

สารที่มีฤทธิ์เป็นพิษต่อเซลล์มะเร็งเม็ดเลือดขาวจากรากเอนโดยไฟต์ *Phomopsis* sp.  
ที่แยกได้จากซิงເເ

นางสาวปุณณิศา งานกรณิการ



4257096955



ห้องสมุดคณะเภสัชศาสตร์  
จุฬาลงกรณ์มหาวิทยาลัย

วิทยานิพนธ์นี้เป็นส่วนหนึ่งของการศึกษาตามหลักสูตรบริณญาเนสัชศาสตร์บัณฑิต  
สาขาวิชาเนสัชเวท ภาควิชาเนสัชเวทและเนสัชพุกษาศาสตร์  
คณะเนสัชศาสตร์ จุฬาลงกรณ์มหาวิทยาลัย  
ปีการศึกษา 2556  
ลิขสิทธิ์ของจุฬาลงกรณ์มหาวิทยาลัย



5476238433

ANTILEUKEMIC COMPOUNDS FROM AN ENDOPHYTIC FUNGUS *PHOMOPSIS* SP.  
ISOLATED FROM *ARTEMISIA ANNUA* L.

Miss Punyisa Ngankaranatikarn



A Thesis Submitted in Partial Fulfillment of the Requirements  
for the Degree of Master of Science in Pharmacy Program in Pharmacognosy  
Department of Pharmacognosy and Pharmaceutical Botany  
Faculty of Pharmaceutical Sciences  
Chulalongkorn University  
Academic Year 2013  
Copyright of Chulalongkorn University

Thesis Title                   ANTILEUKEMIC COMPOUNDS FROM AN  
                                 ENDOPHYTIC FUNGUS *PHOMOPSIS* SP. ISOLATED  
                                 FROM *ARTEMISIA ANNUA* L.  
By                           Miss Punyisa Ngankaranatikam  
Field of Study               Pharmacognosy  
Thesis Advisor              Khanit Suwanborirux, Ph.D.  
Thesis Co-Advisor           Assistant Professor Taksina Chuanasa, Ph.D.

---

Accepted by the Faculty of Pharmaceutical Sciences, Chulalongkorn  
University in Partial Fulfillment of the Requirements for the Master's Degree

..... Dean of the Faculty of Pharmaceutical Sciences

(Assistant Professor Rungpitch Sakulbumrungsil, Ph.D.)



THESIS COMMITTEE

..... Chairman

(Professor Kittisak Likhitwitayawuid, Ph.D.)

..... Thesis Advisor

(Khanit Suwanborirux, Ph.D.)

..... Thesis Co-Advisor

(Assistant Professor Taksina Chuanasa, Ph.D.)

..... Examiner

(Supakarn Chamni, Ph.D.)

..... External Examiner

(Associate Professor Nongluksna Sriubolmas, Ph.D.)

ปุญญิศา งานกรณาริการ : สารที่มีฤทธิ์เป็นพิษต่อเซลล์มะเร็งเม็ดเลือดขาวจากราเอนโดไฟต์ *Phomopsis* sp. ที่แยกได้จากชิงเชา. (ANTILEUKEMIC COMPOUNDS FROM AN ENDOPHYTIC FUNGUS *PHOMOPSIS* SP. ISOLATED FROM *ARTEMISIA ANNUA* L.) อ.ที่ปรึกษาวิทยานิพนธ์หลัก: อ. ภก. ดร.คณิต สุวรรณบริรักษ์, อ.ที่ปรึกษาวิทยานิพนธ์ร่วม: ผศ. ภญ. ดร.ทักษิณา ชวนอาษา, 72 หน้า.

