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Topological Properties of Spaces of Complete and Consistent
n-Types

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TOPOLOGICAL PROPERTIES OF SPACES OF COMPLETE AND CONSISTENT
N-TYPES

Mr. Thanathip Phokhaw

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for the Degree of Bachelor of Science Program in Mathematics

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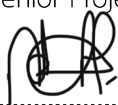
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ในโครงการชิ้นนี้เราศึกษาสมบัติทางทอพอโลยีของปริภูมิสโตนบนเซต $S_n^{\mathfrak{M}}(A)$ ซึ่งเป็นเซตของ n แบบบนเซต A ที่บริบูรณ์และไม่แย้งกันในโครงสร้าง \mathfrak{M} ใน \mathcal{L} โดยที่ \mathfrak{M} เป็นโครงสร้างขยายตัวของ (\mathbb{Q}) หรือ (\mathbb{R}) และ A เป็นสับเซตของเซตพื้นฐานของ \mathfrak{M} จากการศึกษาเราพบว่า $S_1^{\mathfrak{M}}(\emptyset)$ เป็นเซตวิยุตเมื่อ $\mathfrak{M} = (\mathbb{Q}, <, S, P)$ ซึ่ง S และ P เป็นฟังก์ชันจาก \mathbb{Q} ไปยัง \mathbb{Z} ที่นิยามโดย $S(x) = \min\{n \in \mathbb{Z} : x < n\}$ และ $P(x) = \max\{n \in \mathbb{Z} : n < x\}$ ทุก ๆ $x \in \mathbb{Q}$ เราพบอีกว่าปริภูมิสโตนบนเซต $S_1^{\mathfrak{M}}(\mathbb{Q})$ นั้นนับได้ลำดับแรกและลำดับที่สอง และปริภูมิสโตนบนเซต $S_1^{\mathfrak{M}}(\mathbb{R})$ นั้นนับได้ลำดับแรกแต่ไม่นับได้ลำดับที่สองเมื่อ $\mathfrak{M} = (\mathbb{Q}, <)$ และ $\mathfrak{M} = (\mathbb{R}, <)$

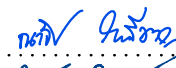

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In this project, we study topological properties of the Stone space over the set $S_n^{\mathfrak{M}}(A)$ of complete and consistent n -types over A in an \mathcal{L} -structure \mathfrak{M} where \mathfrak{M} is an expansion of the (\mathbb{Q}) or (\mathbb{R}) ; and A is a subset of the underlying set of \mathfrak{M} . We found that $S_1^{\mathfrak{M}}(\emptyset)$ is discrete when $\mathfrak{M} = (\mathbb{Q}, <, \mathbf{S}, \mathbf{P})$ and \mathbf{S}, \mathbf{P} are functions from \mathbb{Q} to \mathbb{Z} defined by $\mathbf{S}(x) = \min \{n \in \mathbb{Z} : x < n\}$ and $\mathbf{P}(x) = \max \{n \in \mathbb{Z} : n < x\}$ for each $x \in \mathbb{Q}$. We also found that the Stone space of $S_1^{\mathfrak{M}}(\mathbb{Q})$ is first-countable and second-countable and the Stone space of $S_1^{\mathfrak{M}}(\mathbb{R})$ is first-countable but not second-countable where $\mathfrak{M} = (\mathbb{Q}, <)$ and $\mathfrak{N} = (\mathbb{R}, <)$.

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Contents

Abstract in Thai	iv
Abstract in English	v
Acknowledgments	vi
Content	vii
List of Notations	viii
1 n-Types and the Stone Space	1
1.1 n -Types	1
1.2 Stone Space of Complete and Consistent n -Types	3
2 Topological Properties of The Stone Space of Complete Consistent 1-types over $(\mathbb{Q}, <, S, P)$	6
3 First Countability and Second Countability of the Stone Spaces of Complete and Consistent 1-type over $(\mathbb{Q}, <)$ and $(\mathbb{R}, <)$	12
References	15
Appendix A Project Proposal	16
Appendix B Author's Profile	19

List of Notations

\mathbb{N}	Set of all natural numbers $0, 1, 2, \dots$
\mathbb{Z}	Set of all integers
\mathbb{Q}	Set of all rational numbers
\mathbb{R}	Set of all real numbers
Var	Set of all variables v_1, v_2, \dots

Chapter 1

n -Types and the Stone Space

Throughout this project, let \mathcal{L} be a language consisting of a set \mathcal{R} of relation symbols, a set \mathcal{C} of constant symbols and a set \mathcal{F} of function symbols. Here, we assume some backgrounds in first-order logic. For more details, we refer to [1] and [3].

