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Appendices

An Example of the M-file Script Routines Used to Calculate the Electron and the Hole Wavefunctions for Multiple Quantum Dots by Solving the Schrödinger Equation Using the Different Effective Masses Approach

The whole calculation program is separated into four files:

- PD\_ALIGNED\_QDs.m -- the main routine used to calculate polarization degree of aligned QDs
- 2. **Call-ELECTRICFIELD.m** -- the subroutine called to define the two-dimensional electric field system
- Call-ALIGNED\_QDs.m -- the subroutine called to define the region of InAs and GaAs
- 4. ALIGNED\_QDs\_eigenergy.m -- the subroutine called to calculate the eigenenergies and eigen-functions of aligned QDs

List of the routines:

```
PD_ALIGNED_QDs.m
clc
clear
close all
clear all
 x0 = 0; xf=200; % starting and end points of x axis (in units of nanometers)
                    % starting and end points of y axis (in units of nanometers)
 y0 = 0; yf=200;
                      % Number of sample points in x axis (in units of nanometers)
 Mx =100;
 My =100;
                      % size of quantum dot in x-direction
 n = XX;
% to get the real dimension should multiply by 2)
  A = XX;
                      % size of QDs in Y direction
                      % spacing between one QDs and another
  d = XX;
\$ to get the real dimension, should calculated (d-1)x 2)
% XX stands for parameter, corresponding to QDs (by input value)
                     % additional dots on left hand side
  dotaddleft = XX;
  dotaddright = XX; % additional dots on right hand side
  numdots = dotaddleft+dotaddright; % total number of QDs, corresponding to
% numdots=dotaddleft+dotaddright
```

```
N = 50;
                           %mid point of the mesh
 dx = (xf - x0)/Mx;
 dy = (yf - y0)/My;
  x = (-xf/2:xf/Mx:xf/2); %position of sample points in x axis
  y = (-yf/2:yf/Mx:yf/2)'; %position of sample points in y axis
% NUMERICAL CALCULATION of the Schroedinger equation implemented by using Finite
% Difference Method
% Developed by Chonlakorn Chiewpanich (modified corresponding to Nan Nan Thidar Chit
% Swe's original program)
% The maximum mesh size for the two-dimensional Schroedinger equation is 100*100.
% One mesh is equivalent to 2 nm.
% Changing the mesh points is available by modifying N, Mx, My, xf, and yf,
corresponding to the condition of N = Mx = My = (xf/2) = (yf/2)
8-----
8-----
% Calculate the electron wavefunction
disp( '%This program shows the wavefunctions obtained by solving the Schroedinger')
disp('equation for ALIGNED quantum dots. The size of the dot is fixed at XX nm.')
disp ('%')
disp( '%Calculating the electron wavefunction')
disp ('%')
disp(' %The value of the band offset V1 is XX eV.')
disp ('%')
disp('%The length in the x direction and the y direction each is XX nm.')
disp ('%')
disp('%The number of the mesh points in the x and x directions is XX each.')
disp ('%')
disp(' %This program calculates based on the interdot spacing between QDs.')
disp ('%')
disp('Distance between QDs: 2d' );
disp ('%')
d=input ('d=');
num sol=input ('%number of solutions:
                                      ');
disp ('%')
ml=input ('%mass of electron in GaAs:
                                        1);
m2=input('%mass of electron in InAs:
                                      ');
disp ('%')
disp ('%')
Vp=input ('%band offset (eV): ');
[phi,te,d,num_sol,V1,V]=ALIGNED_QDs_eigenergy(d,num_sol,Mx,My,Vp,m1,m2);
figure(1);
mesh(x, y, V1)
 xlabel('Distance (nm)')
 ylabel('Distance (nm)')
  zlabel('Potential energy, (eV)')
```

```
K= reshape(phi(:,1),Mx-1,My-1);
  Phielectron=zeros(Mx+1, My+1);
  Phielectron(2:Mx,2:end-1)=K(1:end,1:end);
  Xlabel ('X-Distance (nm)'),
  ylabel ('Y-Distance (nm)')'
  zlabel ('Wavefunction');
  title ('Electron wavefunction of the QDs')
  figure(2);
  mesh(x,y,Phielectron)
  <u>e</u>_____
  §_____
% Calculate the hole wavefunction
ml≐input ('%mass of hole in GaAs:
                          •);
m2=input('%mass of hole in InAs: ');
[phi,te,d,num_sol,V,V1]=ALIGNED_QDs_eigenergy(d,num_sol,Mx,My,Vp,m1,m2);
  figure(3);
  mesh(x, y, V)
  xlabel('Distance (nm)')
  ylabel('Distance (nm)')
  zlabel('Potential energy, (eV)')
L= reshape(phi(:,1),Mx-1,My-1);
  Phihole=zeros(Mx+1,My+1);
  Phihole(2:Mx,2:end-1)=L(1:end,1:end);
 figure(4);
 mesh(x,y,Phihole);
 xlabel('X-Distance (nm)'),
 ylabel('Y-Distance (nm)')'
  zlabel('Wavefunction');
 title('Figure (4) : Hole wavefunction of the QDs')
 8_____
 £_____
% Calculate the overlap integral (for aligned QDs)
% An example of coupling regions in case of six QDs
*
          N-(3*d/2)-2*n N-(3*d/2)-n
                                            N+(3*d/2)+n N+(3*d/2)+2*n
8
                                 - <u>1</u>
                                 1
8
         |a(1)| a(2) |a(3)| a(4) |a(5)| a(6) |a(7)| a(8) |a(9)|
                                                                  1 1
*
   1
         | |
                                          1
                                                      1
                    1 I.
                             1 1
8
1 1
                1
                        | | | N | |
                                              E E
%
                                                          1
                                                           I____I
* .____! !____! !____!
                                             I____I
8
%N-(5*d/2)-3*n N-(5*d/2)-2*n N-(d/2) − N-(d/2) | N+(d/2) N+(d/2)+n N+(5*d/2)+2*n N+(3*d/2)+3*
```

