

## CHAPTER II

### TOPOLOGICAL CONCEPTS



#### 2.1 Basic Concepts

A topological space is an ordered pair  $(X, \tau)$  where  $X$  is a set and  $\tau$  is a family of subsets of  $X$  satisfying the following conditions :

- a)  $\phi$  and  $X$  are elements of  $\tau$ .
- b) The intersection of any two members of  $\tau$  is in  $\tau$ .
- c) The arbitrary union of members of  $\tau$  is in  $\tau$ .

The family  $\tau$  is called the topology of the space  $(X, \tau)$ . Occasionally, we shall denote any topological space  $(X, \tau)$  simply by  $X$ . The members of  $\tau$  are called  $\tau$ -open sets of  $X$  (or simply open sets of  $X$ ). A subset  $A$  of  $X$  is said to be closed if and only if its relative complement  $X \setminus A$  is open. If a topological space  $X$  has the property that for any  $x, y$  in  $X$  there exist open sets  $O_1, O_2$  such that  $x \in O_1, y \in O_2$  and  $O_1 \cap O_2 = \phi$ , we say that  $X$  is a Hausdorff space. For any topological space  $(X, \tau)$  it can be shown that if  $Y$  is any subset of  $X$ , then the family

$$\delta = \{T \cap Y : T \in \tau\}$$

is a topology on  $Y$ ; it is called the relative topology of  $Y$  and the topological space  $(Y, \delta)$  is called a subspace of  $(X, \tau)$ .

By a neighborhood of a point  $x$  in a topological space  $X$ , we mean a set  $N$  for which there exists an open set  $O$  such that  $x \in O \subseteq N$ . The boundary of a subset  $A$ , denoted by  $\partial A$ , is defined to be the set of all  $x \in X$  such that each neighborhood of  $x$  intersects both  $A$  and  $X \setminus A$ . The interior of  $A$  is defined to be the set of all  $x \in X$  such that  $A$  is a neighborhood of  $x$  in  $X$ . An  $x$  in interior of  $A$  is called an interior point of  $A$ . By the closure of a subset  $A$ , denoted by  $\bar{A}$ , we mean a set of all points  $x$  in  $X$  such that each neighborhood of  $x$  intersects  $A$ .

A subcollection  $\mathcal{B}$  of a topology  $\tau$  is said to be a base of  $\tau$  provided the following condition holds : for each  $T \in \tau$  and  $x \in T$ , there is a  $Wx \in \mathcal{B}$  such that  $x \in Wx \subseteq T$ , or equivalently, each  $T$  in  $\tau$  is a union of members of  $\mathcal{B}$ . It can be shown that if a family  $\mathcal{B}$  of subsets of a set  $X$  has the properties:

- i) the union of sets in  $\mathcal{B}$  is  $X$ ,
- ii) for each  $B_1, B_2 \in \mathcal{B}$ ,  $B_1 \cap B_2$  is the union of members of  $\mathcal{B}$ ,

then  $\mathcal{B}$  is a base for some topology for  $X$ . This topology consists of all sets that can be written as union of sets in  $\mathcal{B}$ .

A function  $f$  from a topological space  $(X, \tau)$  into a topological space  $(Y, \delta)$  is continuous at a point  $x$  if and only if given any neighborhood  $Vy$  of the point  $y = f(x)$ , there is a neighborhood  $Ux$  of the point  $x$  such that  $f(Ux) \subseteq Vy$ . The mapping  $f$  is said to be continuous on  $X$  if it is continuous at every point of  $X$ . If  $f$

is a bijection such that  $f$  and  $f^{-1}$  are continuous, then  $f$  is called a homeomorphism. Any two topological spaces are homeomorphic if there exists a homeomorphism between them. If  $f$  is a homeomorphism from  $X$  to the subspace  $f(X)$  of  $Y$ , then  $f$  is called an embedding of  $X$  into  $Y$ .

A topological space  $(X, \tau)$  is said to be connected if and only if  $X$  is not the union of two nonempty, disjoint open sets. It can be shown that if  $f$  is a continuous function of  $(X, \tau)$  into  $(Y, \delta)$  and  $(X, \tau)$  is connected, then  $f(X)$  is connected. A connected subspace of  $(X, \tau)$  is not properly contained in any larger connected subspace is called a component of  $(X, \tau)$ .

Let  $\sim$  be an equivalence relation on a set  $X$ . For each  $x \in X$ , the equivalence class of  $x$  under  $\sim$  is the subset  $x/\sim = \{y \in X : x \sim y\}$  of  $X$ , and each  $y \in x/\sim$  is a representative of this equivalence class. The quotient of  $X$  under  $\sim$  is the set

$$X/\sim = \{x/\sim : x \in X\}$$

of all the equivalence classes, and the quotient map induced by  $\sim$  is the surjection

$$p : X \rightarrow X/\sim$$

which sends each element of  $X$  to its equivalence class under  $\sim$ .

We shall call an equivalence class under  $\sim$  as an identified point.

For any topological space  $X$  and any equivalence relation  $\sim$  on  $X$ ,

it can be shown that the collection

$$\tau = \{V \subseteq X/\sim : p^{-1}(V) \text{ is open in } X\}$$

is a topology on the quotient set  $X/\sim$ . This topology is called the quotient topology induced by  $\sim$ .