In this chapter, we introduce n -types along with some properties. All results in this chapter can be found in [1] and [3]; therefore we omit the proofs.

1.1 n -Types

Let \mathfrak{M} be an \mathcal{L} -structure with the underlying set M and $A \subseteq M$. Let \mathcal{L}_A be the language obtained by adding each element of A to \mathcal{L} as a constant symbol. Note that \mathfrak{M} is an \mathcal{L}_A -structure by the trivial interpretation $a^{\mathfrak{M}} = a$ for each $a \in A$. For any \mathcal{L}_A -sentence σ , we use $\mathfrak{M} \models \sigma$ to denote the statement “ σ is true in \mathfrak{M} ”. Let $\text{Th}_A(\mathfrak{M}) = \{\sigma : \sigma \text{ is an } \mathcal{L}_A\text{-sentence and } \mathfrak{M} \models \sigma\}$. Note that $\text{Th}_A(\mathfrak{M})$ is satisfiable. Let T be an \mathcal{L}_A -theory. We say that \mathfrak{M} is a model of T (denoted by $\mathfrak{M} \models T$) if $\mathfrak{M} \models \sigma$ for every $\sigma \in T$; and for any \mathcal{L}_A -formula $\phi(v_1, \dots, v_n)$ we say that T satisfies $\phi(v_1, \dots, v_n)$ (denoted by $T \models \phi(v_1, \dots, v_n)$) if for every model \mathfrak{M} of T and $a_1, \dots, a_n \in \mathfrak{M}$, $\mathfrak{M} \models \phi(a_1, \dots, a_n)$.

Definition 1.1. Let $p(v_1, \dots, v_n)$ be a set of \mathcal{L}_A -formulas. We call $p(v_1, \dots, v_n)$ an n -type over A if all \mathcal{L}_A -formulas in $p(v_1, \dots, v_n)$ have no free occurrences of variables other than v_1, \dots, v_n . We say that $p(v_1, \dots, v_n)$ is *consistent* if $p(v_1, \dots, v_n) \cup \text{Th}_A(\mathfrak{M})$ is consistent. We say that $p(v_1, \dots, v_n)$ is *complete* if $\phi \in p(v_1, \dots, v_n)$ or $\neg\phi \in p(v_1, \dots, v_n)$ for all \mathcal{L}_A -formulas ϕ with no free occurrences of variables other than v_1, \dots, v_n . We let $S_n^{\mathfrak{M}}(A)$ be the set of all complete and consistent n -types over A .

Let $p(v_1, \dots, v_n)$ be an n -type and c_1, \dots, c_n be fresh constant symbols. By the Completeness Theorem and the Compactness Theorem, it follows that an n -type $p(v_1, \dots, v_n)$ over A is consistent if and only if $p(c_1, \dots, c_n) \cup \text{Th}_A(\mathfrak{M})$ is finitely satisfiable.

We simply write p instead of $p(v_1, \dots, v_n)$ when it causes no confusion.

Here, we provide some examples.

Example 1.2. Let $\mathfrak{M} = (\mathbb{Q}, <)$ and $A = \mathbb{N}$. Let $p(v_1) = \{“i < v_1” : i \in \mathbb{N}\}$. Then p is a 1-type. Let Δ be a finite subset of $p \cup \text{Th}_A(\mathfrak{M})$. If $\Delta \cap p = \emptyset$, then

$\Delta \subseteq \text{Th}_A(\mathfrak{M})$; so Δ is satisfiable since $\text{Th}_A(\mathfrak{M})$ is satisfiable. If $\Delta \cap p \neq \emptyset$, we may interpret v_1 as $\max\{i \in \mathbb{N} : "i < v_1" \in \Delta\} + 1$; therefore Δ is satisfiable. Thus $p \cup \text{Th}_A(\mathfrak{M})$ is finitely satisfiable so p is consistent. It is obvious that p is not complete.