272

```
Phi = Phihole.*Phielectron; % e-h overlapping
Phiee= Phielectron.*Phielectron; % e-e overlapping
Phihh= Phihole.*Phihole; % h-h overlapping
```

```
% Central overlap
```

```
Centralee=sum(sum(Phiee(N-A/2:N+A/2,(N-d/2+1):(N+d/2+1))));
```

Centralhh=sum(sum(Phihh(N-A/2:N+A/2,(N-d/2+1):(N+d/2+1))));

Centraleh=sum(sum(Phi(N-A/2:N+A/2,(N-d/2+1):(N+d/2+1))));

sumCentral=Centralee+Centralhh-2\*Centraleh;

if NU == 1 % half number of total QDs. Note that for Bi-QDs, the program is operating
% only this logic

Area=(A\*d);

Overlapped=sumCentral/Area

else

for i=1:NU-1 %In case of number of QDs is greater than

```
%Left overlap
Leftee(i)=sum(sum(Phiee(N-n/2:N+n/2,((N-((2*i+1)*d/2)-(2*i-1)*n+1)):((N-(2*i-1)*d/2)-
(2*i-1)*n+1))));
```

```
Lefthh(i) = sum(sum(Phihh(N-n/2:N+n/2,((N-((2*i+1)*d/2)-(2*i-1)*n+1)):((N-(2*i-1)*d/2)-(2*i-1)*n+1)));
```

```
Lefteh(i) = sum(sum(Phi(N-n/2:N+n/2,((N-((2*i+1)*d/2)-(2*i-1)*n+1)):((N-(2*i-1)*d/2)-(2*i-1)*n+1)));
```

```
%Right overlap
Rightee(i)=sum(sum(Phiee(N-n/2:N+n/2,((N+((2*i-1)*d/2)+(i*n)+1)):((N+(2*i+1)*d/2)
+(i*n)+1))));
```

```
 \begin{split} & \text{Righthh}(i) = \text{sum}(\text{sum}(\text{Phihh}(\text{N}-n/2:\text{N}+n/2,((\text{N}+((2*i-1)*d/2)+(i*n)+1)):((\text{N}+(2*i+1)*d/2)+(i*n)+1))); \end{split}
```

```
Righteh(i)=sum(sum(Phi(N-n/2:N+n/2,((N+((2*i-1)*d/2)+(i*n)+1)):((N+(2*i+1)*d/2)+(i*n)+1)));
```

### end

```
sumeehh = sum(Leftee)+sum(Lefthh)+sum(Rightee)+sum(Righthh);
sumeh = sum(Lefteh)+sum(Righteh);
```

```
Area=(A*d):
```

