

CHAPTER III

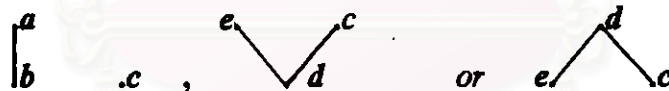
ORDER - PRESERVING TRANSFORMATION SEMIGROUPS ON PARTIALLY ORDERED SETS WHICH ARE NOT CHAINS

In this chapter, we study regularity of order – preserving transformation semigroups $PT_{OP}(X)$, $T_{OP}(X)$, $I_{OP}(X)$, $U_{OP}(X)$, $V_{OP}(X)$ and $W_{OP}(X)$ where X is a partially ordered sets which is not a chain.

Let X be a partially ordered sets which is not a chain. There are two main results in this chapter. The first one is to prove that if S is one of $PT_{OP}(X)$, $I_{OP}(X)$, $U_{OP}(X)$, and $W_{OP}(X)$, then S is regular if and only if X is isolated. The second one is to give some necessary conditions and some sufficient conditions for X such that $T_{OP}(X)$ is regular.

The following lemmas are required.

Lemma 3.1. *Let X be a partially ordered sets which is not a chain. If X is not isolated, then X contains a subposet of the forms*



Proof. Since X is not isolated, there exists $a \in X$ such that a is not an isolated point of X . Then there exists $b \in X$ such that $a < b$ or $b < a$. Without loss of generality, we assume that $a < b$. Let M be a maximal chain of X containing a and b . Since X is not a chain, $M \neq X$. Let $c \in X - M$. By maximality of M , the subposet $M \cup \{c\}$ is not a chain of X . Hence for all $x, y \in M$,

$$x < y \text{ implies that } x \nlessdot c \text{ or } c \nlessdot y \quad (*)$$

Case 1: c is not comparable with any element of M . Then



is a subposet of X .

Case 2: $d < c$ for some $d \in M$. If for every $x \in M$, $d < x$ implies that $x < c$, then $M \cup \{c\}$ is a chain which contradicts the maximality of M . Thus there exists $e \in M$ such that $d < e$ and $e \not< c$. Since $d, e \in M$ and $d < c$, by (*), $c \not< e$. Hence e and c are not comparable. Therefore



is a subposet of X .

Case 3: $c < d$ for some $d \in M$. If for $x \in M$, $x < d$ implies that $c < x$, then $M \cup \{c\}$ is a chain which is a contradiction since M is a maximal chain containing a and b . Consequently, there exists $e \in M$ such that $e < d$ and $c \not< e$. Since $d, e \in M$ and $c < d$, by (*), $e \not< c$. Therefore e and c are not comparable. Hence



is a subposet of X .

The lemma is completely proved. \square

Lemma 3.2. *If X is a partially ordered set containing a subposet of the forms*



then any of $PT_{OP}(X)$, $I_{OP}(X)$, $U_{OP}(X)$ and $W_{OP}(X)$ is not regular.

Proof. Let S be $PT_{OP}(X)$, $I_{OP}(X)$, $U_{OP}(X)$ or $W_{OP}(X)$.

Case 1: X contains



as a subposet. Let $\alpha \in PT(X)$ be such that $\Delta\alpha = \{b, c\}$, $\forall\alpha = \{a, b\}$, $b\alpha = a$ and $c\alpha = b$. Then $\alpha \in S$. Suppose that $\alpha\beta\alpha = \alpha$ for some $\beta \in S$. Then $a = b\alpha = b\alpha\beta\alpha = (a\beta)\alpha$ and $b = c\alpha = c\alpha\beta\alpha = (b\beta)\alpha$ which implies that $a\beta = b$ and $b\beta = c$.

Since $b < a$ and $c \not< b$, β is not order-preserving, a contradiction. Hence α is not a regular element of S .

Case 2: X contains



as a subposet. Let $\alpha \in PT(X)$ be such that $\Delta\alpha = \{a, c\}$, $\nabla\alpha = \{a, b\}$, $a\alpha = a$ and $c\alpha = b$. Then $\alpha \in S$. Suppose that there exists $\beta \in S$ such that $\alpha\beta\alpha = \alpha$.