Example 1.3. Let $\mathfrak{M} = (\mathbb{Q}, <)$ and $A = \mathbb{N}$. Let $p(v_1) = \{\phi(v_1) : \mathfrak{M} \models \phi(\frac{1}{2})\}$. Then p is a 1-type. By replacing v_1 by $\frac{1}{2}$, it follows that p is satisfiable; so it is consistent. For any \mathcal{L}_A -formula $\phi(v_1)$, either $\mathfrak{M} \models \phi(\frac{1}{2})$ or $\mathfrak{M} \models \neg\phi(\frac{1}{2})$. Thus $\phi(v_1) \in p$ or $\neg\phi(v_1) \in p$; therefore p is complete.

The latter example shows a way to generate a complete and consistent n -types.

Definition 1.4. Let \mathfrak{M} be an \mathcal{L} -structure, $A \subseteq M$ and $\bar{a} = (a_1, \dots, a_n) \in M^n$. Define

$$tp^{\mathfrak{M}}(\bar{a}/A) = \{\phi(v_1, \dots, v_n) : \phi \text{ is an } \mathcal{L}_A\text{-formula and } \mathfrak{M} \models \phi(a_1, \dots, a_n)\}.$$

We denote $tp^{\mathfrak{M}}(\bar{a}/\emptyset)$ by $tp^{\mathfrak{M}}(\bar{a})$.

Then $tp^{\mathfrak{M}}(\bar{a}/A)$ is complete and consistent. In [3], $tp^{\mathfrak{M}}(\bar{a}/A)$ is called the *type of \bar{a} in \mathfrak{M} over A* or the *relative type of \bar{a} in \mathfrak{M} over A* . We provide another important definition.

Definition 1.5. Suppose p is a consistent n -types over A . We say that $\bar{a} \in M^n$ *realizes p* (or *p is realized by \bar{a}*) if $\mathfrak{M} \models \phi(\bar{a})$ for all $\phi \in p$. We say that p is *realized in \mathfrak{M}* if p is realized by some $\bar{a} \in M^n$.

If p is not realized in \mathfrak{M} , we say that \mathfrak{M} *omits p* (or *p is omitted in \mathfrak{M}*).

In Definition 1.5., it follows that a consistent n -type p over A is realized in \mathfrak{M} if $p \subseteq tp^{\mathfrak{M}}(\bar{a}/A)$ for some $\bar{a} \in M^n$. In [3], this property is used to define the realizability of a consistent n -types over A which is equivalent to Definition 1.5.

Definition 1.6. Let \mathfrak{M} and \mathfrak{N} be \mathcal{L} -structures. An \mathcal{L} -homomorphism $h : \mathfrak{M} \rightarrow \mathfrak{N}$ is a function $h : M \rightarrow N$ such that

i) for every $R \in \mathcal{R}$ and $a_1, \dots, a_{\text{arity}(R)} \in M$, we have

$$\text{if } (a_1, \dots, a_{\text{arity}(R)}) \in R^{\mathfrak{M}} \text{ then } (h(a_1), \dots, h(a_{\text{arity}(R)})) \in R^{\mathfrak{N}};$$

ii) $h(c^{\mathfrak{M}}) = c^{\mathfrak{N}}$ for every $c \in \mathcal{C}$; and

iii) for every $f \in \mathcal{F}$ and $a_1, \dots, a_{\text{arity}(f)} \in M$, we have

$$h(f^{\mathfrak{M}}(a_1, \dots, a_{\text{arity}(f)})) = f^{\mathfrak{N}}(h(a_1), \dots, h(a_{\text{arity}(f)})).$$

We say that an \mathcal{L} -homomorphism $h : \mathfrak{M} \rightarrow \mathfrak{N}$ is a strong \mathcal{L} -homomorphism if for every $R \in \mathcal{R}$ and $a_1, \dots, a_{\text{arity}(R)} \in M$, we have

$$(a_1, \dots, a_{\text{arity}(R)}) \in R^{\mathfrak{M}} \text{ if and only if } (h(a_1), \dots, h(a_{\text{arity}(R)})) \in R^{\mathfrak{N}}.$$

An \mathcal{L} -embedding from \mathfrak{M} to \mathfrak{N} is an injective strong \mathcal{L} -homomorphism; and an \mathcal{L} -isomorphism from \mathfrak{M} to \mathfrak{N} is a surjective \mathcal{L} -embedding.

We say that \mathfrak{M} and \mathfrak{N} are \mathcal{L} -isomorphic if there is an \mathcal{L} -isomorphism from \mathfrak{M} to \mathfrak{N} .

We call an \mathcal{L} -isomorphism \mathfrak{M} to \mathfrak{M} itself an \mathcal{L} -automorphism on \mathfrak{M} .