Overlapped=(sumCentral+sumeehh-2\*sumeh)/Area

end

```
8------
8-----
```

```
% Calculate the Linear Polarization Degree (PD)
   [X,Y] = meshgrid(x,y);
   D1=abs(Phielectron.*X.* Phihole);
   D2=abs(Phielectron.*Y.* Phihole);
   AA = sum (sum(D1));
   BB =sum (sum(D2));
   RAWPD = abs((AA-BB)/(AA+BB))
                                                   % PD of single QD
   Cgridarea=abs(Overlapped*((AA-BB)/(AA+BB))) % PD of aligned QDs
```

#### Call-ELECTRICFIELD.m

-

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```
function[elecx,elecy]=Call_ELECTRICFIELD(voltx,volty);
voltx = input ('%voltage applied along the x direction:
                                                             ');
volty = input ('%voltage applied along the y direction:
                                                              ');
% Calculation of specification matrix
M = 99*99; % M is dimension of specification matrix, corresponding to number of node
% in electric field system = (xf-1)*(xf-1) because we neglect the row and column that
% refer to ground voltage
% Ex. 3*3 voltage node V11 V12 V13
8
                        V21 V22 V23
                        V31 V32 V33
*
% The corresponding potential matrix is X = V11 and its dimension is sqrt(M)*1
                                            V12
8
                                            V13
8
                                            V21
                                                   9*1 matrix
8
                                            V22
8
                                            V23
```

V31

V32 V33

r=sparse(sparse(diag(-4+zeros(M,1),0))+sparse(diag(ones(Msqrt(M),1),sqrt(M)))+sparse(diag(ones(M-sqrt(M),1),-sqrt(M))));

```
% Specification matrix of 3*3 voltage node
        1
                 1
                                   0
    - 4
                              0
÷.
             0
                      0
                         0
                                        0
     1
        -4
8
             1
                 0
                      1
                          0
                                   0
                              0
                                        0
¥
     0
        1
             -4
                 0
                      0
                          1
                              0
                                   0
                                        0
€
     1
         0
             0
                 -4
                      1
                           0
                               1
                                   0
                                        0
8
     0
        1
             0
                 1
                     -4
                          1
                              0
                                   1
                                        0
             1
    0
         0
x
                 0
                      1
                          -4
                              0
                                    0
                                        1
        0
9
    0
            0
                        0
                 1
                      0
                             -4
                                   1
                                        0
                              1
                 0
                     1
<del>R</del>
    0
        Ω
            0
                                  -4
                          0
                                        1
     0
욹
        0
             0
                 0
                      0
                          1
                              0
                                   1
                                       -4
% Specify "1" at the position i=j+1
% At the position j=i+1. "1" is specified that equals to (dimension of nodes - 1)
% Ex. 3*3 voltage node → 1 1 0 1 1 0 1 1 0
% Ex. 4*4 voltage node → 1 1 1 0 1 1 1 0 1 1 1 0 1 1 1 0
for i=1:M
  for j=1:M
     if i == j+1
        r(i,j)=1;
        if i == j+1 && mod(j, sqrt(M)) == 0 % Specify "O" at the position i=j+1 and
mod(j, dimension of nodes)=0
          r(i,j)=0;
         end
      elseif j == i+1
        r(i,j)=1;
         if j == i+1 \&\& mod(i, sqrt(M)) == 0 \& Specify "0" at the position j=i+1 and
mod(i, dimension of nodes)=0
          r(i,j)=0;
         end
     end
  end
end
 8-----
 £_____
% Boundary condition at the interface of different medium
% Ex. 2 QDs
                             _____
8
                            1
                                el
                                      1
                                                 1
8
                            I.
                                       1
                                                 I.
g
                               ____
                                       1
                            L
                                          -----
                                                 T
z
                            | | e2 |
                                     1 1
                            | | |
g
                                         ----- |
8
                               -----
                                     1
                            E
R
                                                1
                            욹
                            1
                                       Ι
8
                             _____
```

