CHAPTER III

THEORY

3.1 Hydroxylation of benzene

The hydroxylation of benzene on TS-1 produces phenol as the primary product. Conversion is generally kept low, because introduction of a hydroxyl group activates the aromatic nucleus to further oxidation to hydroquinone, catechol, and eventually to tarry products (Figure 3.1). Acetone, methanol, 2-butanone or just water are suitable reaction media (Romano *et al.*, 1990; Khouw *et al.*, 1994; Thangaraj *et al.*, 1990). In aqueous solution, benzoquinone was also found, in appreciable amounts, among the products. Hydroxylation of benzene with a mixture of hydrogen and oxygen, an in situ source of hydrogen peroxide, can be achieved on Pd-containing TS-1 (Tatsumi *et al.*, 1992). This is, in principle, an easier route to phenol than that based on the preformed oxidant (Clerici and Ingallina, 1998). In practice, it proved less effective, because of faster catalyst decay.



Figure 3.1 The hydroxylation of benzene in H_2O_2 on TS-1 catalyst

3.2 Titanium silicalite (Notari, 1989)

Titanium silicalite is an interesting material obtained by isomorphic substitution of trivalent metals or tetravalent metals in the framework of crystalline aluminosilicates or silicates. Titanium silicalites with MFI (TS-1) has been used in several oxidation reactions with H_2O_2 as the oxidizing agent.

Titaniun has a stable valence of 4 and in an oxidizing medium it is very likely that this valence is maintained. An examination of the chemistry of Ti^{IV} compounds immediately shows that Ti^{IV} has a strong tendency to assume a high coordination

number: with oxygen, six groups in octahedral coordination form a stable and very frequently observed configuration, but to do this Ti^{IV} must have near neighbours capable of increasing their coordination number to satisfy at the same time titanium valency of four and coordination of six. When bulky groups are linked to Ti^{IV} , tetrahedral coordination is also observed. Coordination of seven in. a pentagonal pyramidal arrangement like in peroxo compounds and of eight like in $Ti(NO_3)_4$ are also observed.

From the crystalline structure and the regular change in unit cell parameters which are consistent with isomorphous substitution of Si^{IV} with Ti^{IV} it seems justified to represent TS-l as a silicalite in which few Ti^{IV} have taken the place of Si^{IV}. The interpretation of the catalytic activity of TS-l must take into consideration the role played by these few Ti^{IV}: in fact pure silicalite is totally inactive, and other phases containing Ti have not been identified. Due to the fact that TS-l crystallizes from a homogeneous solution, it is reasonable to assume that the distribution of Ti^{IV} in the crystal lattice is at random; since the Si/Ti ratio is in the range 40 - 90 in typical preparations, most Ti^{IV} must be isolated from each other by long sequences of -O-Si-O-Si-O-. If Ti^{IV} replaces a Si^{IV} it should be tetrahedral coordinated by O⁼: however, the presence of a band at 980 cm⁻¹ closely corresponds to the band observed in other titanium compounds containing the Ti = O group, whose stretching frequency is 975 cm⁻¹ with bond distances of 1.66 – 1.79 Å; furthermore, hydroxyl groups are present at the surface as shown by the increase in selectivity which is obtained upon silylation.

Finally, near neighbor positions of Ti^{IV} are occupied by Si^{IV} which in a field of $O^{=}$ is stable only in tetrahedral coordination. A simple representation of the sites where substitution has occurred which takes into consideration the various pieces of experimental evidence could be



Other more elaborated and detailed representations could be given, should the present model prove inadequate to interpret all experimental facts. Ti^{IV} in TS-1 maintains the strong affinity of soluble Ti^{IV} salts for H_2O_2 and in fact the addition of H_2O_2 gives rise to a strong yellow color which can be attributed to the formation of surface titaniunperoxo compounds which can be in the hydrated or dehydrated form and which constitutes the actual oxidants.



Work carried out on Mo(VI) and W(VI) peroxo compounds (Amato et al., 1986) has demonstrated that peroxo compounds can act as oxidants in stoichiometric epoxidations involving a nucleophilic attack of the substrate to the peroxidic oxygen: in the presence of excess H_2O_2 the peroxo compound is regenerated and this accounts for the catalytic nature of the reaction. It seems reasonable to assume that a similar mechanism operates in the case of Ti(IV) peroxo compounds. The relevance of isolated Ti^{IV} and the connection with catalytic performances appears to hold also for the TiO₂/SiO₂ catalyst. In fact high epoxide selectivity are obtained when TiO₂ is distributed on high surface area SiO₂ and its concentration is limited to 2% (Sheldon, 1980). It is very likely that at this low concentration Ti^{IV} are isolated and surrounded by Si^{IV}. Furthermore, SiO₂ or TiO₂ alone, or physical mixtures of SiO₂ and TiO₂ or various metal titanates exhibit no significant activity. Similarly, supporting TiO₂ on carriers different from SiO₂ like Al₂O₃, MgO or ZrO₂ leads to catalysts whose activity is lower or nil. One piece of evidence seems very convincing: when the TiO₂ concentration on the catalyst is reduced from 4% to 0.4%, all other conditions being equal, an increase in epoxide selectivity is obtained. The only effect that a reduction in the concentration of TiO₂ can have is an increase in the degree of dispersion of each Ti^{IV}: chances for each Ti^{IV} of having Si^{IV} as near neighbors increase, as does the selectivity of the catalyst. The correlation between the isolated Ti^{IV} and selectivity of the catalyst in epoxidation could be due to the fact that on Ti^{IV} having other Ti^{IV} as near neighbors, a mechanism proceeding through a bimolecular interaction of surface peroxo species could be operating which would give rise to a high decomposition rate