Definition 1.7. Let $h : \mathfrak{M} \rightarrow \mathfrak{N}$. We say that h is an *elementary \mathcal{L} -embedding* from \mathfrak{M} to \mathfrak{N} if h is an \mathcal{L} -embedding and for every \mathcal{L} -formula $\phi(v_1, \dots, v_n)$ and $a_1, \dots, a_n \in M$,

$$\mathfrak{M} \models \phi(a_1, \dots, a_n) \text{ if and only if } \mathfrak{N} \models \phi(h(a_1), \dots, h(a_n)).$$

Theorem 1.8. *Every \mathcal{L} -isomorphism is an elementary \mathcal{L} -embedding.*

Definition 1.9. Let \mathfrak{M} and \mathfrak{N} be \mathcal{L} -structures. We say that \mathfrak{M} is a *substructure* of \mathfrak{N} (or \mathfrak{N} is an *extension* of \mathfrak{M}), denoted by $\mathfrak{M} \subseteq \mathfrak{N}$, if

- i) $M \subseteq N$;
- ii) $R^{\mathfrak{M}} = R^{\mathfrak{N}} \cap M^{\text{arity}(R)}$ for every $R \in \mathcal{R}$;
- iii) $c^{\mathfrak{M}} = c^{\mathfrak{N}}$ for every $c \in \mathcal{C}$; and
- iv) for every $f \in \mathcal{F}$, $f^{\mathfrak{M}}$ is the restriction of $f^{\mathfrak{N}}$ to $M^{\text{arity}(f)}$.

Definition 1.10. Suppose $\mathfrak{M} \subseteq \mathfrak{N}$. We say that \mathfrak{M} is an *elementary substructure* of \mathfrak{N} or \mathfrak{N} is an *elementary extension* of \mathfrak{M} , (denoted by $\mathfrak{M} \preceq \mathfrak{N}$) if for all \mathcal{L} -formula $\phi(v_1, \dots, v_n)$, and $a_1, \dots, a_n \in M$, $\mathfrak{M} \models \phi(a_1, \dots, a_n)$ if and only if $\mathfrak{N} \models \phi(a_1, \dots, a_n)$.

We provide some properties.

Proposition 1.11. *Let \mathfrak{M} be an \mathcal{L} -structure, $A \subseteq M$ and p be a consistent n -type over A . There is an elementary extension \mathfrak{N} of \mathfrak{M} such that p is realized in \mathfrak{N} .*

Corollary 1.12. *$p \in S_n^{\mathfrak{M}}(A)$ if and only if there are an elementary extension \mathfrak{N} of \mathfrak{M} and $\bar{a} \in N^n$ such that $p = tp^{\mathfrak{N}}(\bar{a}/A)$.*

1.2 Stone Space of Complete and Consistent n -Types

In this section, we provide the definition of the stone space of complete and consistent n -types and some facts about the space.

Before we begin, we will provide the definition of bases of a topological space. For more backgrounds in topology, we refer to [2].

Definition 1.13. Let X be a set. A *basis* for a topology on X is a collection \mathcal{B} of subsets of X (called *basis elements* or *basis open sets*) such that

- i) for each $x \in X$, there exists $B \in \mathcal{B}$ such that $x \in B$; and

- ii) if $x \in B_1 \cap B_2$ for some $B_1, B_2 \in \mathcal{B}$, then there is $B_3 \in \mathcal{B}$ such that $B_3 \subseteq B_1 \cap B_2$ and $x \in B_3$.

If \mathcal{B} satisfies these two conditions, then we define the *topology* τ *generated by* \mathcal{B} as follows: A subset U of X is said to be open in X if for each $x \in U$, there is a basis element $B \in \mathcal{B}$ such that $x \in B$ and $B \subseteq U$.

Definition 1.14. A topology τ on the set of complete and consistent n -types $S_n^{\mathfrak{M}}(A)$ can be generated by the basis \mathcal{B} consisting of

$$[\phi] = \{p \in S_n^{\mathfrak{M}}(A) : \phi \in p\}$$

for all \mathcal{L}_A -formulas ϕ with no free occurrences of variables other than v_1, \dots, v_n . We call τ *the Stone topology on* $S_n^{\mathfrak{M}}(A)$ and $(S_n^{\mathfrak{M}}(A), \tau)$ *the Stone space of complete and consistent n -types (over A)*. It follows that $[\top] = S_n^{\mathfrak{M}}(A)$ and $[\perp] = \emptyset$.