## 2.2 The Space $\mathbb{R}^n$

The space  $X = \{(x_1, \dots, x_n) : x_i \in \mathbb{R}, \text{ for all } i = 1, \dots, n\}$  of all  $n$ -tuples of real numbers can be made into a vector space over  $\mathbb{R}$  by defining

$$(x_1, \dots, x_n) + (y_1, \dots, y_n) = (x_1 + y_1, \dots, x_n + y_n)$$

and

$$\theta(x_1, \dots, x_n) = (\theta x_1, \dots, \theta x_n),$$

where  $x_i, y_i \in \mathbb{R}$ , for all  $i = 1, \dots, n$  and  $\theta \in \mathbb{R}$ . It can be shown that

$$\mathcal{B} = \left\{ \prod_{i=1}^n O_i : O_i \text{ is an open interval in } \mathbb{R}, \right. \\ \left. \text{for all } i = 1, \dots, n \right\}$$

form a base for some topology  $\tau$  of  $X$ . We shall denote this vector space  $X$  together with this topology by  $\mathbb{R}^n$  and refer to it as the  $n$ -dimensional Euclidean space.

If  $x$  and  $y$  are two distinct points in  $\mathbb{R}^n$ , then by the line segment joining  $x$  and  $y$ , we mean the set

$$q(x, y) = \{ \theta_1 x + \theta_2 y : \theta_1, \theta_2 \in \mathbb{R}, 0 < \theta_1 < 1, \\ 0 < \theta_2 < 1, \text{ and } \theta_1 + \theta_2 = 1 \}.$$

The point  $x, y$  will be called the endpoints of  $q(x, y)$ .

By the line passing through x and y, we mean the set

$$l(x, y) = \{\theta_1 x + \theta_2 y : \theta_1, \theta_2 \in \mathbb{R} \text{ and } \theta_1 + \theta_2 = 1\}.$$

If x, y and z are three distinct points in  $\mathbb{R}^3$  such that they are not in the same line, then by the plane passing through x, y and z, we mean the set

$$P(x, y, z) = \{\theta_1 x + \theta_2 y + \theta_3 z : \theta_1, \theta_2, \theta_3 \in \mathbb{R} \text{ and } \theta_1 + \theta_2 + \theta_3 = 1\}.$$

### 2.3 Topological Sums and Connected Sums

Let  $(X_1, \tau_1)$  and  $(X_2, \tau_2)$  be any two topological spaces such that  $X_1 \cap X_2 = \phi$ . Let  $X = X_1 \cup X_2$ , it can be shown that

$$\tau = \{A : A \subseteq X, A \cap X_1 \in \tau_1 \text{ and } A \cap X_2 \in \tau_2\}$$

is a topology on X. The topological space  $(X, \tau)$  will be called the topological sum of  $X_1$  and  $X_2$  and will be denoted by

$(X_1, \tau_1) + (X_2, \tau_2)$ , or simply by  $X_1 + X_2$ . If  $X_1, X_2$  are Hausdorff, then it can be shown that  $X_1 + X_2$  is also Hausdorff.

Let  $E_1$  and  $E_2$  be closed subsets of two disjoint topological spaces  $X_1$  and  $X_2$ , respectively. Assume that  $\partial E_1$  and  $\partial E_2$  are not empty and homeomorphic. Let h be a homeomorphism from  $\partial E_1$  to  $\partial E_2$ . Then the h-connected sum of  $\overline{X_1 - E_1}$  and  $\overline{X_2 - E_2}$ , denoted by

$\overline{X_1 - E_1} \text{ (h) } \overline{X_2 - E_2}$ , is the quotient space of the topological sum  $\overline{X_1 - E_1} + \overline{X_2 - E_2}$  obtained by identifying the points x and h(x) for all points x in  $\partial E_1$ .

## 2.4 Surfaces

By a surface we mean a Hausdorff space such that each point has a neighborhood homeomorphic to  $\mathbb{R}^2$ .

If  $S$  is any surface, by a disc on  $S$ , we mean a closed subset  $D$  of  $S$  such that  $D$  is homeomorphic to the unit disc,  $\{(x, y) : x, y \in \mathbb{R} \text{ and } x^2 + y^2 \leq 1\}$ .

If  $D_1$  and  $D_2$  are any two disjoint discs on  $S$ , then the topological space  $S - \overline{(D_1 \cup D_2)}$  will be denoted by  $S(D_1, D_2)$ . We observe that  $\partial(D_1 \cup D_2)$  consists of two components, each being homeomorphic to the unit circle,  $\{(x, y) : x, y \in \mathbb{R} \text{ and } x^2 + y^2 = 1\}$ .

In what follows we shall define what we mean by a surface "S attached by a handle".

Let a surface  $S$  be given. Let

$$C = B \cup L \cup U,$$

where  $B = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 = 1, 0 < z < 1\}$ ,

$L = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 \leq 1, z = 0\}$ ,

$U = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 \leq 1, z = 1\}$ .

Let  $D_1, D_2$  be two disjoint discs on  $S$ . Then there exist a homeomorphism  $h_1$  from  $\partial D_1$  to  $\partial L$  and a homeomorphism  $h_2$  from  $\partial D_2$  to  $\partial U$ . Let  $h = h_1 \cup h_2$ . We see that  $h$  is a homeomorphism from  $\partial D_1 \cup \partial D_2 = \partial(D_1 \cup D_2)$  to  $\partial L \cup \partial U = \partial(L \cup U)$ . Hence we may construct the  $h$ -connected sum  $S - (D_1 \cup D_2) \text{ (h) } C - (L \cup U)$ . It can be

shown that this h-connected sum is a surface. We shall refer to this resulting surface as the surface S attached by a handle.

If S is homeomorphic to the unit sphere,  $\{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = 1\}$ , we say that S is an  $S_0$ . If there exists a surface  $S'$  which is an  $S_t$  such that S is homeomorphic to  $S'$  attached by a handle, we say that S is an  $S_{t+1}$ .