Low decomposition of H_2O_2 (or hydroperoxides as well) means greater stability of titanium peroxo compound whose reduction can only be carried out by the organic substrate with increased yields of useful oxidized products. When the different results between TS-1 and TiO₂/SiO₂ in the hydroxylation of phenol are analyzed the existence of a "restricted transition state selectivity" must be assumed to explain the small amount of tars formed.

3.3 Three-phase Catalytic Reactions (Gas-Liquid-Solid)

(R.A. Sheldon and H. van Bekkum)

In fine-chemical productions three-phase reaction systems are common for the hydrogenation and hydrogenolysis of different organic functional groups. Other reactions, such as heterogeneously catalyzed catalytic oxidations, hydrodesulfurizations, and reductive aminations are encountered less frequently.

The three phases present in this kind of reaction are a gas phase, containing, e.g., hydrogen or oxygen, a liquid phase, often consisting of a solvent, containing the dissolved reactants, and the solid catalyst. Besides dissolving the reactants, the solvent also provides a liquid layer around the catalyst particles, which might help to:

- avoid deactivating deposits and thus ensure higher catalyst effectiveness;

- achieve better temperature control because of the higher heat capacity of liquids; and

- modify the active catalyst sites to promote or inhibit certain reactions.

Besides these positive effects there is, however, a disadvantage an extra barrier is introduced between the gaseous reactants (e.g., hydrogen) and the catalyst



(see Figure 3.2). Mass transfer of the gaseous reactant to the liquid phase is often, but not always, the limiting step.

The organic substrate is usually present at much higher concentrations than the hydrogen dissolved in the liquid; hydrogen is the 'limiting' reactant. Therefore, the rate of mass transfer of hydrogen is of predominant significance. As shown in Figure 3.2, a number of steps must occur before hydrogen can be converted to products. The major steps are: (i) mass transfer from the gas bubble to the liquid, (ii) mass transfer from the liquid to the external surface of the catalyst particle, and (iii) diffusion inside the pores of the catalyst particle, accompanied by chemical reaction.

Therefore, in the choice and design of three-phase reactors, not only must the intrinsic kinetics be considered, but also the mass-transfer characteristics. For example, it is useless to try and improve the reaction rate by using a more active catalyst or increasing the catalyst load, when the overall rate of reaction is determined by mass transfer from the gas bubbles to the liquid phase, i.e. when the latter is slow compared with the intrinsic rate of reaction. Instead, one should try to increase the gas-to-liquid mass transfer rate, for example by improving mixing conditions. When the diffusion in the interior of the catalyst particles is rate determining, not only are the rates often reduced but selectivity are also affected. In this circumstance one should consider using smaller catalyst particles to improve liquid-to-solid mass transfer. Egg-shell catalysts, in which the active catalyst species is located in the outer shell of the catalyst particle, might also be used to improve selectivity.



Figure 3.2 Mass transfer of hydrogen in gas/liquid/solid catalyst system; transport of H₂ limiting; $p = H_2$ pressure; $C = H_2$ concentration, C_i at interface, C₁ in bulk liquid, C_s at catalyst surface. (Sheldon and Van Bekkum, 2001)

3.4 Periodic operation of catalytic reactors (Silveston et al., 1995)

The terms periodic operation, cycling or cyclic operation, modulation and forcing or periodic forcing are used interchangeably, reflecting the wide range of terminology used in the current literature. All of these terms refer to an operation in which one or more inputs into a chemical reactor vary with time, but in such a way that each input 'state' is revisited after a time corresponding to the period. This mode of reactor operation is shown schematically in Figure 3.3. Here, two inputs, the volumetric flow rates of reactants 'A' and 'B', are switched periodically between two values so as to generate a chain of step-changes representing a square-wave variation of reactant concentrations in the reactor feed. In most of the systems studied in the laboratory, the flow rate variations are matched so that the space velocity in the reactor remains constant, but this is not a necessary condition. The figure typifies the system most frequently studies; however, other inputs may be varied, such as reactor temperature, flow rate, and flow direction.

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Figure 3.3 Comparison of steady state (left side) and periodic (right side) operation showing definition of the cycling variables: cycle period (frequency), τ , cycle split (duty fraction), s; amplitudes, A_1 , A_2 . (adapted from Silveston *et al.*, 1995)

Figure 3.3 illustrates most of the variables that arise in periodic operation: period (τ) – the time between repetitions of a change in an input condition; split (s) – the duration of one part of cycle relative to the period; amplitude (A) – the change in the value of an input condition from its mean, and mean composition.