We simply write $S_n^{\mathfrak{M}}(A)$ as a topological space equipped with a topology τ generated by \mathcal{B} .

We recall some properties of the Stone space of complete and consistent n -types.

Proposition 1.15. *Let $\phi(v_1, \dots, v_n)$ and $\psi(v_1, \dots, v_n)$ be \mathcal{L}_A -formulas with no free occurrences of variables other than v_1, \dots, v_n . Then*

- i) $[\phi \vee \psi] = [\phi] \cup [\psi]$,
- ii) $[\phi \wedge \psi] = [\phi] \cap [\psi]$,
- iii) $[\neg\phi] = S_n^{\mathfrak{M}}(A) \setminus [\phi]$.

Proposition 1.16. *$S_n^{\mathfrak{M}}(A)$ is compact; that is every collection of basis open set covering $S_n^{\mathfrak{M}}(A)$ has a finite subcollection covering $S_n^{\mathfrak{M}}(A)$.*

Proposition 1.17. *$S_n^{\mathfrak{M}}(A)$ is Hausdorff and totally disconnected (that is, if $p, q \in S_n^{\mathfrak{M}}(A)$ and $p \neq q$, then there is a clopen set X such that $p \in X$ and $q \notin X$).*

Proposition 1.18. *$S_n^{\mathfrak{M}}(A)$ is zero-dimensional, i.e. the topology has a clopen base.*

Proposition 1.19. *If \mathcal{L} and A are countable, then $S_n^{\mathfrak{M}}(A)$ is metrizable (that is, there exists a metric d on $S_n^{\mathfrak{M}}(A)$ that induces the Stone topology).*

Proposition 1.20. *Let \mathfrak{M} and \mathfrak{N} be \mathcal{L} -structures and A, B be subsets of M .*

- i) *Suppose $A \subseteq B$. For each $p \in S_n^{\mathfrak{M}}(B)$, let $p|A$ be the set of \mathcal{L}_A -formulas in p . Then $p|A \in S_n^{\mathfrak{M}}(A)$ and the map $p \mapsto p|A$ is a continuous map from $S_n^{\mathfrak{M}}(B)$ onto $S_n^{\mathfrak{M}}(A)$.*
- ii) *Suppose $f : \mathfrak{M} \rightarrow \mathfrak{N}$ is an elementary embedding and $p \in S_n^{\mathfrak{M}}(A)$. Let*

$$f(p) = \{\phi(v_1, \dots, v_n, f(a_1), \dots, f(a_k)) : \phi(v_1, \dots, v_n, a_1, \dots, a_k) \in p\}.$$

Then $f(p) \in S_n^{\mathfrak{N}}(f(A))$ and the map $p \mapsto f(p)$ is continuous.

Next, we provide the definition of isolated points in $S_n^{\mathfrak{M}}(A)$ along with its properties.

Definition 1.21. We say that $p \in S_n^{\mathfrak{M}}(A)$ is *isolated* if $\{p\}$ is an open subset of $S_n^{\mathfrak{M}}(A)$.

Proposition 1.22. Let $p \in S_n^{\mathfrak{M}}(A)$ and ϕ be an \mathcal{L}_A -formula with no free occurrences of variables other than v_1, \dots, v_n . Then the following are equivalent.

- i) $\{p\} = [\phi]$.
- ii) For any \mathcal{L}_A -formula $\psi(v_1, \dots, v_n)$, $\psi \in p$ if and only if $\mathfrak{M} \models \forall v_1 \dots \forall v_n (\phi \rightarrow \psi)$.

If one of the above holds, we say that ϕ *isolates* p .

Proposition 1.23. Let $p \in S_n^{\mathfrak{M}}(A)$. Then the following are equivalent.

- i) p is isolated.
- ii) $\{p\} = [\phi]$ for some \mathcal{L}_A -formula ϕ which has no free occurrences of variables other than v_1, \dots, v_n .
- iii) There exists an \mathcal{L}_A -formula $\phi(v_1, \dots, v_n)$ with no free occurrences of variables other than v_1, \dots, v_n such that for any \mathcal{L}_A -formula $\psi(v_1, \dots, v_n)$, $\psi \in p$ if and only if $\mathfrak{M} \models \forall v_1 \dots \forall v_n (\phi \rightarrow \psi)$.

