversely relating to the specific surface area. It is suggested that the enhanced surface area is a result from the loss of carbon derived from part of the PRFgel in the composites. Adding phenol to the RF gel to form PRF composite is advantageous because it enhances porosity of the composite after pre-calcination process, which consequently results in increasing porosity of the silicon nitride product.

**Table 4.2** Specific surface area and carbon content of pyrolyzed silica/PRFcomposites after being calcined at 500°C for 2 h. The composites wereprepared by pyrolysis of silica/PRF gels synthesized with various molarratios of P-to-R, compared with that of pyrolyzed silica/PF gel, andpyrolyzed silica/RF gel.

	Silica/carbon composite		
P/R	after calcination at 500°C for 2h Surface area Carbon conten		
Molar ratio			
	$(m^2/g)$	(%)	
0.3	435.5	50	
0.5	530.4	45	
1.0	536.7 37		
1.5	517.3 43		
Neat P	437.5 49		
Neat R	459.6 48		

Pore size distributions of the pyrolyzed silica/PRF composites after being calcined at  $500^{\circ}$ C for 2 h are shown in Figure 4.7. All samples were prepared with SiO<sub>2</sub>/C molar ratio of 0.05, various molar ratios of P-to-R. It can be seen that almost all samples are mesoporous carbon with a narrow pore size distribution around 3.5 nm and share common feature in the distribution. The only exception is the one prepared with neat PF gel, which shows pore size in the range of micropore (inset).



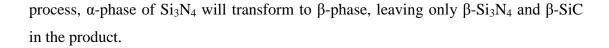
**Figure 4.7** Pore size distribution of pyrolyzed silica/PRF composites after being calcined at 500°C for 2 h. The composites were prepared by pyrolysis of silica/PRF gels synthesized with various molar ratios of P-to-R.

Table 4.3 shows the results for the thermal degradation analyses of the silica/PRF gel and pyrolyzed silica/PRF composite (i.e., silica/carbon composite). The analysis of the silica/PRF gel was done in nitrogen, which simulated the pyrolysis process in the step-wised fashion at 250°C to 750°C. In the process, silica/PRF gel was converted to silica/carbon composite. The results indicate that about 48-60 wt% of organic compound was lost during the pyrolysis. On the other hand, the analysis of the pyrolyzed composite was done in oxygen in the range from 25°C to 1000°C to measure amount of carbon in the composite. The results suggest that the content of silica in the composite is in the range of 26-47 wt% and the rest is residual carbon in the sample. Nevertheless, the most important finding is that the silica/carbon composite prepared from silica/PF gel shows higher thermal stability than that derived from silica/RF gel. In the other words, addition of phenol into the gel results in stronger structure.

	% Mass loss		
Sample	Silica/PRF gel	Silica/carbon composite	
	(N <sub>2</sub> atmosphere)	(O <sub>2</sub> atmosphere)	
neat R	62	74	
P:R 0.3	49	53	
P:R 0.5	51 64		
P:R 1.0	52 57		
P:R 1.5	48 58		
neat P	49 53		

**Table 4.3** TGA analysis of the samples after being freeze-dried (silica/PRF gel) andafter being pyrolyzed (i.e., silica/carbon composites), prepared usingvarious P-to-R molar ratios. The SiO<sub>2</sub>/C molar ratio was fixed at 0.05.

In the carbothermal reduction and nitridation process, the silica/carbon composite was heated to 1450°C and held for 10 h under nitrogen and hydrogen flow. The obtained product was subsequently calcined in a box furnace at 800°C for 8 h to remove the residual carbon. The results from the X-ray diffraction (XRD) analysis revealed that all of the final products after the final calcination to remove excess carbon are crystalline, mainly  $Si_3N_4$  in  $\alpha$ -phase, as shown in Figure 4.8. However, signals corresponding to  $\beta$ -phase of Si<sub>3</sub>N<sub>4</sub> and  $\beta$ -phase of SiC are also detected in the products synthesized with P/R molar ratios of 1.0 and 1.5 as well as those from silica/PF composite and silica/RF composite (Figure 4.8(c)-(f)). It is generally considered that SiC is formed by the reaction of gaseous silicon monoxide (SiO) and carbon monoxide (CO) or carbon (C) in the carbothermal reduction and nitridation process [43]. In addition, from the intensity of the signals, it is found that the sample prepared with silica/PF composite (Figure 4.8(e)) has lower crystallinity than the others. It can be seen that carbon precursor has an effect on the formation of crystalline silicon nitride. The average crystallite sizes, which were determined using the Scherrer equation, are shown in Table 4.4. Although the product consists of multiple phases, purification is not necessary because the product will be sintered at high temperature before being used in many applications. During the sintering



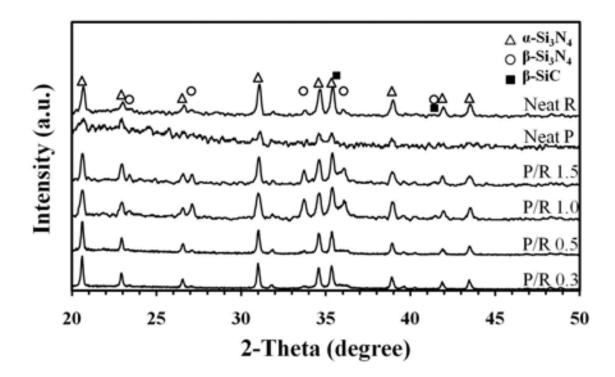


Figure 4.8 XRD patterns of silicon nitride powder, after the final calcination at 800°C for 8 h. The products were synthesized with P/R molar ratios of 0.3 (a), 0.5 (b), 1.0 (c), 1.5 (d), compared with that synthesized from silica/PF composite (e), and silica/RF composite (f). The SiO<sub>2</sub>/C molar ratio was fixed at 0.05.

P/R	Average crystallite size
Molar ratio	(nm)
0.3	35.6
0.5	33.4
1	29.2
1.5	44.7
Neat P	39.4
Neat R	39.8

**Table 4.4**Crystallite sizes of silicon nitride powder, after the final calcination at<br/>800°C for 8 h. The products were synthesized from silica/PRF<br/>composites with various molar ratios of P-to-R.