ได้ทำการสกัดแยกสารเคมีแบบอิเล็กทรอนิกส์จากสารสกัดเอทิลอะซิเตทของน้ำมักจากราเอนโดไฟต์ *Phomopsis* sp. AANN8 ที่แยกได้จากกิ่งของชิงเชา (*Artemisia annua* L., family Asteraceae) โดยอาศัยฤทธิ์เป็นพิษต่อเซลล์มะเร็งเม็ดเลือดขาว ได้ทั้งหมด ๓ ชนิด ประกอบด้วยสารเคมี ๒ diastereomers ของ O-1-(2-hydroperoxy-1,2-dimethylethyl)-O-1'-(mercaptoproethyl-S-oxide)-peroxocarbonate ร่วมกับสารพากฟินอล ซึ่ง tyrosol และสารที่พบในวัฏจักรเครบส์ ซึ่ง succinic acid โครงสร้างทางเคมีของสารทั้งหมดที่แยกได้ถูกพิสูจน์โดยการวิเคราะห์ข้อมูลจาก อัลตราไวโอล็อตสเปกโตรสโคปี อินฟราเรดสเปกโตรสโคปี เมสสสเปกโตรเมทรี และนิวเคลียร์แมกเนติกเรโซแนนซ์สเปกโตรสโคปี ร่วมกับการเปรียบเทียบข้อมูลของสารที่เคยมีรายงานมาแล้ว นอกจากนี้สารที่แยกได้ยังถูกนำไปทดสอบฤทธิ์เป็นพิษต่อเซลล์มะเร็งเม็ดเลือดขาว THP-1 ในหลอดทดลองด้วยวิธีการทดสอบด้วยสาร sulforhodamine B โดยใช้สาร ellipticine เป็นสารควบคุมผลบวก มีค่า EC<sub>50</sub> เท่ากับ ๗๙ ไมโครโมลาร์ ผลการทดสอบพบว่าสาร tyrosol และ succinic acid ไม่มีความเป็นพิษต่อเซลล์มะเร็งเม็ดเลือดขาว ในขณะที่สารเคมี O-1-(2-hydroperoxy-1,2-dimethylethyl)-O-1'-(mercaptoproethyl-S-oxide)-peroxocarbonate แสดงฤทธิ์เป็นพิษต่อเซลล์มะเร็งเม็ดเลือดขาวอย่างอ่อน มีค่า EC<sub>50</sub> เท่ากับ ๑๓๑ ไมโครโมลาร์

4257066955

ภาควิชา เกสัชเวทและเกสัชพุกษาสตร์  
สาขาวิชา เกสัชเวท  
ปีการศึกษา 2556

ลายมือชื่ออนันติ ฉักระโนทัย  
ลายมือชื่อ อ.ที่ปรึกษาวิทยานิพนธ์หลัก   
ลายมือชื่อ อ.ที่ปรึกษาวิทยานิพนธ์ร่วม ร.ก.ช.ก.

# # 5476238433 : MAJOR PHARMACOGNOSY

KEYWORDS: PHOMOPSIS SP. AANN8 / ARTEMISIA ANNUA L. / ENDOPHYTIC FUNGUS / ANTILEUKEMIC ACTIVITY

PUNYISA NGANKARANATIKARN: ANTILEUKEMIC COMPOUNDS FROM AN ENDOPHYTIC FUNGUS *PHOMOPSIS* SP. ISOLATED FROM *ARTEMISIA ANNUA* L.. ADVISOR: KHANIT SUWANBORIRUX, Ph.D., CO-ADVISOR: ASST. PROF. TAKSINA CHUANASA, Ph.D., 72 pp.

Three secondary metabolites named a mixture of two diasterromers O-1-(2-hydroperoxy-1,2-dimethylethyl)-O-1'-(mercaptoethyl-S-oxide)-peroxocarbonate, together with a phenolic metabolite, tyrosol, and a Kreb's cycle metabolite, succinic acid, were isolated from the ethyl acetate extract of the fermentation broth of an endophytic fungus, *Phomopsis* sp. AANN8 by antileukemic-guided fractionation. The endophytic fungus was obtained from the twigs of a Thai medicinal plant, *Artemisia annua* L. (family Asteraceae). The identification and structure determination of the isolated compounds were elucidated by analyses of UV, IR, MS and NMR spectroscopic data along with comparison with previous publications. The isolated compounds were evaluated for *in vitro* antileukemic activity against THP-1 cell line by a sulforhodamine B colorimetric bioassay using ellipticine as a positive control with EC<sub>50</sub> 79 µM. The results showed that tyrosol and succinic acid had no antileukemic activity while the mixture of O-1-(2-hydroperoxy-1,2-dimethylethyl)-O-1'-(mercaptoethyl-S-oxide)-peroxocarbonate exhibited weak antileukemic activity with EC<sub>50</sub> 131 µM.