Chapter 2

Topological Properties of The Stone Space of Complete Consistent 1-types over $(\mathbb{Q}, <, \mathbf{S}, \mathbf{P})$

Let $\mathcal{L} = \{<\}$ and $\mathcal{L}' = \{<, \mathbf{S}, \mathbf{P}\}$ where \mathbf{S} and \mathbf{P} are unary function symbols. Let $\mathfrak{M} = (\mathbb{Q}, <, \mathbf{S}, \mathbf{P})$ be an \mathcal{L}' -structure which is an expansion of an \mathcal{L} -structure $(\mathbb{Q}, <)$; the interpretation $\mathbf{S}^{\mathfrak{M}}$ and $\mathbf{P}^{\mathfrak{M}}$ are functions from \mathbb{Q} to \mathbb{Z} defined by

$$\begin{aligned}\mathbf{S}^{\mathfrak{M}}(x) &= \mathbf{S}(x) = \min \{n \in \mathbb{Z} : x < n\} \text{ and} \\ \mathbf{P}^{\mathfrak{M}}(x) &= \mathbf{P}(x) = \max \{n \in \mathbb{Z} : n < x\}\end{aligned}$$

for each $x \in \mathbb{Q}$.

It follows immediately that $x < \mathbf{S}(x)$ and $\mathbf{P}(x) < x$. If $x \in \mathbb{Z}$, we have $\mathbf{S}(x) = x + 1$ and $\mathbf{P}(x) = x - 1$. For each $x \in \mathbb{Q}$, we denote $\mathbf{S}(\mathbf{P}(x))$ and $\mathbf{P}(\mathbf{S}(x))$ by $\mathbf{S} \circ \mathbf{P}(x)$ and $\mathbf{P} \circ \mathbf{S}(x)$, respectively.

Next, we will show some properties about \mathbf{P} and \mathbf{S} .

Lemma 2.1. *For any $n \in \mathbb{Z}$ and $x \in \mathbb{Q}$, $\mathbf{S}(n + x) = n + \mathbf{S}(x)$ and $\mathbf{P}(n + x) = n + \mathbf{P}(x)$.*

Proof. Let $n \in \mathbb{Z}$ and $x \in \mathbb{Q}$. Since $x < \mathbf{S}(x)$ and $\mathbf{P}(x) < x$, it follows that $n + x < n + \mathbf{S}(x)$ and $n + \mathbf{P}(x) < n + x$. Thus $\mathbf{S}(n + x) \leq n + \mathbf{S}(x)$ and $n + \mathbf{P}(x) \leq \mathbf{P}(n + x)$. Then we have

$$\begin{aligned}n + \mathbf{S}(x) &= n + \mathbf{S}(-n + (n + x)) \\ &\leq n - n + \mathbf{S}(n + x) \\ &= \mathbf{S}(n + x)\end{aligned}$$

and

$$\begin{aligned}\mathbf{P}(n + x) &= n - n + \mathbf{P}(n + x) \\ &\leq n + \mathbf{P}(-n + n + x) \\ &= n + \mathbf{P}(x).\end{aligned}$$

Hence $\mathbf{S}(n + x) = n + \mathbf{S}(x)$ and $\mathbf{P}(n + x) = n + \mathbf{P}(x)$. □

Lemma 2.2. \mathbf{S} and \mathbf{P} are increasing functions.

Proof. Let $x, y \in \mathbb{Q}$ be such that $x < y$. Then $x < y < \mathbf{S}(y)$ and $\mathbf{P}(x) < x < y$. Thus $\mathbf{S}(x) \leq \mathbf{S}(y)$ and $\mathbf{P}(x) \leq \mathbf{P}(y)$ by the minimality of $\mathbf{S}(x)$ and the maximality of $\mathbf{P}(x)$. Hence the lemma holds. \square

Lemma 2.3. For all $x \in \mathbb{Q}$, the following are equivalent:

- i) $x \in \mathbb{Z}$,
- ii) $\mathbf{P} \circ \mathbf{S}(x) = x$,
- iii) $\mathbf{S} \circ \mathbf{P}(x) = x$.

Proof. Let $x \in \mathbb{Q}$.

(i) \Rightarrow (ii) Assume $x \in \mathbb{Z}$. It follows that $\mathbf{P} \circ \mathbf{S}(x) = \mathbf{P}(x+1) = x$.