The mechanism of formation of silicon nitride synthesized via the carbothermal reduction and nitridation process has been proposed earlier [18]. Usually, the carbothermal reaction according to reactions (2.1) and (2.2) take place at temperature above 1400°C, and these reactions are believed to involve multiple steps. First of all, according to reaction (4.1), the reductions of SiO<sub>2</sub> into SiO vapor by carbon in direct physical contact with SiO<sub>2</sub>. Then, the silicon nitride product was formed through reaction (4.2). Some of gaseous SiO reacts directly with carbon or carbon monoxide to form SiC via reaction (4.3) and (4.4). From these mechanisms, it should be noted that the SiO<sub>2</sub>/C composite can produce both Si<sub>3</sub>N<sub>4</sub> and SiC.

$$SiO_2(s) + C(s) \rightarrow SiO(g) + CO(g)$$
 (4.1)

$$3SiO(g) + 3C(s) + 2N_2(g) \rightarrow Si_3N_4(s) + 3CO(g)$$
 (4.2)

$$SiO(g) + 2C(s) \rightarrow SiC(s) + CO(g)$$
 (4.3)

$$SiO(g) + 3CO(g) \rightarrow SiC(s) + 2CO_2(g) \tag{4.4}$$

$$CO_2(g) + C(s) \rightarrow 2CO(g)$$
 (4.5)

The surface areas of the products, after the final calcination to remove residual carbon are shown in Table 4.5. It is seen that surface area of all products synthesized in this section is one order of magnitude higher than that of the conventional silicon nitride (1.2-13.0 m<sup>2</sup>/g)\*. The surface area of the final product, which was pre-calcined at 500°C for 2h, reached maximum in the silica/RF composite (146.8  $m^2\!/g$  with 8% residual carbon). On the other hand, the surface area of the final product, which were pre-calcined at 400°C and 450°C for 2h, reached maximum in the silica/PF composite (420.4 and 395.6  $m^2/g$  with 7% and 5% residual carbon, respectively). The results from TGA analysis in oxygen suggest that majority of the residual carbon can be removed by calcination. Only small amount the remaining of carbon in the product after calcination is observed. The sample prepared with P/R molar ratio of 1.0 shows slight mass increase (-2.07 % wt of mass loss), which is the result from surface oxidation of silicon nitride to form  $SiO_2$  according to reaction (4.6) [15]. It is suggested that, at this P-to-R molar ratio, it has no residual carbon left in the product and the surface area (82 m<sup>2</sup>/g) measured in this sample is indeed the area of  $Si_3N_4$ products, not the residual carbon.

$$Si_{3}N_{4}(s) + 3O_{2}(g) \rightarrow 3SiO_{2}(s) + 2N_{2}(g)$$
 (4.6)

Table 4.5 Surface area and % mass loss from TGA analysis in oxygen atmosphere of silicon nitride products after final calcination. The composites were prepared from SiO<sub>2</sub>/PRF gel with various molar ratios of P-to-R, which was pre-calcined at 500°C for 2h.

P/R	Surface Area	Mass loss
Molar ratio	$(m^2/g)$	(%)
0.3	76.6	12
0.5	99.7	11.3
1	82	-2.07
1.5	140.4	12.6
Neat P	73.9	10.6
Neat R	146.8	8.66

\* Source: PRED Materials international, Inc.

## 4.2 Effect of silica-to-carbon molar ratio

In this section, silica/PRF composites were prepared with various silica-tocarbon (SiO<sub>2</sub>/C) molar ratios and were fixed the molar ratio of phenol-to-resorcinol (P/R), resorcinol-to-formaldehyde (R/F), resorcinol-to-water (R/W) at 0.3, 0.5, and 0.15, respectively and sodium carbonate-to-water (C/W) 10 mol/m<sup>3</sup>.

Figure 4.9 shows FT-IR spectra of silica/carbon composite prepared at various SiO<sub>2</sub>/C molar ratios. The characteristic absorption bands at wavenumber of 696 and 1100 cm<sup>-1</sup>, which are associating with symmetric bending and antisymmetric stretching vibration of Si–O–Si bonding [39], are shown in all three composites. The signals of Si–O–Si bonding confirm the presence of silica in all composites. It is also witnessed that the increase in SiO<sub>2</sub>/C molar ratio results in the increase in the signals of Si–O–Si bonding.

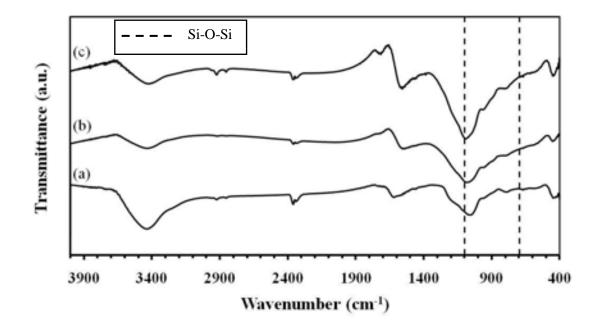
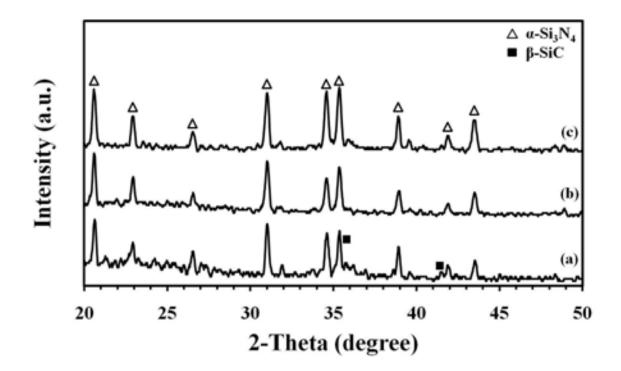


Figure 4.9 FTIR spectrum of silica/carbon composite prepared by using P/R molar ratio of 0.3 and various SiO<sub>2</sub>/C molar ratios of 0.03 (a), 0.05 (b) and 0.07 (c).

The results from the XRD analysis of the final nitrided products prepared using various values of silica-to-carbon molar ratio are shown in Figure 4.10. It can be seen that the SiO<sub>2</sub>/C molar ratio does not influence on the formation of silicon nitride but has an effect on the compositions of the products. At the molar ratio of SiO<sub>2</sub>/C 0.03, it is found that the products obtained are crystalline silicon nitride, mainly in  $\alpha$ -phase with small fraction of  $\beta$ -silicon carbide. Silicon carbide is formed by the manner as previously described. It may be caused by low SiO<sub>2</sub>/C molar ratio. With the decreasing of SiO<sub>2</sub>/C molar ratio, the amount of SiC increased sharply [44]. On the contrary, for the product, which prepared from the molar ratio of SiO<sub>2</sub>/C 0.05 and 0.07, only  $\alpha$ -phase of silicon nitride was detected. It is suggested that high molar ratio of SiO<sub>2</sub>/C is suitable for silicon nitride synthesis, which has no by-product obtained. From the sharpness of the peaks detected, it is suggested that the product with molar ratio of 0.07 has higher crystallinity than the other two.



**Figure 4.10** XRD patterns of silicon nitride powder, after the final calcination at 800°C for 8 h. The products were synthesized with SiO<sub>2</sub>/C molar ratios of 0.03 (a), 0.05 (b), and 0.07 (c). The P/R molar ratio was fixed at 0.3.

The average crystallite sizes of the sample calculated from the Scherrer equation are shown in Table 4.6. It is found that the average crystallite size of the sample with SiO<sub>2</sub>/C molar ratio of 0.03 is around 44 nm, which is larger than the other two samples. At the higher molar ratio of SiO<sub>2</sub>/C (i.e., 0.05 and 0.07), the products have similar crystallite size was around 35 nm. It should also be noted that the grain size of the product, which was observed by scanning electron microscopy (Figure 4.11) is much larger than the calculated crystallite size. Therefore, the particles observed by SEM are in fact that a result of the agglomeration of the crystallites.