Then $a = a\alpha = \alpha\beta\alpha = (a\beta)\alpha$ and $b = c\alpha = c\alpha\beta\alpha = (b\beta)\alpha$, so $a\beta = a$ and $b\beta = c$. This implies that β is not order-preserving since $b < a$ but $a \not< c$. Therefore α is not a regular element of S .

Case 3: X contains



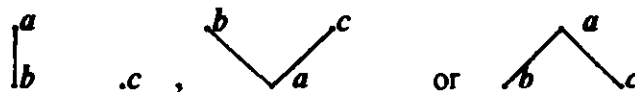
as a subposet. By Case 2, $T_{OP}(X, \leq_{opp})$ is not regular. By Proposition 1.5 (2), $T_{OP}(X)$ is not regular.

Hence the lemma is proved. \square

Theorem 3.3. Let X be a partially ordered set which is not a chain and let S be $PT_{OP}(X)$, $I_{OP}(X)$, $U_{OP}(X)$ and $W_{OP}(X)$. Then S is regular if and only if X is isolated.

Proof. If X is isolated, then $PT_{OP}(X) = PT(X)$, $I_{OP}(X) = I(X)$, $U_{OP}(X) = U(X)$ and $W_{OP}(X) = W(X)$, so S is a regular semigroup.

On the other hand, assume that X is not a chain and X is not isolated. By Lemma 3.1, X contains a poset of the forms



By Lemma 3.2, S is not regular. \square

Theorem 3.4. Let X be a partially ordered set containing disjoint components C_1 and C_2 with $|C_1| > 1$, then $T_{OP}(X)$ is not regular.

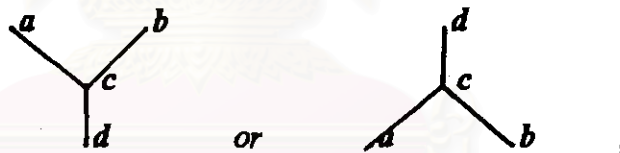
Proof. Since $|C_1| > 1$, there exist $a, b \in C_1$ such that $a < b$.

Define $\alpha \in T(X)$ by

$$x\alpha = \begin{cases} a & \text{if } x \in C_1, \\ b & \text{if } x \in C_2, \\ x & \text{if } x \in X - (C_1 \cup C_2). \end{cases}$$

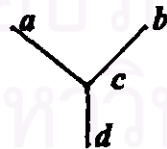
Then $\alpha \in T_{OP}(X)$. Suppose $\alpha = \alpha\beta\alpha$ for some $\beta \in T_{OP}(X)$. Let $c \in C_2$. Then $a = \alpha a = \alpha\beta\alpha a = (\alpha\beta)a$ and $b = \alpha b = \alpha\beta\alpha b = (\alpha\beta)b$ which imply that $\alpha\beta \in C_1$ and $\alpha\beta \in C_2$. Since C_1 and C_2 are disjoint components, $\alpha\beta$ and $\alpha\beta$ are not comparable. It is a contradiction since $\beta \in T_{OP}(X)$ and $a < b$. Hence α is not regular in $T_{OP}(X)$. \square

Theorem 3.5. If a partially ordered set X contains a subposet of the forms

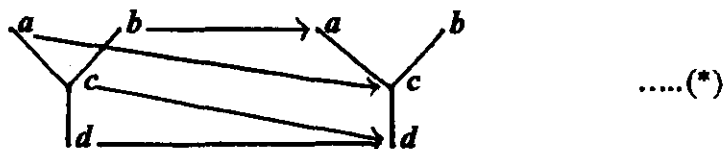


then $T_{OP}(X)$ is not regular.

Proof. First, let X have the subposet



Define $\alpha : X \rightarrow X$ by $a\alpha = c$, $b\alpha = a$, $c\alpha = d$ and $d\alpha = d$, i.e.,



and for $x \in X - \{a, b, c, d\}$,

$$x\alpha = \begin{cases} x & \text{if } x > a \text{ and } x > b, \\ c & \text{if } x > a \text{ and } x \not> b, \\ a & \text{if } x \not> a \text{ and } x > b, \\ d & \text{if } x \not> a \text{ and } x \not> b. \end{cases} \dots(**)$$

To show that α is order-preserving, let $y, z \in X$ be such that $y < z$. Because of that $x < y$, the following cases are all possible cases.