(ii) \Rightarrow (iii) Suppose $\mathbf{P} \circ \mathbf{S}(x) = x$. Then $\mathbf{S} \circ \mathbf{P}(x) = \mathbf{P}(x) + 1 = \mathbf{P}(x+1) = \mathbf{P} \circ \mathbf{S}(x)$.

(iii) \Rightarrow (i) Suppose $\mathbf{S} \circ \mathbf{P}(x) = x$. It follows immediately that $x \in \mathbb{Z}$.

Hence the lemma holds. \square

Lemma 2.4. For all $x \in \mathbb{Q}$, the following are equivalent:

- i) $x \notin \mathbb{Z}$,
- ii) $\mathbf{P} \circ \mathbf{S}(x) < x$,
- iii) $x < \mathbf{S} \circ \mathbf{P}(x)$.

Proof. Let $x \in \mathbb{Q}$.

(i) \Rightarrow (ii) Assume $x \notin \mathbb{Z}$. Then $\mathbf{P} \circ \mathbf{S}(x) \neq x$. Since $\mathbf{P} \circ \mathbf{S}(x) < \mathbf{S}(x)$, $\mathbf{S}(x) \not\leq \mathbf{P} \circ \mathbf{S}(x)$ so $x \not\leq \mathbf{P} \circ \mathbf{S}(x)$. Thus $\mathbf{P} \circ \mathbf{S}(x) < x$.

(ii) \Rightarrow (iii) Suppose $\mathbf{P} \circ \mathbf{S}(x) < x$. Then $\mathbf{P} \circ \mathbf{S}(x) \leq \mathbf{P}(x)$ by the maximality of $\mathbf{P}(x)$. Thus $\mathbf{S} \circ \mathbf{P} \circ \mathbf{S}(x) \leq \mathbf{S} \circ \mathbf{P}(x)$. Since $\mathbf{S}(x)$ is an integer, we have $x < \mathbf{S}(x) = \mathbf{S} \circ \mathbf{P}(\mathbf{S}(x)) = \mathbf{S} \circ \mathbf{P} \circ \mathbf{S}(x)$. Then $x < \mathbf{S} \circ \mathbf{P}(x)$.

(iii) \Rightarrow (i) Suppose $x < \mathbf{S} \circ \mathbf{P}(x)$. By Lemma 2.3, $x \notin \mathbb{Z}$.

Hence the lemma holds. \square

Lemma 2.5. Let $a \in \mathbb{Q}$. If $a \in \mathbb{Z}$, then $\mathbf{P}(x) = \mathbf{P}(a)$ and $\mathbf{S}(x) = a$ for all $x \in (\mathbf{P}(a), a)$. If $a \notin \mathbb{Z}$, then $\mathbf{P}(x) = \mathbf{P}(a)$ and $\mathbf{S}(x) = \mathbf{S}(a)$ for all $x \in (\mathbf{P}(a), \mathbf{S}(a))$.

Proof. First, assume $a \in \mathbb{Z}$. Let $x \in (\mathbf{P}(a), a)$. Then $a - 1 = \mathbf{P}(a) < x < a$, so $\mathbf{P}(x) = a - 1 = \mathbf{P}(a)$ and $\mathbf{S}(x) = a$.

Lastly, suppose $a \notin \mathbb{Z}$. Let $x \in (\mathbf{P}(a), \mathbf{S}(a))$.

If $x = a$, we are done.

Assume $x < a$. Then $\mathbf{P}(x) \leq \mathbf{P}(a)$. Since $\mathbf{P}(a) < x$, $\mathbf{P}(a) \leq \mathbf{P}(x)$ by the maximality of $\mathbf{P}(x)$. Thus $\mathbf{P}(x) = \mathbf{P}(a)$. Since $\mathbf{P}(a) < x < a$, $\mathbf{S} \circ \mathbf{P}(a) \leq \mathbf{S}(x) \leq \mathbf{S}(a)$. Since $a \notin \mathbb{Z}$, $a < \mathbf{S} \circ \mathbf{P}(a)$. So $\mathbf{S}(a) \leq \mathbf{S} \circ \mathbf{P}(a)$ by the minimality of $\mathbf{S}(a)$. Then $\mathbf{S}(x) = \mathbf{S}(a)$.