Table 4.6Crystallite sizes of silicon nitride powder, after the final calcination at<br/>800°C for 8 h. The products were synthesized from silica/PRF<br/>composites with various molar ratios of SiO2/C.

SiO <sub>2</sub> /C	Average crystallite size
Molar ratio	(nm)
0.03	44.1
0.05	35.3
0.07	35.1

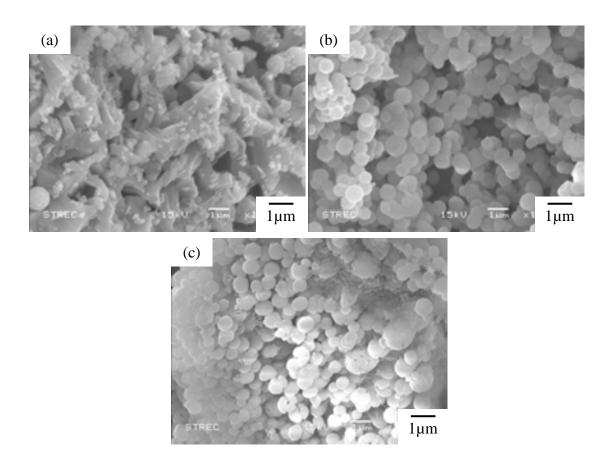


Figure 4.11 SEM micrographs of the silicon nitride products synthesized with various  $SiO_2/C$  molar ratios, after the final calcination: (a) 0.03; (b) 0.05 and (c) 0.07.

Table 4.7 summarizes the specific surface area of the samples after two preparation steps (i.e., before nitridation and after final calcination). It indicates that all products synthesized in this section are porous silicon nitride. The final products, prepared with SiO<sub>2</sub>/C molar ratio of 0.03 and 0.05, retain high specific surface area comparing with the silica/carbon composites. The pyrolyzed composites with the high surface area also produce the final product with high surface area. It is suggested that the pyrolyzed composite, which has high specific surface area, allows N<sub>2</sub> and H<sub>2</sub> gas to easily diffuse into pores of the composite to react with silica and carbon to form silicon nitride product. On the contrary, the surface area of the final product prepared with SiO<sub>2</sub>/C molar ratio of 0.07 is dramatically decreased comparing with its silica/carbon composite form. It should be noted that at the high SiO<sub>2</sub>/C molar ratio

(0.07), the pore collapse during calcination process where carbon in the sample is burnt out and silica in the silica/carbon composite agglomerates, causing the decrease in surface area of the sample. In this section, the maximum surface area of the final product obtained is at  $SiO_2/C$  molar ratio of 0.05. Decreasing in surface area of the final product is a result of the removal of residual carbon in the calcination process.

From the TGA results (Table 4.8), it is confirmed that the residual carbon was mainly removed from the product. So, the surface area measured belongs to the  $Si_3N_4$  and SiC products, not to the residual carbon.

**Table 4.7**Surface areas of pyrolyzed silica/carbon composite and final products<br/>silicon nitride synthesized with various SiO2/C molar ratios.

	Surface area $(m^2/g)$		
SiO <sub>2</sub> /C Molar ratio	Silica/carbon composites (After 1 <sup>st</sup> calcination, 450°C for 2h)	Silicon nitride powders (After final calcination)	
0.03	411.5	173.1	
0.05	435.5	194.1	
0.07	306.3	59.9	

Table 4.8 Mass loss from TGA analysis of silicon nitride products after the final calcination. The analysis were done in oxygen atmosphere. The composites were prepared from silica/PRF gel with various molar ratios of SiO<sub>2</sub>/C.

SiO <sub>2</sub> /C	Mass loss
Molar ratio	(%)
0.03	12
0.05	11
0.07	8.1

The pore size distributions of the silica/carbon composite, prepared with various molar ratios of SiO<sub>2</sub>/C after being calcined at 450°C for 2 h, are shown in Figure 4.12. The pore size distribution curves were obtained from N<sub>2</sub> adsorption isotherm using the Barret-Joyner-Halenda (BJH) method. No significant difference in average pore size between the three samples was observed. All silica/carbon composites, which are consisting mainly of carbon, exhibit narrow pore size distribution around 3.3 nm. Figure 4.13 shows pore size distributions of the final products, after removing the residual carbon. After the final calcination step, the samples have different pore size distribution, although all of the final products are still mesoporous silicon nitride. The sample with SiO<sub>2</sub>/C molar ratio of 0.03 exhibits bimodal pore size distribution at around 4.2 and 14 nm, respectively, while the sample with SiO<sub>2</sub>/C molar ratio of 0.05 shows narrow pore size distribution at around 4 nm. The sample with the highest  $SiO_2/C$  molar ratio appears with wide range of pore size distribution from 5.4 to 16 nm. Pore size of the product becomes larger as a result of the  $N_2$  diffused into the pore of silica/carbon composite to react with SiO<sub>2</sub> and carbon to form the final products.

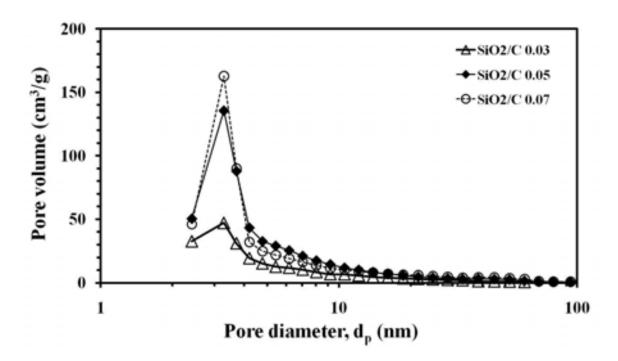
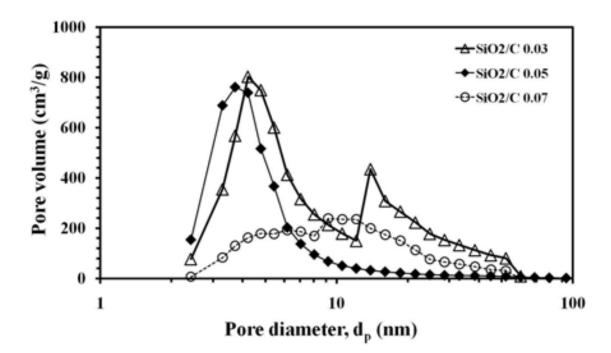


Figure 4.12 Pore size distribution of pyrolyzed silica/PRF composites after being calcined at 450°C for 2 h. The samples were prepared by pyrolysis of silica/PRF gels synthesized with various molar ratios of SiO<sub>2</sub>/C.



**Figure 4.13** Pore size distribution of silicon nitride product, after the final calcination at 800°C for 8 h. The products were prepared by pyrolysis of silica/PRF gels synthesized with various molar ratios of SiO<sub>2</sub>/C.