Case 1: $y, z \in \{a, b, c, d\}$. By (*), $y\alpha \leq z\alpha$.

Case 2: $y = a$ and $z \notin \{a, b, c, d\}$. Then $z > a > c$. By (*), $y\alpha = c$ and by (**),

$z\alpha \in \{z, c\}$. Then $y\alpha \leq z\alpha$.

Case 3: $y = b$ and $z \notin \{a, b, c, d\}$. Then $z > b$. By (*), $y\alpha = a$. If $z > a$, then by (**), $z\alpha = z > a = y\alpha$. If $z \not> a$, then by (**), $z\alpha = a = y\alpha$.

Case 4: $y = c$ or d and $z \notin \{a, b, c, d\}$. Then $z > d$. By (*), $y\alpha = d$. By (**), $z\alpha \in \{z, a, c, d\}$, so $z\alpha \geq d = y\alpha$.

Case 5: $z = a$ and $y \notin \{a, b, c, d\}$. Then $y < a$ and $z\alpha = c$. Since $a \not> b$, $y \not> b$. Therefore $y \not> a$ and $y \not> b$. Then $y\alpha = d < c = z\alpha$.

Case 6: $z = b$ and $y \notin \{a, b, c, d\}$. Then $y < b$ and $z\alpha = a$. Since $y \not> b$, $y\alpha \in \{c, d\}$. Therefore $y\alpha \leq z\alpha$.

Case 7: $z = c$ or d and $y \notin \{a, b, c, d\}$. Then $y < a$ and $z\alpha = d$. Since $a \not> b$, $y \not> b$. We have that $y \not> a$ and $y \not> b$. Then $y\alpha = d = z\alpha$.

Case 8: $y > a$ and $z \notin \{a, b, c, d\}$. Then $z > a$, so $z\alpha \in \{z, c\}$. If $y > b$, then $z > b$, so $y\alpha = y < z = z\alpha$. If $y \not> b$, then $y\alpha = c < a < z$, so $y\alpha \leq z\alpha$.

Case 9: $y \not> a$ and $z \notin \{a, b, c, d\}$.

Subcase 9.1: $y > b$ and $z > a$. Then $z > b$. Thus $y\alpha = a < z = z\alpha$.

Subcase 9.2: $y > b$ and $z \not> a$. Then $z > b$. Thus $y\alpha = a = z\alpha$.

Subcase 9.3: $y \not> b$, $z > a$ and $z > b$. Thus $y\alpha = d < a < z = z\alpha$.

Subcase 9.4: $y \not> b$, $z > a$ and $z \not> b$. Thus $y\alpha = d < c = z\alpha$.

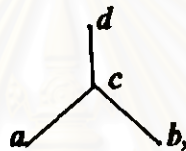
Subcase 9.5: $y \not> b$, $z \not> a$ and $z > b$. Thus $y\alpha = d < a = z\alpha$.

Subcase 9.6: $y \not> b$, $z \not> a$ and $z \not> b$. Thus $y\alpha = d = z\alpha$.

Next, to show that α is not regular, suppose it is.

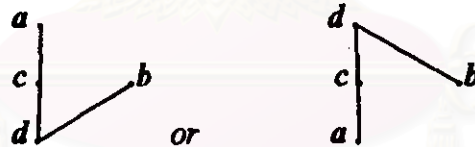
Then $\alpha = \alpha\beta\alpha$ for some $\beta \in T_{OP}(X)$. Since $c = a\alpha = a\alpha\beta\alpha = (c\beta)\alpha$ and $a = b\alpha = b\alpha\beta\alpha = (a\beta)\alpha$, by (*) and (**), $c\beta = a$ or $(c\beta > a$ and $c\beta \not> b)$ and $a\beta = b$ or $(a\beta \not> a$ and $a\beta > b)$. Then case that $c\beta = a$ and $a\beta = b$ can not occur because a and b are not comparable. If $c\beta = a$, $a\beta \not> a$ and $a\beta > b$, then $c\beta \not> a\beta$, so $a = c\beta = a\beta > b$, a contradiction. If $c\beta > a$, $c\beta \not> b$ and $a\beta = b$, then $a < c\beta \leq a\beta = b$, a contradiction. If $c\beta > a$, $c\beta \not> b$, $a\beta \not> a$ and $a\beta > b$, then $a < c\beta \leq a\beta$, a contradiction. Hence α is not regular, so $T_{OP}(X)$ is not a regular semigroup.