4257096955

Department: Pharmacognosy and  
Pharmaceutical Botany  
Field of Study: Pharmacognosy  
Academic Year: 2013

Student's Signature Punyisa Ngankaranath  
Advisor's Signature Khanit Suwanborirux  
Co-Advisor's Signature T. Chuanasa

## ACKNOWLEDGEMENTS

I would like to express my deepest gratitude and sincere thanks to my thesis advisor, Dr.Khanit Suwanborirux and my thesis co-advisor, Assistant Professor Dr.Taksina Chuanasa of the Department of Pharmacognosy and Pharmaceutical Botany, Faculty of Pharmaceutical Sciences, Chulalongkorn University. I greatly appreciate their valuable advice, useful guidance, kindness, constant encouragement, patience, and endless support throughout my research study.

I wish to express my thanks to the members of my thesis committee, including Professor Dr.Kittasak Likhitwitayawuid, Associate Professor Dr.Nongluksna Sriubolmas and Dr.Supakarn Chamni, for their critical review and constructive suggestions for this thesis.

I would also like to thank Assistant Professor Dr.Suthep Wiyakrutta and Mrs.Jitra Limthongkul of the Department of Microbiology, Faculty of Science, Mahidol University, and Associate Professor Dr.Nongluksna Sriubolmas of the School of Pharmacy, Eastern Asia University, for fungal material and antileukemic activity assay.

I would like to thank Professor Naoki Saito of the Meiji Pharmaceutical University, Japan, for mass measurement and the Pharmaceutical Research Instrument Center, Faculty of Pharmaceutical Sciences, Chulalongkorn University, for supporting scientific equipment.

I am thankful to all staff members of the Department of Pharmacognosy and Pharmaceutical Botany, Faculty of Pharmaceutical Sciences, Chulalongkorn University, for supplying chemicals and facilities.

I am grateful to all graduate students of the Department of Pharmacognosy and Pharmaceutical Botany, Faculty of Pharmaceutical Sciences, Chulalongkorn University, for unforgettable friendship and kindness.

Finally, I would like to express my deepest appreciation to my family for their love, understanding and encouragement.



## CONTENTS

	Page
THAI ABSTRACT .....	iv
ENGLISH ABSTRACT .....	v
ACKNOWLEDGEMENTS .....	vi
CONTENTS .....	vii
LIST OF TABLES .....	x
LIST OF FIGURES .....	xi
LIST OF SCHEMES .....	xiii
ABBREVIATIONS AND SYMBOLS .....	xiv
CHAPTER I INTRODUCTION.....	1
CHAPTER II LITERATURE REVIEW .....	4
1. Endophytic Fungi.....	4
2. Anticancer Agents from Endophytic Fungi.....	5
3. Antileukemic Agents from Endophytic Fungi .....	10
4. Anticancer Agents from <i>Phomopsis</i> .....	10
CHAPTER III EXPERIMENTAL.....	19
1. Fungal Material .....	19
2. General Experiment Procedures .....	21
2.1 Thin Layer Chromatography .....	21
2.2 Column Chromatography .....	21
2.2.1 Quick Column Chromatography .....	21
2.2.2 Flash Column Chromatography .....	22
2.2.3 Gel Filtration Chromatography.....	22
2.2.4 High Pressure Liquid Chromatography .....	23
2.3 Spectroscopy .....	23
2.3.1 Ultraviolet Spectra.....	23
2.3.2 Infrared Spectra .....	24
2.3.3 Mass Spectra.....	24



559607254

	Page
2.3.4 Proton and Carbon-13 Nuclear Magnetic Resonance Spectra .....	24
2.4 Solvents .....	25
3. Fermentation, Extraction and Isolation .....	25
3.1 Fermentation .....	25
3.2 Extraction .....	25
3.3 Fractionation of the Ethyl Acetate Extract .....	26
3.3.1 Isolation of C3 .....	26
3.4 Fractionation of Fraction BB1 .....	27
3.4.1 Isolation of BB21-C .....	28
3.4.2 Isolation of BB28-C .....	32
4. Physical and Spectral Data of the Isolated Compounds.....	35
4.1 C3 .....	35
4.2 BB21-C .....	35
4.3 BB28-C .....	36
5. Determination of Antileukemic Activity.....	36
CHAPTER IV RESULTS AND DISCUSSION .....	39
1. Isolation of C3, BB21-C and BB28-C .....	39
2. Structure Determination of C3 .....	40
3. Structure Determination of BB21-C .....	42
4. Structure Determination of BB28-C .....	45
5. <i>In Vitro</i> Antileukemic Activity.....	50
CHAPTER V CONCLUSION.....	52
REFERENCES .....	53
VITA.....	72