Although all of the samples are mesoporous silicon nitride, the difference in shape of hysteresis loop in the adsorption isotherm indicates that pore structures of the samples are different. According to Figure 4.14, one can see that all of the samples show type IV isotherms with strong affinities. The product with SiO<sub>2</sub>/C molar ratio of 0.03 has hysteresis loop of H-3 type, which is believed that this type of isotherm occurs with aggregates of particles giving rise to slit-shaped pores [45]. The other two products, which have higher SiO<sub>2</sub>/C molar ratio, reveal hysteresis loop of H-4 type, which is associated with narrow slit-like pores [45]. The type IV adsorption isotherm is typical for mesoporous materials. It is suitable for gas filter application, which is used to separate micron-sized solid particles such as dust from gas stream.

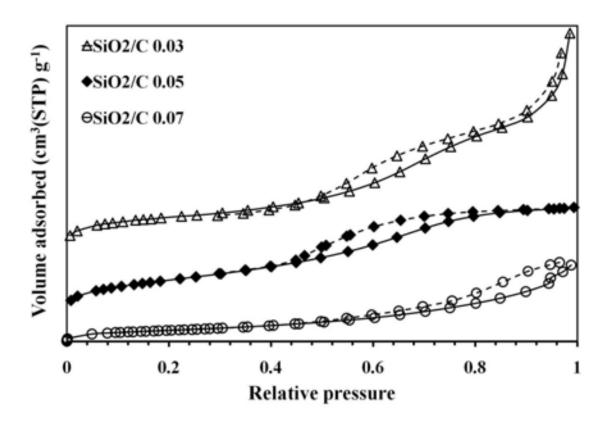


Figure 4.14 Adsorption ( —) and desorption ( - - ) isotherms of N<sub>2</sub> on silicon nitride products, after the final calcination at 800°C for 8 h. The products were prepared by pyrolysis of silica/PRF gels synthesized with various molar ratios of SiO<sub>2</sub>/C.

## 4.3 Effect of the calcination of the pyrolyzed composite

In this part, effect of the controlled calcination of the silica/carbon composite to partially remove carbon to enhance porosity of the composite is investigated. The silica/carbon composites used in this part were prepared with P/R and Si/C molar ratios of 0.3 and 0.03, respectively.

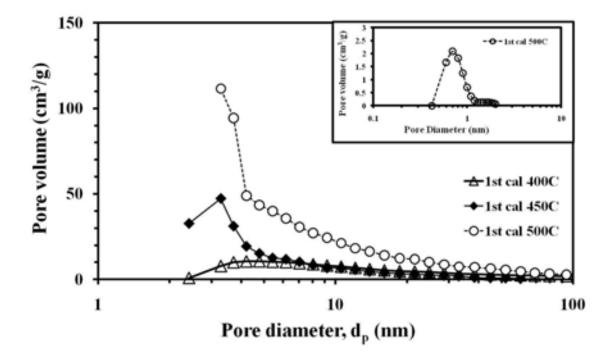
The specific surface areas and TGA results of the samples after two preparation steps (i.e., before nitridation and after final calcination) are shown in Table 4.9. The surface area of the pyrolyzed silica/carbon composite is increased when the calcination temperature is increased, corresponding the content of remaining carbon in the composites measured by TGA. It is suggested that the enhanced surface area is a result from the loss of carbon derived from part of the PRF-gel in the composite. It is found that the surface area of silica/carbon composite is generally increased after being pre-calcined. During the pre-calcination process, only carbon reacts with oxygen in air, which was partially burnt out from the composite, not silica. Silica is stable in the range of pre-calcined temperature (400-500°C) and does not react with air in the process. Both of surface area of the final products, which pre-calcined at 500 and 450°C, are dramatically decreased comparing to that of silica/carbon composites because the final products have lower carbon content than that of silica/carbon composites. On the other hand, the composite was pre-calcined at 400°C, produces the final product with increased surface area, although its initial surface area before the nitridation process was low. The results in this condition cannot be explained.

**Table 4.9**Specific surface area and carbon content of silica/carbon composite after<br/>being calcined and that of the final nitrided product, as a function of the<br/>calcination temperature of the silica/carbon composite.

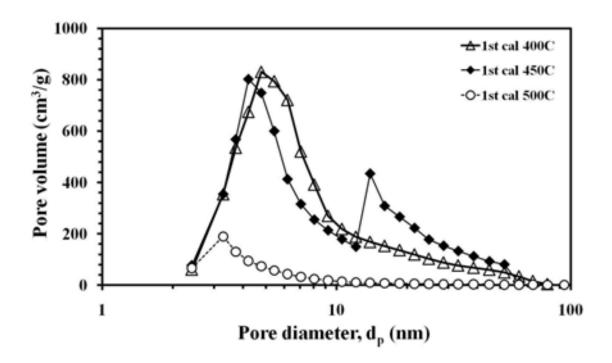
Temperature for	Silica/carbon composite		Temperature for Silica/carbon		Final p	roduct
calcination of the	after calcination		after final o	calcination		
silica/carbon composite	Surface area Carbon		Surface area	Carbon		
(°C)	$(m^2/g)$	content (%)	$(m^2/g)$	content (%)		
400	5.71	70.2	189.6	5.58		
450	411.5	56.8	173.1	11.1		
500	453.3	37.5	53.2	8.70		

Pore size distribution of silica/carbon composites after calcination and silicon nitride products after the final calcination are shown in Figure 4.15 and 4.16, respectively. For the samples firstly calcined at 400°C, the pore size distribution of the silicon nitride products is similar to that of its silica/carbon composites. Both of

distribution curves have a single peak and the value for pore diameter of the maximum is at 4.7 nm. For the sample firstly calcined at 450°C, its silica/carbon composite has a narrow pore size distribution, of which the maximum is located at 3.3 nm. The final product, which was subjected to nitridation process and subsequently calcined at 800°C, exhibits bimodal pore distribution. The first peak is located at about 4.2 nm while the second peak is at about 14 nm. This indicates that the larger pore in the final nitride product obtained from the final calcination process where residual carbon was burnt out, congruent with the lower in surface area. For the sample firstly calcined at 500°C, silicon nitride product shows a narrow pore size distribution with pore diameter of 3.3 nm, although its silica/carbon composite has the pore size ranging in both mesopore and micropore (as shown in inset).



**Figure 4.15** Pore size distributions of the silica/carbon composites after the 1<sup>st</sup> calcination at various temperatures. The samples were prepared from silica/PRF composite synthesized with P/R and SiO<sub>2</sub>/C ratio of 0.3 and 0.03, respectively.



**Figure 4.16** Pore size distributions of the final products after the  $2^{nd}$  calcination. The samples were prepared from silica/PRF composite synthesized with P/R and SiO<sub>2</sub>/C ratio of 0.3 and 0.03, respectively and subjected to the  $1^{st}$  calcination at various temperatures.