If X contains a subposet of the form



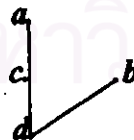
then by the above proof, $T_{OP}(X, \leq_{opp})$ is not regular and hence by Proposition 1.5 (2), $T_{OP}(X)$ is not regular. \square

Lemma 3.6. *If a partially ordered set X contains a subposet of the forms*

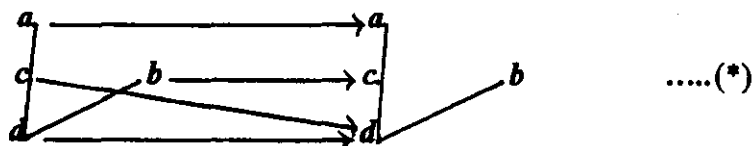


then $T_{OP}(X)$ is not regular.

Proof. First, let X have the subposet



Define $\alpha : X \rightarrow X$ as follows: $a\alpha = a$, $b\alpha = c$, $c\alpha = d\alpha = d$, i.e.,



and for $x \in X - \{a, b, c, d\}$,

$$x\alpha = \begin{cases} x & \text{if } x > a \text{ and } x > b, \\ a & \text{if } x > a \text{ and } x \not> b, \\ c & \text{if } x \not> a \text{ and } x > b, \\ d & \text{if } x \not> a \text{ and } x \not> b. \end{cases} \dots(**)$$

To show that α is order-preserving, let $y, z \in X$ be such that $y < z$. Because of that $y < z$, the following cases are all possible cases.

Case 1: $y, z \in \{a, b, c, d\}$. By (*), $y\alpha \leq z\alpha$.

Case 2: $y = a$ and $z \notin \{a, b, c, d\}$. Then $z > a$. By (*), $y\alpha = a$ and by (**), $z\alpha \in \{a, z\}$, so $y\alpha \leq z\alpha$.

Case 3: $y = b$ and $z \notin \{a, b, c, d\}$. Then $z > b$. By (*), $y\alpha = c$. By (**), we have that $z > a$ implies $z\alpha = z > a > c > y\alpha$ and $z \not> a$ implies $z\alpha = c = y\alpha$.

Case 4: $y = c$ or d and $z \notin \{a, b, c, d\}$. Then $z > d$. By (*), $y\alpha = d$. By (**), $z\alpha \in \{z, a, c, d\}$, thus $z\alpha \geq y\alpha$.

Case 5: $z = a$ and $y \notin \{a, b, c, d\}$. Then $y < a$ and $z\alpha = a$. Since $y \not> a$, $y\alpha \in \{c, d\}$. Then $y\alpha < z\alpha$.

Case 6: $z = b$ and $y \notin \{a, b, c, d\}$. Then $y < b$ and $z\alpha = c$. Since $b \not> a$, $y \not> a$. Then $y \not> a$ and $y \not> b$. Therefore $y\alpha = d < c = z\alpha$.

Case 7: $z = c$ or d and $y \notin \{a, b, c, d\}$. Then $y < a$ and $z\alpha = d$. Since $a \not> b$, $y \not> b$. We have that $y \not> a$ and $y \not> b$. Then $y\alpha = d = z\alpha$.

Case 8: $y > a$ and $z \notin \{a, b, c, d\}$. Then $z > a$, so $z\alpha \in \{z, a\}$. If $y > b$, then $z > b$, so $y\alpha = y < z = z\alpha$. If $y \not> b$, then $y\alpha = a$ which implies that $y\alpha \leq z\alpha$.

Case 9: $y \not> a$ and $z \notin \{a, b, c, d\}$.

Subcase 9.1: $y > b$ and $z > a$. Then $z > b$. Thus $y\alpha = c < a < z = z\alpha$.

Subcase 9.2: $y > b$ and $z \not> a$. Then $z > b$. Thus $y\alpha = c = z\alpha$.