## LIST OF TABLES

	PAGE
Table 1. Anticancer agents from endophytic fungi.....	6
Table 2. Antileukemic agents from endophytic fungi.....	11
Table 3. Anticancer agents from <i>Phomopsis</i> .....	16
Table 4. $^1\text{H}$ - and $^{13}\text{C}$ -NMR spectral data of C3 in $\text{CD}_3\text{OD}$ .....	41
Table 5. $^1\text{H}$ - and $^{13}\text{C}$ -NMR spectral data of BB21-C in acetone- $d_6$ .....	44
Table 6. $^1\text{H}$ - and $^{13}\text{C}$ -NMR spectral data of BB28-C in $\text{CDCl}_3$ .....	49
Table 7. Cytotoxicity percentages against THP-1 cell line of fractions, C3, BB21-C, and BB28-C.....	51



## LIST OF FIGURES

	PAGE
Figure 1. Anticancer agents from endophytic fungi.....	8
Figure 2. Antileukemic agents from endophytic fungi.....	14
Figure 3. Anticancer agents from <i>Phomopsis</i> .....	17
Figure 4. The twigs of <i>Artemisia annua</i> L.....	20
Figure 5. The endophytic fungus <i>Phomopsis</i> sp. AANN8 on PDA plate.....	20
Figure 6. TLC patterns of fractions BB1-BB6.....	28
Figure 7. TLC patterns of fractions BB4 and BB7-BB13.....	29
Figure 8. TLC patterns of fractions BB10 and BB19-BB26.....	30
Figure 9. HPLC chromatogram of fraction BB21.....	31
Figure 10. TLC patterns of fractions BB9 and BB27-BB33.....	33
Figure 11. HPLC chromatogram of fraction BB28.....	34
Figure 12. Partial structures of major and minor components in the mixture BB28-C.	47
Figure 13. UV spectrum of C3 (in MeOH).....	62
Figure 14. IR spectrum of C3 (KBr).....	62
Figure 15. FAB Mass spectrum of C3 .....	63
Figure 16. $^1\text{H}$ -NMR (300 MHz) spectrum of C3 (in $\text{CD}_3\text{OD}$ ).....	63
Figure 17. $^{13}\text{C}$ -NMR (75 MHz) spectrum of C3 (in $\text{CD}_3\text{OD}$ ).....	64
Figure 18. UV spectrum of BB21-C (in MeOH).....	64
Figure 19. IR spectrum of BB21-C (KBr).....	65
Figure 20. EI Mass spectrum of BB21-C .....	65
Figure 21. $^1\text{H}$ -NMR (300 MHz) spectrum of BB21-C (in acetone- $d_6$ ).....	66
Figure 22. $^{13}\text{C}$ -NMR (75 MHz) spectrum of BB21-C (in acetone- $d_6$ ).....	66



Figure 23. $^{13}\text{C}$ -NMR (75 MHz) and DEPT135 spectra of BB21-C (in acetone- $d_6$ ) .....	67
Figure 24. IR spectrum of BB28-C (KBr).....	67
Figure 25. EI Mass spectrum of BB28-C .....	68
Figure 26. $^1\text{H}$ -NMR (300 MHz) spectrum of BB28-C (in $\text{CDCl}_3$ ). ....	68
Figure 27. $^1\text{H}$ -NMR (300 MHz) spectrum of BB28-C (in $\text{CDCl}_3$ ) (expansion between $\delta_{\text{H}}$ 1.0-5.5 ppm).....	69
Figure 28. $^{13}\text{C}$ -NMR (75 MHz) spectrum of BB28-C (in $\text{CDCl}_3$ ). ....	69
Figure 29. DEPT135 spectrum of BB28-C (in $\text{CDCl}_3$ ). ....	70
Figure 30. $^1\text{H}$ - $^1\text{H}$ COSY spectrum of BB28-C (in $\text{CDCl}_3$ ). ....	70
Figure 31. HSQC spectrum of BB28-C (in $\text{CDCl}_3$ ). ....	71
Figure 32. HMBC spectrum of BB28-C (in $\text{CDCl}_3$ ). ....	71