The X-ray diffraction analysis results of the products from the nitridation are shown in Figure 4.17. According to the XRD analysis, all of the nitrided products after the final calcination are mainly  $\alpha$ -silicon nitride. Only  $\alpha$ -silicon nitride was detected in the product that was pre-calcined at 500°C, while both  $\alpha$ -silicon nitride and  $\beta$ -silicon carbide appeared in products, which were pre-calcined at 400 and 450°C. According to the TGA results (Table 4.9), the silica/carbon composite calcined at 500°C has lower carbon content (37.5 wt% carbon), than the composites calcined at lower temperature. It should be noted that the low carbon content in the composite can prevent the formation of silicon carbide. Nevertheless, it can be seen that the calcination of the silica/carbon composite to partially remove carbon and enhance porosity of the composite does not affect the formation of silicon nitride. The crystallite sizes of silicon nitride products were calculated from the Scherrer equation. It is found that the crystallite size increased with an increase in calcination temperature, as shown in Table 4.10.

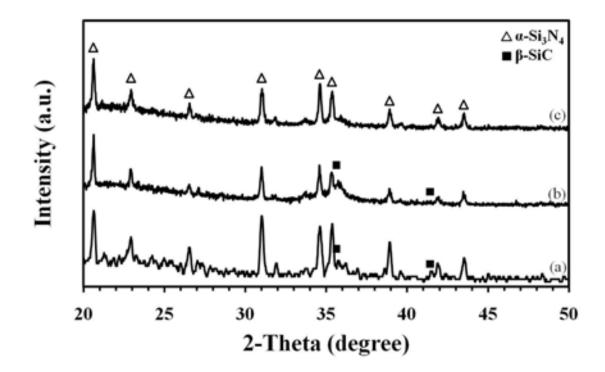


Figure 4.17 XRD patterns of silicon nitride powder, after the final calcination at 800°C for 8 h. The products were prepared from silica/PRF composite with P/R and SiO<sub>2</sub>/C ratio 0.3 and 0.03. The silica/carbon composites were calcined at various calcination temperatures: (a) 400°C, (b) 450°C and (c) 500°C before nitridation.

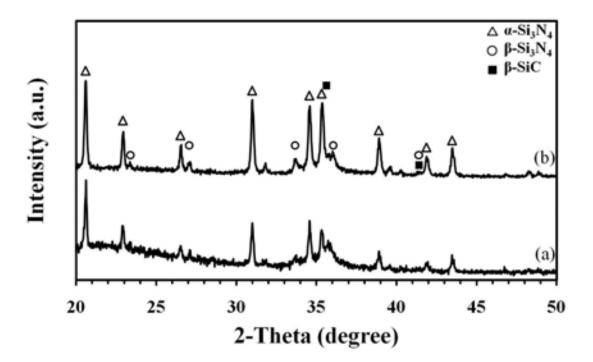
Table 4.10 Crystallite sizes of silicon nitride powder, after calcination at 800°C for 8 h. The products were prepared from silica/PRF composite with P/R and Si/C ratio 0.3 and 0.03. The silica/carbon composites were calcined at various calcination temperatures: (a) 400°C, (b) 450°C and (c) 500°C before nitridation.

Temperature for calcination	Average crystallite size	
of the silica/carbon composite (°C)	(nm)	
400	44.2	
450	52.5	
500	106.6	

## 4.4 The use of silica sol as silica source

In this section, silica precursor was firstly prepared to form silica sol by mixing with water and ethanol before being added into PRF solution. This is another way to decrease violent interaction between silica precursor and the PRF gel.

According to Figure 4.18, XRD patterns in both samples contained  $\alpha$ -silicon nitride,  $\beta$ -silicon nitride and  $\beta$ -silicon carbide. However, the crystallinity of the two products is different. The product, which was prepared from pre-formed silica sol has greater crystallinity than the other one. It can be seen from sharper peaks and higher in intensity. It should be note that the use of pre-formed sol has no effect on the formation of silicon nitride but has an effect on crystallinity of the product. The average crystallite size of the product synthesized from the pre-formed sol is larger than product, which was prepared by normally prepared silica/carbon composite, as shown in Table 4.11. The results are congruent with SEM micrograph, in which the pre-formed sol product shows larger grain (Figure 4.19).



**Figure 4.18** XRD patterns of silicon nitride powder, after the final calcination at 800°C for 8 h. The products were prepared from synthesized using APTMS (a) and pre-formed silica sol (b) as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.

Table 4.11 Crystallite sizes of silicon nitride powder, after calcination at 800°C for 8 h. The products were prepared from synthesized using APTMS and pre-formed silica sol as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.

Source of silica	Average crystallite size	
Source of sinca	(nm)	
APTMS	40.0	
silica sol	60.3	

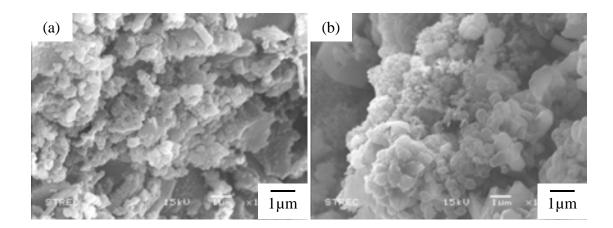


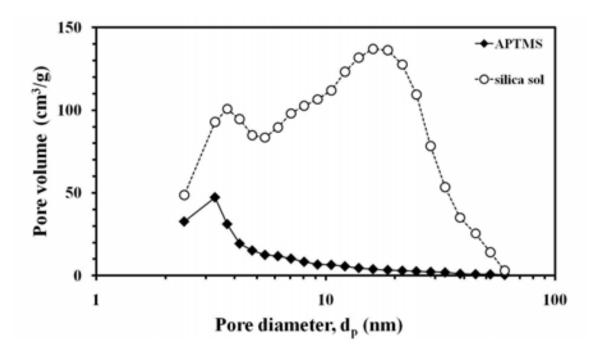
Figure 4.19 SEM micrographs of the silicon nitride products after final calcination. The products were prepared from synthesized using APTMS (a) and preformed silica sol (b) as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.

The specific surface area and the pore size distribution calculated from BJH model are reported in Table 4.12 and Figure 4.20 - 4.21, respectively. For the pyrolyzed composites, it is shown that although both pyrolyzed composites have high surface area, the composite prepared using pre-formed silica sol has quite higher surface area. It is conform to the TGA results that the content of carbon remaining in the composites is inversely related to the specific surface area. It shows that the enhanced surface area is a result from the loss of carbon derived from part of the PRF-gel in the composites as previously mentioned. Figure 4.20 reveals pore size distribution of both pyrolyzed composites. It appears as bimodal pore size distribution for the composite derived from pre-formed silica sol with one peak located around 3.7 nm and the other is around 16 nm. On the other hand it appears as narrow pore size distribution at 3.3 nm for the pyrolyzed silica/carbon composite prepared using APTMS as silica source.

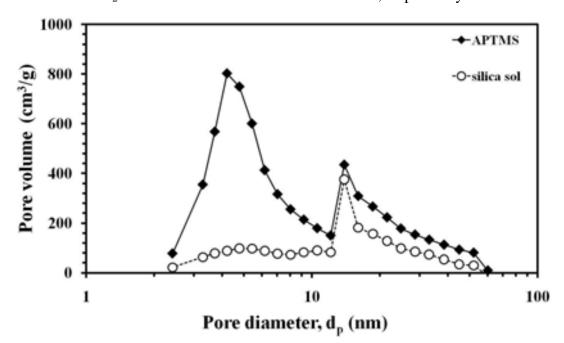
Table 4.12 Specific surface areas and carbon content of pyrolyzed silica/carbon composite and the final nitride products after calcination at 800°C for 8 h. The products were prepared from synthesized using APTMS and preformed silica sol as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.