Subcase 9.3: $y \not> b$, $z > a$ and $z > b$. Thus $y\alpha = d < a < z = z\alpha$.

Subcase 9.4: $y \not> b$, $z > a$ and $z \not> b$. Thus $y\alpha = d < a = z\alpha$.

Subcase 9.5: $y \not> b$, $z \not> a$ and $z > b$. Thus $y\alpha = d < c = z\alpha$.

Subcase 9.6: $y \not> b$, $z \not> a$ and $z \not> b$. Thus $y\alpha = d = z\alpha$.

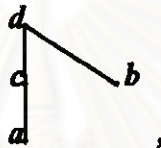
Next, to show that α is not regular, suppose it is.

Then $\alpha = \alpha\beta\alpha$ for some $\beta \in T_{OP}(X)$. We have that $c\beta \leq a\beta$.

Since $a = a\alpha = a\alpha\beta\alpha = (a\beta)\alpha$, $a\beta = a$ or $(a\beta > a$ and $a\beta \not> b)$. Since $c = b\alpha = b\alpha\beta\alpha = (c\beta)\alpha$, $c\beta = b$ or $(c\beta \not> a$ and $c\beta > b)$. The case that $a\beta = a$ and $c\beta = b$ can not occur because a and b are not comparable. If $a\beta = a$, $c\beta \not> a$ and $c\beta > b$, then $b < c\beta \leq a\beta = a$, a contradiction. If $a\beta > a$, $a\beta \not> b$ and $c\beta = b$, then $a\beta \not> c\beta$, so $c\beta = a\beta$ and therefore $a < a\beta = c\beta = b$, a contradiction. If $a\beta > a$, $a\beta \not> b$, $c\beta \not> a$ and $c\beta > b$, then $b < c\beta \leq a\beta$, a contradiction.

This proves that $T_{OP}(X)$ is not a regular semigroup.

If X contains a subposet of the form



then by the above proof, $T_{OP}(X, \leq_{opp})$ is not regular and hence by Proposition 1.5 (2), $T_{OP}(X)$ is not regular. \square

Theorem 3.7. *If a partially ordered set X contains a subposet of the forms*



or



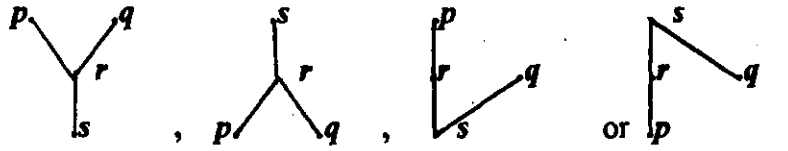
then $T_{OP}(X)$ is not regular.

Proof. First, let X contain a subposet



where $\{a, e\}$ has no lower bound in X and let this subposet be denoted by Y .

If X is not connected, by Lemma 3.4, $T_{OP}(X)$ is not regular. If X contains a subposet of the forms



by Lemma 3.5 and Lemma 3.6, $T_{OP}(X)$ is not regular. Assume that

(1) X is connected

and

(2) X does not contain subposet of the forms



By (1) and (2),

(3) for $x \in X$, x is a maximal element or a minimal element of X .

Define $\alpha: X \rightarrow X$ by $aa = a$, $ba = da = ca = b$, $ea = c$, i.e.,



and $x\alpha = b$ for all $x \in X - Y$. Then

$x\alpha = b$ if x is a minimal element of X

and

...(*)

$x\alpha \in \{a, c, b\}$ if x is a maximal element of X .

If $y, z \in X$ are such that $y < z$, then by (3), y is a minimal element and z is a maximal element of X , so by (*), $y\alpha \leq z\alpha$.

Suppose there exists $\beta \in T_{OP}(X)$ such that $a = \alpha\beta a$. Then $a = a\alpha = a\alpha\beta a = (a\beta)a$ and $c = ea = e\alpha\beta a = (c\beta)a$ which imply that $a\beta = a$ and $c\beta = e$. Since $b < a$, $b\beta \leq a\beta$, so $b\beta \leq a$. Since $b < c$, $b\beta \leq c\beta$, so $b\beta \leq e$. Then $b\beta$ is lower bound of $\{a, e\}$, a contradiction. Hence α is not regular, so $T_{OP}(X)$ is not regular.