```
s=sqrt(M);
dielec0=8.854187*(10^-12);
dielecl=12.9*dielec0; %dielectric constant of GaAs (el)
dielec2=15.15*dielec0; %dielectric constant of InAs (e2)
% Continuity at the interface, D1=D2
% Ex. 3*3 voltage node
                                  V11 V12 V13 Interface nodes are V12, V22, V32
                                  V21 V22 V23
8
                                  V31 V32 V33
9
% From D1=D2 --> e1E1=e2E2
9
% At V12, e1(V12-V11)=e2(V13-V12) --> (e1+e2)V12 = e1V11 + e2V13
% At V22, el(V22-V21)=e2(V23-V22) --> (e1+e2)V22 = elV21 + e2V23
% At V32, e1(V32-V31)=e2(V33-V32) --> (e1+e2)V32 = e1V31 + e2V33
£
%Compared to previously specified voltage
                                     V12 = (1/4) (V11+V13+volty+V22)
                                     V22 = (1/4)(V21+V23+V12+V32)
s,
                                     V32 = (1/4) (V31+V33py+V22)
<u>e</u>
8
% Ex. 3*3 voltage node
                       [-4
                              1
                                   0
                                         1
                                                 0
                                                      0
                                                            0
                                                                 0
                                                                       0 [V11
                                                      0
                                                            0
                                                                  0
                         1
                              -4
                                     1
                                           0
                                                 1
                                                                        0
                                                                            V12
                          0
                              1
                                    -4
                                          0
                                                0
                                                      1
                                                            0
                                                                  0
                                                                        0
                                                                            V13
욯
                                    0
                                          -4
                                                1
                                                      0
                                                                  0
                                                                        0 V21
                               0
                                                            1
g
                         1
                                                      1
                                                            0
                                                                            V22
                          0
                               1
                                     0
                                           1
                                                -4
                                                                  1
                                                                        0
둯
                          0
                               0
                                     1
                                          0
                                                1
                                                     -4
                                                            0
                                                                  0
                                                                       1 V23
*
                                                      0
                                                           -4
                                                                        0
                          0
                               0
                                     0
                                          1
                                                0
                                                                 1
                                                                            V31
                                                                 -4
                                                      0
                                                           1
                                                                            V32
                              0
                                    0
                                         0
                                               1
                                                                       1
                          0
                               0
                                    Ω
                                         Ω
                                                0
                                                     1
                                                           0
                                                                 1
                                                                       -4 1 V331
                          Ω
8
% At V12, V22, V32 the values change to
8
                                                           0
                                                                 0
                                                                        0
                                                                            V12
8
                         -el el+e2 e2
                                         0
                                               0
                                                     0
                              0
                                                                  0
                                                                             V22
                                        -e1 e1+e2 -e2 0
                                                                        0
                          0
                                   0
읗
                               0
                                    0
                                         0
                                               0
                                                     0
                                                           -el el+e2 -e2
                                                                            V32
                          Ω
8
% Boundary condition at the QDs's edge
F=s;
% Position of four edges of all QDs
for dot = -(numdots-1)*(d/2):d:(numdots-1)*(d/2)
 Ex. number of dot = 2 \rightarrow numdots = 2 \rightarrow dot = -(d/2):d:(d/2)
% Top edge
for i = ((((F*F)+1)/2) - (A*F)/2 + dot -n)+1 : ((((F*F)+1)/2) - (A*F)/2 + dot)-1)
r(i, 1:s*s) = 0;
end
```

```
for i = ((((F*F)+1)/2) - (A*F)/2 + dot -n)+1 : (((((F*F)+1)/2) - (A*F)/2 + dot)-1)
r(i,i)= dielecl+dielec2;
r(i,i-s) = -dielec1;
r(i, i+s) = -dielec2;
end
% Bottom edge
 for i = ((((F^*F)+1)/2) - (A^*F)/2 + dot -n + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: (((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) + (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) + (A^*F)/2 + dot + (A^*F)) + 1: ((((F^*F)+1)/2) + (A^*F)/2 + (A^*F)) + (A^*F)/2 + (A^*F) + (A^*F)/2 + 
(A*F))-1
r(i, 1:s*s) = 0;
end
for i = ((((F^*F)+1)/2) - (A^*F)/2 + dot -n + (A^*F))+1:((((F^*F)+1)/2) - (A^*F)/2 + dot + (A^*F)/2) + (A^*F)/2 + dot + (A^*F)/2 + (A^*F)/2) + (A^*F)/2 
(A*F))-1
r(i,i) = dielecl+dielec2;
r(i,i-s) = -dielec2;
 r(i,i+s) = -dielecl;
end
 % Left edge
  (A*F))-1
r(i, 1:s*s) = 0;
 end
 for i = ((((F^*F)+1)/2) - (A^*F)/2 + dot -n)+1 :F:((((F^*F)+1)/2) - (A^*F)/2 + dot -n + (A^*F)/2 + (A^*F)/2
  (A*F))-1
 r(i,i) = dielecl+dielec2;
  r(i,i-1) = -dielecl;
  r(i,i+1) = -dielec2;
  end
```