Source of silica	Pyrolyzed composites (After 1 <sup>st</sup> calcination, 450°C for 2h)		Silicon nitride powders (After final calcination)	
	Surface area	Carbon	Surface area	Carbon
	$(m^2/g)$	content (%)	$(m^2/g)$	content (%)
APTMS	411.5	70	173.1	11.0
silica sol	487	50	40.7	2.26

For the final nitride products, both of the products after the final calcination have much lower surface area than that of the pyrolyzed composites. It suggests that the pyrolyzed composites contained a lot of carbon content. So, after the final calcination, carbon was burnt out and leaving with lower surface area. According to Figure 4.21, shows the pore size distribution of the final product, synthesized from using APTMS compared with pre-formed silica sol as the silica source. The final product synthesized using APTMS exhibits bimodal pore distribution at 4.2 and 13.9 nm, respectively, which suggests that products are not uniform. It should be noted that the amount of residual carbon in the final product prepared from normal silica/carbon composite is found to be 11 %. Hence, the small pores observed might belong to residual carbon in the product, while the larger pores are belonging to silicon nitride product. On the other hand, the final product, synthesized using pre-formed silica sol reveals a narrow pore size distribution with the average pore size of around 13.9 nm. According to the TGA results, it has only 2.26% carbon content left in the product. Therefore, the surface area and pore diameter measured belongs to the Si<sub>3</sub>N<sub>4</sub> products, not to the residual carbon.



**Figure 4.20** Pore size distributions of the silica/carbon composites after 1<sup>st</sup> calcination. The composites were prepared from synthesized using APTMS and pre-formed silica sol as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.



**Figure 4.21** Pore size distributions of the silicon nitride products after final calcination. The products were prepared from synthesized using APTMS and pre-formed silica sol as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.

Representative  $N_2$  adsorption–desorption isotherms of the silicon nitride products, which was synthesized using different kind of silica source are shown in Figure 4.22. Both products give mesoporous structure and display a typical type IV isotherm (according to IUPAC) [46]. The product prepared using APTMS as the silica source has hysteresis loop type H-3 in the isotherms may indicate the presence of slitshaped pores [45] in the silicon nitride product. While the product derived from preformed silica sol shows hysteresis loop similar the loop of type H-4, which often is associated with narrow slit-like pores [45].

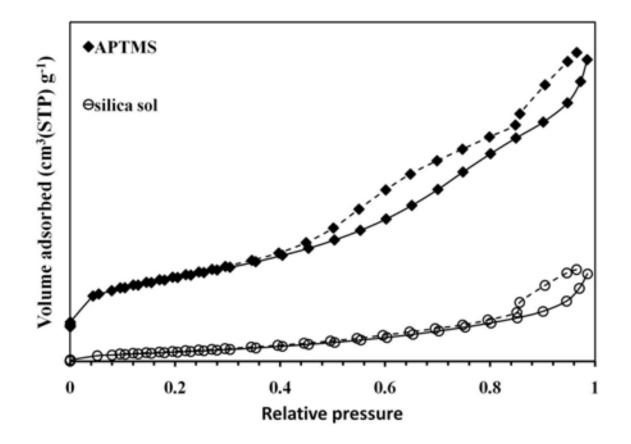


Figure 4.22 Adsorption ( —) and desorption ( - - ) isotherms of N<sub>2</sub> on silicon nitride products, after calcination at 800°C for 8 h. The products were prepared from synthesized using APTMS and pre-formed silica sol as the silica source. The P/R and SiO<sub>2</sub>/C molar ratio were fixed at 0.3 and 0.03, respectively.

# **CHAPTER V**

# **CONCLUSIONS AND RECOMMENDATIONS**

#### 5.1 Summary of the results

- 1. Porous silicon nitride can be fabricated from silica/PRF gel composite via the carbothermal reduction and nitridation. Although most products synthesized in this work are mainly crystalline  $\alpha$ -silicon nitride, some products produced are mixed with  $\beta$ -phase of silicon carbide.
- 2. Composition of two types of carbon precursors (i.e., resorcinol and phenol) has influence on surface area of pyrolyzed silica/PRF composite and the uniformity of particles.
- Molar ratio of silica and carbon has influence on surface area of silicon nitride product. In this work, lower SiO<sub>2</sub>/C molar ratio (0.03 and 0.05) gives higher surface area in both pyrolyzed composites and silicon nitride products.
- 4. The pre-calcination of the silica/carbon composite at lower temperature (400-500°C) is an alternative way to enhance porosity of the composite before subjected to nitridation process. The surface area of the pyrolyzed silica/carbon composite is increased when the calcination temperature is increased, which is a result from the loss of carbon derived from part of the PRF-gel in the composites.
- 5. The use of pre-formed silica sol is another way to decrease violent interaction between silica precursor and the PRF solution. The result shows that, the product, which was prepared by pre-formed sol presents

small average crystallite size. Although the pyrolyzed pre-formed sol silica/carbon composite gives higher surface area, the surface area of the product is lower than the product synthesized using APTMS as silica source.

#### 5.2 Conclusions

Porous silicon nitride can be fabricated from silica/PRF gel composite via the carbothermal reduction and nitridation. All of the investigated effects (i.e., composition of the phenol-resorcinol-formaldehyde gel, silica-to-carbon molar ratio of the composites, calcination temperature and the use of pre-formed silica sol enhance the porosity of silica/carbon composite in difference. The pre-calcination is the major effect to improve the porosity, which has an effect on porosity of silicon nitride product. Effect of the composition of phenol-resorcinol-formaldehyde gel and the silica-to-carbon molar ratio have influence on porosity of the product, although quite difference on porosity of composite. The use of pre-formed silica sol is an alternative route to avoid violent interaction between silica precursor and the PRF solution, which affects different in crystallite size of the product. All of most products synthesized in this work are mainly in crystalline  $\alpha$ -silicon nitride, some products products synthesized in this work have much higher surface area than the conventional silicon nitride granules, which indicates significant increase in porosity.

#### 5.3 **Recommendations for Future Work**

Synthesis of porous silicon nitride from silica/PRF gel composite via the carbothermal reduction and nitridation process as well as effects of various factors, such as composition of the PRF-gel, silica-to-carbon molar ratio of the composite, pre-calcination of silica/carbon composite, and the use of pre-formed silica sol as

silica source have been investigated in this work. Some recommendations for future work are listed as follows:

- 1. Thermodynamic calculation of the carbothermal reduction and nitridation process should be investigated in detail.
- 2. The applications of silicon nitride powders should be further investigated.