If X contains a subposet of the form (ii), by the above proof, $T_{OP}(X, \leq_{opp})$ is not regular. By Proposition 1.5 (2), $T_{OP}(X)$ is not regular. \square

Theorem 3.8. Let X be a partially ordered set and $M(X)$ and $m(X)$ denote the set of all maximal elements of X and minimal elements of X , respectively. If (i) $X = M(X) \cup m(X)$ and (ii) for $x \in m(X)$ and $y \in M(X)$, $x < y$, then $T_{OP}(X)$ is regular.

Proof. Let $\alpha \in T_{OP}(X)$. Since α is order-preserving and for $x \in m(X)$ and $y \in M(X)$, $x < y$, it follows that

- (i) if $x \in \nabla\alpha \cap M(X)$, then $x\alpha^{-1} \cap M(X) \neq \emptyset$ and
- (ii) if $x \in \nabla\alpha \cap m(X)$, then $x\alpha^{-1} \cap m(X) \neq \emptyset$.

For $x \in \nabla\alpha$, choose $d_x \in x\alpha^{-1}$ which satisfies the following properties

- (1) If $x \in M(X)$, choose $d_x \in M(X)$.
- (2) If $x \in m(X)$, choose $d_x \in m(X)$.

(1) and (2) can be obtained because of (i) and (ii). Define $\beta: X \rightarrow X$ by

$$x\beta = \begin{cases} d_x & \text{if } x \in \nabla\alpha, \\ x & \text{if } x \notin \nabla\alpha. \end{cases}$$

Then for $x \in X$, $x\alpha\beta\alpha = d_x\alpha = x\alpha$. thus $\alpha = \alpha\beta\alpha$. To show that β is order-preserving, let $x, y \in X$ be such that $x < y$. Then $x \in m(X)$ and $y \in M(X)$.

Case 1: $x \notin \nabla\alpha$ and $y \notin \nabla\alpha$. Then $x\alpha = x < y = y\alpha$.

Case 2: $x \in \nabla\alpha$ and $y \notin \nabla\alpha$. Then $y\beta = y \in M(X)$. By (2), $d_x \in m(X)$. Then $x\beta = d_x < y = y\beta$.

Case 3: $x \notin \nabla\alpha$ and $y \in \nabla\alpha$. Then $x\beta = x \in m(X)$. By (1), $d_y \in M(X)$. Then $x\beta = x < d_y = y\beta$.

Case 4: $x \in \nabla\alpha$ and $y \in \nabla\alpha$. Then $d_x \in m(X)$ and $d_y \in M(X)$. Thus $x\beta = d_x < d_y = y\beta$. \square

Theorem 3.9. Let X be a partially ordered set. If X has a maximum element a and a minimum element b such that for all distinct $x, y \in X - \{a, b\}$, x and y are not comparable, then $T_{OP}(X)$ is regular.

Proof. Let $\alpha \in T_{OP}(X)$. Since α is order-preserving, we have that

- (i) if $a \in \nabla\alpha$, then $a \in a\alpha^{-1}$ and
- (ii) if $b \in \nabla\alpha$, then $b \in b\alpha^{-1}$.

For $x \in \nabla\alpha$, choose $d_x \in x\alpha^{-1}$ which satisfies the following properties

- (1) If $x = a$, then $d_x = a$.
- (2) If $x = b$, then $d_x = b$.

(1) and (2) can be obtained because of (i) and (ii), respectively.

Define $\beta: X \rightarrow X$ by

$$x\beta = \begin{cases} d_x & \text{if } x \in \nabla\alpha, \\ x & \text{if } x \notin \nabla\alpha. \end{cases} \quad \dots(*)$$

For $x \in X$, $x\alpha\beta\alpha = (d_{x\alpha})\alpha = x\alpha$, so $\alpha = \alpha\beta\alpha$.

To show that β is order-preserving, let $y, z \in X$ be such that $y < z$.

Then $y = b$ or $z = a$.

Case 1: $z = a$. If $z \notin \nabla\alpha$, then by (*), $z\beta = z = a$. If $z \in \nabla\alpha$, then by (1) and (*), $z\beta = d_z = a$. Since a is the maximum element of X , $y\beta \leq z\beta$.