```
% Right edge
```

```
for i=((((F*F)+1)/2) - (A*F)/2 +dot)+1 :F: ((((F*F)+1)/2) - (A*F)/2 +dot + (A*F))-1
```

```
r(i,1:s*s)= 0; %เพลค่าให้เป็นศูนฮ์ที่มแกว
```

```
end
```

```
for i=((((F*F)+1)/2) - (A*F)/2 +dot)+1 :F: (((((F*F)+1)/2) - (A*F)/2 +dot + (A*F))-1
r(i,i)= dielec1+dielec2;
r(i,i-1)= -dielec2;
r(i,i+1)= -dielec1;
end
```

```
end
```

```
8-----
```

```
% Boundary condition of applied voltage
욯
8
                                volty (voltage applied along the y direction)
                        _____
Ş
8
                      I
                                                1
                      I
                                               g
                                               voltx (voltage applied along the
8
                      рх
                                                         x direction)
9
                      Т
                                               1
                                                ٩,
                      L
                           _____
                                  py
px=0;%ground
py=0;%ground
k≠sqrt(M);
% Calculation of boundary matrix (matrix B)
웈
% Ex. 3*3 voltage node
R
             B = -(summation of branch connected to V11) 9*1 matrix
8
                 -(summation of branch connected to V12)
8
                 -(summation of branch connected to V13)
                 -(summation of branch connected to V21)
8
                 -(summation of branch connected to V22)
8
۹ł
                 -(summation of branch connected to V23)
                 -(summation of branch connected to V31)
욯
                 -(summation of branch connected to V32)
뒁
                 -(summation of branch connected to V33)
8
```

```
%Four corners ((1,1)(1,j)(i,1)(1,j))
   b(1) = -(px+volty);
   b(k) = -(volty+voltx);
   b(((k-1)*k)+1) = -(px+py);
   b(k*k) = -(voltx+py)';
%Top edge
           (from (1,2) to (1,j-1))
   b(2:k-1) = -volty;
Bottom edge (from (i,2) to (i,j-1)))
   b(((k-1)*k)+2:(k*k)-1)=-py;
%Left boundary (from (2,1) to (i-1,1))
b(k+1:k:(k-1)*(k-1)) = -px;
%Right boundary(from (2,j) to (i-1,j))
b(2*k:k:(k-1)*k)=-voltx;
%For free nodes, their values are "0"
w 8-----
% Solving for potential matrix
b=b';
TEM=r\b; % Solving matrix X by X= (A)-l(B)
9
% Ex. 3*3 voltage node
               X AsX= V11 9*1 matriz
9.
                     V12
8
                     V13
ß
                     V21
욯
                     v22
÷
                     V23
9
                     V31
e,
                     V32
÷
                     V33
e
R
%Re-arranged from9*1 matrix to 3*3 matrix, that is,
                                                 V11 V12 V13
                                                  V21 V22 V23
8
                                                  V31 V32 V33
ß
for f=1:s*s
i=ceil(f/s);
j=mod(f,s);
if j==0
  j=s;
end
```

```
VOLT(i,j)=TEM(f); % Potential distribution of the system
end
 §_____
 <u>______</u>
% Investigation of electric field distribution
deltaxx=2; % One mesh is equivalent to 2 nm
deltayy=2;
 Ex(x, y) = (V(x+delta, y)-V(x, y))/delta 
\mathcal{E}_{v}(x, y) = (V(x, y+delta) - V(x, y))/delta
8
% Ex. 3*3 voltage node V11 V12 V13
                   V21 V22 V23
g
                   V31 V32 V33
g
g
                          volty
                   -----
8
                                     1
8
                  1
                                        1
S.
                  8
       рх
                 1
                                       | voltx
                                       1
ક્ર
                  Ι
                                        1
                  1
9
                   _____
R
z
                             ру
% Since px,py areground, so Ex(i,j)=(V(i,j+1)-V(i,j))/delta
% Ex. Ex(1,2)=V(1,3)-V(1,2)
% Ey(i,j) =(V(i-1,j)-V(i,j))/delta
% Ex. Ey(2,2)=V(1,2)-V(2,2)
for i=1:s
  for j=1:s
  if i-1 == 0
    h=volty;
   elecy(i,j)=(h-VOLT(i,j))./deltayy; %uu
  else
   elecy(i,j)=(VOLT(i-1,j)-VOLT(i,j))./deltayy;
  end
  if j+1 == s+1
```