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APPENDICES

# APPENDIX A

# CALCULATION OF MOLAR RATIO OF SILICA AND CARBON IN PRF GEL COMPOSITE

2.7 g of Resorcinol ( $C_6H_4$ (OH) <sub>2</sub> )	0.0106 g of Na <sub>2</sub> CO <sub>3</sub>
÷ 110 ( $M_w$ of $C_6H_4(OH)_2$ )	÷ 106 ( $M_w$ of Na <sub>2</sub> CO <sub>3</sub> )
0.025 mol of C <sub>6</sub> H <sub>4</sub> (OH) <sub>2</sub>	0.0001 mol of Na2CO3
6 mol of C = 1 mol of $C_6H_4$ (OH) <sub>2</sub>	1 mol of $C = 1$ mol of $Na_2CO_3$
0.147 mol of C in Resorcinol	0.0001 mol of C in Na <sub>2</sub> CO <sub>3</sub>
0.692 g of Phenol ( $C_6H_5$ (OH))	5.165 g of Formaldehyde (HCHO)
÷ 94.11 ( $M_w$ of $C_6H_5$ (OH)	÷ 30.03 (M <sub>w</sub> of HCHO)
0.0074 mol of C <sub>6</sub> H <sub>5</sub> (OH)	0.172 mol of HCHO
6 mol of C = 1 mol of $C_6H_5$ (OH)	1 mol of C = 1 mol of HCHO
0.044 mol of C in Phenol	0.172 mol of C in HCHO

# APTMS $(H_2N(CH_2)_3Si(OCH_3)_3)$ $\downarrow$ 6 mol of C = 1 mol of APTMS

# 1 mol of Si = 1 mol of APTMS

APTMS (H<sub>2</sub>N(CH<sub>2</sub>)<sub>3</sub>Si(OCH<sub>3</sub>)<sub>3</sub>)

Molar ratio of carbon and silicon =

 $\frac{Mole \ of \ Si \ in \ APTMS}{Mole \ of \ C \ in \ Re \ sorcinol + Mole \ of \ C \ in \ Phenol + Mole \ of \ C \ in \ HCHO + Mole \ of \ C \ in \ Na_2CO_3 + Mole \ of \ C \ in \ APTMS}$   $\frac{Mole \ of \ APTMS}{0.147 + 0.044 + 0.172 + 0.0001 + 6(Mole \ of \ APTMS)}$ 

For example, Molar ratio of carbon and silicon = 0.03

From calculation,  $0.05 = \frac{Mole \ of \ APTMS}{0.147 + 0.044 + 0.172 + 0.0001 + 6(Mole \ of \ APTMS)}$ 

Get, Mole of APTMS = 0.026

= 4.662 g of APTMS

## **APPENDIX B**

## DATA OF SURFACE AREA AND AVG. PORE DIAMETER

**Table B.1**Data of surface area and average pore diameter of pyrolyzed silica/PRFcomposites after being calcined at 400°C for 2 h.

Conditions	Surface area	Average pore
(molar ratio)	$(\mathbf{m}^2/\mathbf{g})$	diameter (nm)
P/R 0.3, SiO <sub>2</sub> /C 0.03	5.714	3.72
P/R 0.3, SiO <sub>2</sub> /C 0.05	3.536	3.72
P/R 0.3, SiO <sub>2</sub> /C 0.07	4.584	3.29
P/R 0.5, SiO <sub>2</sub> /C 0.05	45.63	3.29
P/R 1.0, SiO <sub>2</sub> /C 0.05	31.61	3.72
P/R 1.5, SiO <sub>2</sub> /C 0.05	25.39	3.29
Neat P, SiO <sub>2</sub> /C 0.05	0.119	5.43
Neat R, SiO <sub>2</sub> /C 0.05	293.1	2.41
P/R 0.3, SiO <sub>2</sub> /C 0.03 (Sol)	328.0	21.5
P/R 0.3, SiO <sub>2</sub> /C 0.05 (Sol)	175.1	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.07 (Sol)	87.19	21.5

Conditions	Surface area	Average pore
(molar ratio)	$(\mathbf{m}^2/\mathbf{g})$	diameter (nm)
P/R 0.3, SiO <sub>2</sub> /C 0.03	411.5	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.05	435.5	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.07	306.3	3.29
P/R 0.5, SiO <sub>2</sub> /C 0.05	377.2	3.29
P/R 1.0, SiO <sub>2</sub> /C 0.05	343.8	3.29
P/R 1.5, SiO <sub>2</sub> /C 0.05	244.6	3.29
Neat P, SiO <sub>2</sub> /C 0.05	9.980	5.43
Neat R, SiO <sub>2</sub> /C 0.05	465.3	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.03 (Sol)	487.0	21.5
P/R 0.3, SiO <sub>2</sub> /C 0.05 (Sol)	290.0	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.07 (Sol)	321.7	13.9

**Table B.2**Data of surface area and average pore diameter of pyrolyzed silica/PRFcomposites after being calcined at 450°C for 2 h.

Conditions	Surface area	Average pore
(molar ratio)	$(\mathbf{m}^2/\mathbf{g})$	diameter (nm)
P/R 0.3, SiO <sub>2</sub> /C 0.03	453.3	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.05	435.5	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.07	542.8	3.29
P/R 0.5, SiO <sub>2</sub> /C 0.05	530.4	3.29
P/R 1.0, SiO <sub>2</sub> /C 0.05	536.7	3.29
P/R 1.5, SiO <sub>2</sub> /C 0.05	517.3	3.29
Neat P, SiO <sub>2</sub> /C 0.05	437.5	2.41
Neat R, SiO <sub>2</sub> /C 0.05	459.6	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.03 (Sol)	459.5	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.05 (Sol)	253.9	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.07 (Sol)	n/a	n/a

**Table B.3**Data of surface area and average pore diameter of pyrolyzed silica/PRFcomposites after being calcined at 500°C for 2 h.

**Table B.4**Data of surface area and average pore diameter of silicon nitride product<br/>after final calcination at 800°C for 8 h. The pyrolyzed silica/PRF<br/>composites were calcined at 400°C for 2 h.

Conditions	Surface area	Average pore
(molar ratio)	( <b>m</b> <sup>2</sup> / <b>g</b> )	diameter (nm)
P/R 0.3, SiO <sub>2</sub> /C 0.03	189.6	4.78
P/R 0.3, SiO <sub>2</sub> /C 0.05	248.3	5.43
P/R 0.3, SiO <sub>2</sub> /C 0.07	55.20	5.43
P/R 0.5, SiO <sub>2</sub> /C 0.05	271.9	5.43
P/R 1.0, SiO <sub>2</sub> /C 0.05	134.0	7.05
P/R 1.5, SiO <sub>2</sub> /C 0.05	138.1	4.78
Neat P, SiO <sub>2</sub> /C 0.05	420.4	5.43
Neat R, SiO <sub>2</sub> /C 0.05	285.3	4.78
P/R 0.3, SiO <sub>2</sub> /C 0.03 (Sol)	60.90	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.05 (Sol)	88.57	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.07 (Sol)	29.49	16.1

**Table B.5**Data of surface area and average pore diameter of silicon nitride product<br/>after final calcination at 800°C for 8 h. The pyrolyzed silica/PRF<br/>composites were calcined at 450°C for 2 h.