Case 2: $z \neq a$. Then $y = b$. If $y \notin \nabla\alpha$, then by (*), $y\beta = y = b$. If $y \in \nabla\alpha$, then by (2) and (*), $y\beta = d_y = b$. Since b is the minimum element of X , $y\beta \leq z\beta$.

Hence β is order-preserving.

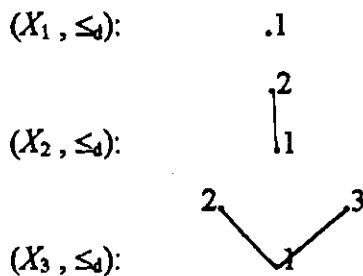
This proves that $T_{OP}(X)$ is regular. \square

Example. For each $n \in \mathbb{N}$, let $X_n = \{1, 2, 3, \dots, n\}$. Under the natural partial order, X_n is a finite chain for every $n \in \mathbb{N}$, so $T_{OP}(X_n)$ is regular for all $n \in \mathbb{N}$.

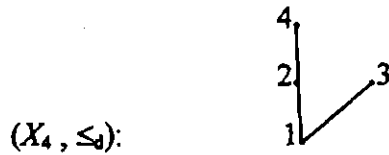
Define the partial order \leq_d on \mathbb{N} by

$$a \leq_d b \text{ if and only if } a \mid b.$$

The pictures of (X_1, \leq_d) , (X_2, \leq_d) , (X_3, \leq_d) and (X_4, \leq_d) are as follows:



and



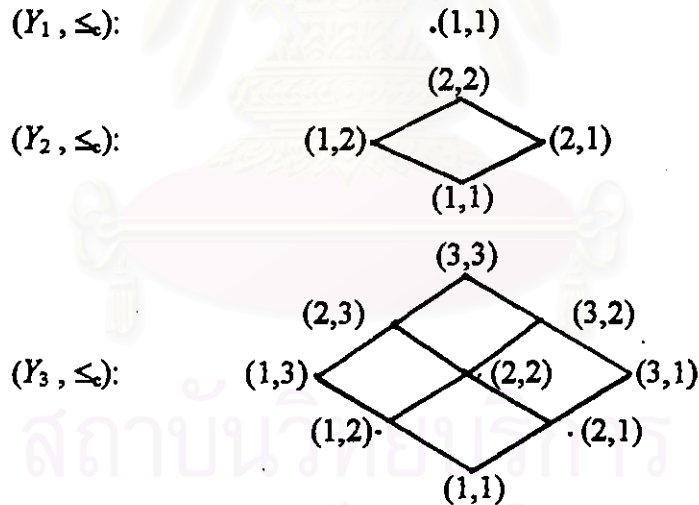
Since (X_1, \leq_d) and (X_2, \leq_d) are finite chains, $T_{OP}(X_1, \leq_d)$ and $T_{OP}(X_2, \leq_d)$ are regular. By Theorem 3.6, $T_{OP}(X_4, \leq_d)$ is not regular. By Theorem 3.8, $T_{OP}(X_3, \leq_d)$ is regular. Hence we have that for $n \in \mathbb{N}$, $T_{OP}(X_n, \leq_d)$ is regular if and only if $n \leq 3$.

Example. For each $n \in \mathbb{N}$, let $Y_n = \{(x, y) \mid x, y \in \{1, 2, 3, \dots, n\}\}$.

Define the partial order \leq_c on $\mathbb{N} \times \mathbb{N}$ by

$$(a, b) \leq_c (c, d) \text{ if and only if } a \leq c \text{ and } b \leq d.$$

The pictures of (Y_1, \leq_c) , (Y_2, \leq_c) and (Y_3, \leq_c) are as follows:



Then $T_{OP}(Y_1, \leq_c)$ is regular and by Theorem 3.9, $T_{OP}(Y_2, \leq_c)$ is regular.

By Theorem 3.6, $T_{OP}(Y_3, \leq_c)$ is not regular. Hence we have that for $n \in \mathbb{N}$,

$T_{OP}(Y_n, \leq_c)$ is regular if and only if $n \leq 2$.