```
l=voltx;
elecx(i,j)=(l-VOLT(i,j))./deltaxx;%m
else
elecx(i,j)=(VOLT(i,j+1)-VOLT(i,j))./deltaxx;
end
end
end
% Contour plot of potential distribution
X1 = 1:sqrt(99*99);
Y1 = 1:sqrt(99*99);
figure(6)
mesh(Y1,X1,VOLT)
```

### Call-ALIGNED\_QDs.m

function[mass,V1,V,const]=Call\_ALIGNED\_QDs(d,Vp,ml,m2,Mx,My);

```
x0 = 0; xf=200;
                      % starting and end points of x axis (in nanometers)
                      % starting and end points of y axis (in nanometers)
y0 = 0; yf=200;
dx = (xf - x0)/Mx;
                        % increment in x direction = length(nm)/Mx
x = x0 + [0:Mx] * dx;
                        % position of sample points in x direction
dy = (yf - y0)/My;
                        % increment in x direction= length(nm)/Mx
y = y0 + [0:My].' + dy;
                        % position of sample points in y direction
h=1.0545715968;
                        % Planck's constant ( x 10<sup>-34</sup> unit in Js)
hbar2=h^2;
echarge=1.6021764628;
                        % electron charge ( x 10^-19 C)
                        % bare electron mass (x 10^31 kg)
baremass=9.10938188;
                        % effective mass of carrier in GaAs
mel=ml*baremass;
                        % effective mass of carrier in InAs
me2=m2*baremass;
const=hbar2/echarge;
deltax=xf/Mx;
                            % x-increment = length(nm)/n
deltax2=deltax^2;
const=const/deltax2;
mass=mel*ones(Mx+1,My+1); % define the GaAs effective mass region
```

```
% GaAs region
for i =1:My-1;
 for j = 1:My-1
V(i,j)
         =bandoffsetelectron-Vb+echarge*VOLT(i,j);
% Ratio between bandoffset of electron and hole is 0.7:0.3
 end
end
% dots on left hand side
for g = l:dotaddleft
 for i = N-A/2:N+A/2;
                                   % position of InAs mass and barrier
   for j = ((N-((2*g)-1)*(d/2)))-((g)*n):((N-((2*g)-1)*(d/2)))-((g-1)*n)
       V(i,j)
                 =0+echarge*VOLT(i,j);
       mass(i,j) =me2;
    end
end
end
% dots on right hand side
for g = 1:dotaddright
  for i = N-A/2:N+A/2;
                                    % position of InAs mass and barrier
    for j = ((N+((2*g)-1)*(d/2)))+((g-1)*n):((N+((2*g)-1)*(d/2)))+((g)*n)
       V(i,j)
                 ≈0+echarge*VOLT(i,j); %Original
       mass(i,j) =me2;
    end
end
end
```

### ALIGNED\_QDs\_eigenergy.m

```
function[phi,te,d,num_sol,V1,V]=ALIGNED_QDs_eigenergy(d,num_sol,Mx,My,Vp,m1,m2);
% Call the function to define the region of QDs
[mass,V1,V,const]=Call_ALIGNED_QD(d,Vp,m1,m2,Mx,My);
for i=2:Mx-1;
    for j=2:My-1;
```