Conditions	Surface area	Average pore
(molar ratio)	( <b>m</b> <sup>2</sup> / <b>g</b> )	diameter (nm)
P/R 0.3, SiO <sub>2</sub> /C 0.03	173.1	4.21
P/R 0.3, SiO <sub>2</sub> /C 0.05	223.6	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.07	60.00	9.21
P/R 0.5, SiO <sub>2</sub> /C 0.05	75.47	10.5
P/R 1.0, SiO <sub>2</sub> /C 0.05	101.8	4.78
P/R 1.5, SiO <sub>2</sub> /C 0.05	97.49	5.43
Neat P, SiO <sub>2</sub> /C 0.05	395.6	4.78
Neat R, SiO <sub>2</sub> /C 0.05	261.0	4.78
P/R 0.3, SiO <sub>2</sub> /C 0.03 (Sol)	40.69	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.05 (Sol)	77.19	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.07 (Sol)	78.00	13.9

Table B.6 Data of surface area and average pore diameter of silicon nitride product after final calcination at 800°C for 8 h. The pyrolyzed silica/PRF composites were calcined at 500°C for 2 h.

Conditions	Surface area	Average pore
(molar ratio)	( <b>m</b> <sup>2</sup> / <b>g</b> )	diameter (nm)
P/R 0.3, SiO <sub>2</sub> /C 0.03	53.22	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.05	76.60	3.72
P/R 0.3, SiO <sub>2</sub> /C 0.07	51.90	3.72
P/R 0.5, SiO <sub>2</sub> /C 0.05	99.70	3.29
P/R 1.0, SiO <sub>2</sub> /C 0.05	82.00	3.29
P/R 1.5, SiO <sub>2</sub> /C 0.05	140.4	3.29
Neat P, SiO <sub>2</sub> /C 0.05	74.00	4.21
Neat R, SiO <sub>2</sub> /C 0.05	146.8	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.03 (Sol)	39.40	13.9
P/R 0.3, SiO <sub>2</sub> /C 0.05 (Sol)	45.99	3.29
P/R 0.3, SiO <sub>2</sub> /C 0.07 (Sol)	46.20	3.72

## **APPENDIX C**

# CALIBRATION CURVES FOR GAS FLOW METER OF SYNTHESIS GAS

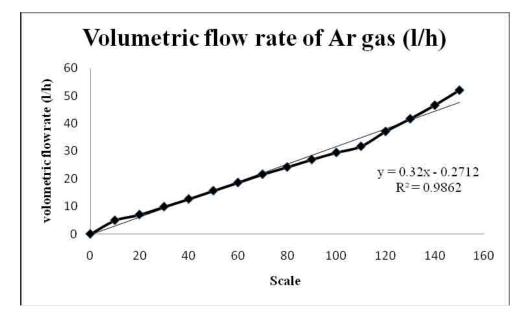


Figure C.1 The calibration curve of argon.

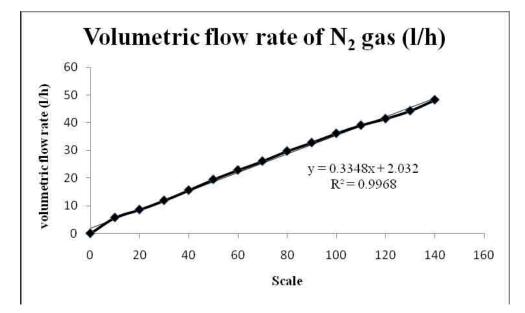


Figure C.2 The calibration curve of nitrogen.

#### **APPENDIX D**

## CALCULATION OF THE CRYSTALLITE SIZE

#### Calculation of the crystallite size by Debye-Scherrer equation

The crystallite size was calculated from the width at half-height of the diffraction peak of XRD pattern using the Debye-Scherrer equation.

From Scherrer equation:

$$D = \frac{K\lambda}{\beta\cos\theta}$$
(D.1)

where D = Crystallite size, Å

K = Crystallite-shape factor = 0.9

 $\lambda$  = X-ray wavelength, 1.5418 Å for CuK $\alpha$ 

 $\theta$  = Observed peak angle, degree

 $\beta$  = X-ray diffraction broadening, radian

The X-ray diffraction broadening ( $\beta$ ) is the pure width of a powder diffraction, free of all broadening due to the experimental equipment. Standard quartz is used to observe the instrumental broadening since its crystallite size is larger than 2000 Å. The X-ray diffraction broadening ( $\beta$ ) can be obtained by using Warren's formula.

From Warren's formula:

$$\beta^{2} = B_{M}^{2} - B_{S}^{2}$$

$$\beta = \sqrt{B_{M}^{2} - B_{S}^{2}}$$
(D.2)

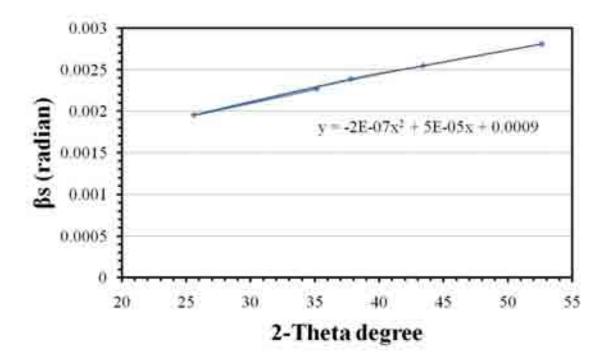
Where  $B_M$  = The measured peak width in radians at half peak height.  $B_S$  = The corresponding width of a standard material.

Example: Calculation of the crystallite size of silicon nitride

The half-height width of diffraction peak  $= 0.13^{\circ}$ = 0.00466 radian The corresponding half-height width of peak of quartz = 0.00225 radian  $= \sqrt{B_M^2 - B_S^2}$ The pure width  $= \sqrt{(0.00466)^2 - (0.00225)^2}$ = 0.00408 radian β 0.00408 radian =  $= 30.91^{\circ}$ 2θ = 15.45591° θ = 1.5418 Å λ

The crystallite size =  $\frac{0.9 \times 1.5418}{0.00408 \cos 15.455}$  = 352.6 Å = 35.26 nm

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**Figure D.1** The plot indicating the value of line broadening due to the equipment. The data were obtained by using quartz as standard.

## **APPENDIX E**

## LIST OF PUBLICATION

 Marisa Meechoonuck, Varong Pavarajarn, "synthesis of porous silicon nitride from silica/carbon composite derived from phenol-resorcinol-formaldehyde gel", Pure and Applied Chemistry International Conference (PACCON2012), Chiang Mai, Thailand, January 11-13, 2012.

# VITA

Miss. Marisa Meechoonuck was born on 11 February, 1988, in Bangkok, Thailand. She received the Bachelor's Degree of Engineering with a major in Chemical Engineering from Chulalongkorn University, *Bangkok* in April 2010. She continued her Master study in the major in Chemical Engineering at Chulalongkorn University, Bangkok, Thailand in May 2010.