## LIST OF SCHEMES

	PAGE
Scheme 1. Extraction of the <i>Phomopsis</i> sp. AANN8 fungal culture.....	26
Scheme 2. Isolation of C3.....	27
Scheme 3. Isolation of BB21-C.....	32
Scheme 4. Isolation of BB28-C.....	34



## ABBREVIATIONS AND SYMBOLS

%	= Percentage
$\mu\text{g}$	= Microgram
$\mu\text{l}$	= Microliter
$\mu\text{M}$	= Micromolar
$^{13}\text{C-NMR}$	= Carbon-13 nuclear magnetic resonance
$^1\text{H-NMR}$	= Proton nuclear magnetic resonance
$^1\text{H-}^1\text{H COSY}$	= Homonuclear (Proton-Proton) correlation spectroscopy
A549	= Human lung adenocarcinoma epithelial cell line
Acetone- $d_6$	= Deuterated acetone
BC	= Human lymphoma cell line
BT220	= Human breast cancer cell line
br	= Broad (for NMR spectra)
Calc	= Calculated
CC	= Column chromatography
$\text{CD}_3\text{OD}$	= Deuterated methanol
$\text{CDCl}_3$	= Deuterated chloroform
$\text{CH}_2\text{Cl}_2$	= Dichloromethane
$\text{CHCl}_3$	= Chloroform
cm	= Centimeter

4257096555

$\text{cm}^1$	= Reciprocal centimeter (unit of wave number)
$\text{cm}^2$	= Square centrimeter
$\text{CO}_2$	= Carbon dioxide
d	= Doublet (for NMR spectra)
DEPT	= Distortionless enhancement by polarization transfer
DMSO	= Dimethyl sulfoxide
dq	= Double quartets (for NMR spectra), doublet of quartets
$\epsilon$	= Molar absorptivity
$\text{EC}_{50}$	= Half maximal effective concentration
EIMS	= Electron impact mass spectrometry
ELISA	= Enzyme-linked immunosorbent assay
EtOAc	= Ethyl acetate
eV	= Electron volt
FABMS	= Fast atom bombardment mass spectrometry
g	= Gram
$\text{H}_2\text{O}$	= Water
HCT-116	= Human colorectal carcinoma cell line
HeLa	= Human cervical adenocarcinoma cell line
HepG2	= Human liver hepatocellular carcinoma cell line
HL251	= Human lung cancer cell line
HL-60	= Human promyelocytic leukemia cell line

A standard linear barcode is located here, oriented vertically. The numbers 4257096955 are printed below it.

HMBC	= $^1\text{H}$ -detected heteronuclear multiple bond correlation
HPLC	= High pressure liquid chromatography
HR	= High resolution
HSQC	= Heteronuclear single quantum coherence
HT-29	= Human colorectal adenocarcinoma cell line
Hz	= Hertz
IR	= Infrared
ITS	= Internal transcribed spacer
<i>J</i>	= Coupling constant
K562	= Human erythromyeloblastoid leukemia cell line
KB	= Human epidermoid carcinoma cell line
KBr	= Potassium bromide
l	= Liter
<i>m/z</i>	= Mass to charge ratio
MDA-MB-231	= Human breast adenocarcinoma cell line
MeOH	= Methanol
mg	= Milligram
MHz	= Mega Hertz
ml	= Milliliter
mm	= Millimeter
mM	= Millimolar



MS	= Mass spectrum
NCI-H187	= Human small-cell lung cancer cell line
nm	= Nanometer
NMR	= Nuclear magnetic resonance
NOESY	= Nuclear overhauser enhancement spectroscopy
nt	= Not tested
°C	= Degree Celsius
OD	= Optical density
P388	= Murine leukemia cell line
PC-3	= Human prostate adenocarcinoma cell line
PDA	= Potato dextrose agar
PDB	= Potato dextrose broth
ppm	= Part per million
psi	= Pound per square inch
s	= Singlet (for NMR spectra)
sp.	= Species
SRB	= Sulforhodamine B
t	= Triplet (for NMR spectra)
TCA	= Trichloroacetic acid
THP-1	= Human acute monocytic leukemia cell line
TLC	= Thin layer chromatography



UV	= Ultraviolet-visible
YSB	= Yeast extract sucrose broth
$\beta$	= Beta
$\delta$	= Chemical shift
$\lambda_{\max}$	= Wavelength at maximal absorption
$\nu_{\max}$	= Wave number at maximal absorption