```
d(i,j)=(((1/(mass(i1,j)+mass(i,j))+1/(mass(i+1,j)+mass(i,j)))+
(1/(mass(i,j-1)+mass(i,j))+1/(mass(i,j+1)+mass(i,j))))*const+ V(i,j));
% diagonal matrix element
offdl(i,j)=[(1/(mass(i-1,j)+mass(i,j)))] *const;
% off-diagonal matrix element
offd3(i,j)=[(1/(mass(i,j-1)+mass(i,j)))] *const;
           end
  end
for i=1:Mx-1;
            for j=1:My-1;
          offd2(i,j)=[(1/(mass(i+1,j)+mass(i,j)))] *const;
          offd4(i,j)=[(1/(mass(i,j+1)+mass(i,j)))] *const;
            end
  end
offd2(end,:)=zeros(1,My-1);
offd4(:,end)=zeros(My-1,1);
for i=2:Mx-1;
         for j=1:1;
d(i,j)=(((1/(mass(i1,j)+mass(i,j)))+1/(mass(i+1,j)+mass(i,j))
+(1/(mass(i,j)+mass(i,j))+1/(mass(i,j+1)+mass(i,j))))*const+ V(i,j));
offdl(i,j)={(1/(mass(i-1,j)+mass(i,j)))) *const;
         end
end
for i=1:1;
    for j=2:Mx-1;
d(i,j) =-((((1/(mass(i,j)+mass(i,j))+1/(mass(i+1,j)+mass(i,j))
+(1/(mass(i,j-1)+mass(i,j)))+1/(mass(i,j+1)+mass(i,j))))*const+
V(i,j));
% diagonal matrix element
       offd3(i,j)=[(1/(mass(i,j-1)+mass(i,j)))] *const;
    end
end
      d(1,1) = -(((1/(mass(1,1)+mass(1,1)))+1/(mass(2,1)+mass(1,1))+
(1/(mass(1,1)+mass(1,1))+1/(mass(1,2)+mass(1,1))))*const+ V(i,j));
           H=-(d(:))'; % diagonal matrix element
   offdl=(offdl(:))'; % off-diagonal element
                                               1
   offd2=(offd2(:))'; % off-diagonal element 2
```

```
offd3=(offd3(:))' % off-diagonal element 3;
  offd4=(offd4(:))'; % off-diagonal element 4;
  Mx1 = ((Mx-1)*(My-1));
      for i=1:Mx1
       d(i) = H(i);
       offd1(i)=offd1(i);
        offd2(i)=offd2(i);
       offd3(i)=offd3(i);
        offd4(i)=offd4(i);
      end
      t = d(1:Mx1);
        tl = -offdl(2:Mxl);
         t2 = -offd2(1:Mx1-1);
         t3 = -offd3(Mx:Mx1);
         t4 = -offd4(1:Mx1-(Mx-1));
  Hmatrix2 = sparse(diag(t, 0) + diag(t1, -1) + diag(t2, 1) + diag(t3, -(Mx-1)) + diag(t3, (Mx-1)));
   % Hamiltonian matrix
   [phi,te]=eigs(Hmatrix2,num_sol,'SM');
                                            % Use Matlab function "eigs" to find
                                            % "num sol" eigenfunctions and eigenvalues
  for i=1:size(phi,1)
      if (phi(i)<0)
     phi(i)=(-1)*phi(i);
       elseif (phi(i)>=0)
    phi(i) = (1) * phi(i);
       end
  end
 AA= max(max(phi)); % Finding the maximum value of the wavefunction
                   % Finding the normalized amplitude of the wavefunction
 phi = phi./AA;
  K= reshape(phi,Mx-1,My-1);
  Phi=zeros(Mx+1,My+1);
   Phi(2:Mx, 2:end-1)=K(1:end, 1:end);
return
```

# **Calculation Flowchart**



## **Appendix B: List of Publications**

## **National Conference**

 Chonlakorn Chiewpanich , Chanin Wissawinthanon, and Somsak Panyakeow, "Comparison between two techniques for the growth of self-assembled laterally-aligned quantum dots: the superlattice template and the InGaAs induction layer", Proceedings of the 32<sup>th</sup> Thailand's National Electrical Engineering Conference, Vol.II, pp.915-918, October 2009.

## International Conference

N. Chit Swe, C. Chiewpanich, S. Suraprapapich, S. Panyakeow, and C. Wissawinthanon, "Temperature-dependent optical polarization property of self-assembled laterally-aligned quantum dots", *Proceedings of the International Conference on System on Chip Design Challenges*, Manila, Philippines, pp.141-144, September 2010.

### Vitae

**Chonlakorn Chiewpanich** was born in Bangkok, Thailand, on September 18<sup>th</sup>, 1985. He graduated from Nawamintrachinuthit Triamudomsuksanomklao school in March 2003. In June 2003, He entered Chulalongkorn University and received the Bachelor of Engineering in field of Electrical Engineering with GPAX 2.61 in May 2007. She was further his study in June 2007, as a master student of the Semiconductor Device Research Laboratory (SDRL). His interests are nanotechnology for III-V compound semiconductors, especially in nanophotonics devices.