Assume $a < x$. Then $\mathbf{S}(a) \leq \mathbf{S}(x)$. Since $x < \mathbf{S}(a)$, $\mathbf{S}(x) \leq \mathbf{S}(a)$ by the minimality of $\mathbf{S}(x)$. Thus $\mathbf{S}(x) = \mathbf{S}(a)$. Since $a < x < \mathbf{S}(a)$, $\mathbf{P}(a) \leq \mathbf{P}(x) \leq \mathbf{P} \circ \mathbf{S}(a)$. Since a is not an integer, $\mathbf{P} \circ \mathbf{S}(a) < a$. So $\mathbf{P} \circ \mathbf{S}(a) \leq \mathbf{P}(a)$ by the maximality of $\mathbf{P}(a)$. Then $\mathbf{P}(x) = \mathbf{P}(a)$. \square

Next, we will state the definition of discrete topologies and discrete sets.

Definition 2.6. Let X be a nonempty set. Then $\mathcal{P}(X)$ is the *discrete topology* on X . We say that a subset Y of the topological space X is a *discrete set* if the subspace topology of Y is the discrete topology; that is for all $y \in Y$, there is an open set G of X such that $G \cap Y = \{y\}$.

From the previous definition, it follows that the basis consisting of all singletons generates the discrete topology on a nonempty set.

We provide the result.

Theorem 2.7. $S_1^{\mathfrak{M}}(\emptyset)$ is discrete.

Proof. By Lemmas 2.3 and 2.4,

$$S_1^{\mathfrak{M}}(\emptyset) = [\mathbf{P} \circ \mathbf{S}(v_1) < v_1] \cup [\mathbf{P} \circ \mathbf{S}(v_1) = v_1]$$

and $[\mathbf{P} \circ \mathbf{S}(v_1) < v_1] \cap [\mathbf{P} \circ \mathbf{S}(v_1) = v_1] = \emptyset$. It is enough to show that both $[\mathbf{P} \circ \mathbf{S}(v_1) < v_1]$ and $[\mathbf{P} \circ \mathbf{S}(v_1) = v_1]$ are singletons.

By proposition 1.21, it suffices to show that “ $\mathbf{P} \circ \mathbf{S}(v_1) = v_1$ ” isolates any $p \in [\mathbf{P} \circ \mathbf{S}(v_1) = v_1]$ and “ $\mathbf{P} \circ \mathbf{S}(v_1) < v_1$ ” isolates any $p \in [\mathbf{P} \circ \mathbf{S}(v_1) < v_1]$.

Let $p \in [\mathbf{P} \circ \mathbf{S}(v_1) = v_1]$.

Let $\psi(v_1) \in p(v_1)$. Since $\{\mathbf{P} \circ \mathbf{S}(v_1) = v_1, \psi(v_1)\} \subseteq p(v_1)$ and $p(v_1)$ is consistent, there exists $r \in \mathbb{Q}$ such that $\mathfrak{M} \models (\mathbf{P} \circ \mathbf{S}(r) = r) \wedge \psi(r)$. Then $r \in \mathbb{Z}$. Next, it suffices to show that $\mathfrak{M} \models \forall v_1 ((\mathbf{P} \circ \mathbf{S}(v_1) = v_1) \rightarrow \psi(v_1))$.

Suppose not. Then $\mathfrak{M} \models \exists v_1 ((\mathbf{P} \circ \mathbf{S}(v_1) = v_1) \wedge (\neg\psi(v_1)))$. Thus there exists $c \in \mathbb{Q}$ such that $\mathfrak{M} \models (\mathbf{P} \circ \mathbf{S}(c) = c) \wedge \neg\psi(c)$. Then $c \in \mathbb{Z}$.

Define $f : \mathbb{Q} \rightarrow \mathbb{Q}$ by $f(x) = x - c + r$. We will show that f is an \mathcal{L} -isomorphism. (This will imply that $\mathfrak{M} \models \psi(c)$ if and only if $\mathfrak{M} \models \psi(f(c))$.)

It is obvious that f is a strictly increasing bijection and we have

$$f \circ \mathbf{S}(x) = \mathbf{S}(x) + r - c = \mathbf{S}(x + r - c) = \mathbf{S} \circ f(x)$$

and

$$f \circ \mathbf{P}(x) = \mathbf{P}(x) + r - c = \mathbf{P}(x + r - c) = \mathbf{P} \circ f(x).$$

Therefore f is an \mathcal{L} -isomorphism.

Since $\mathfrak{M} \models \neg\psi(c)$, $\mathfrak{M} \models \neg\psi(f(c))$. Since $f(c) = r$, $\mathfrak{M} \models \neg\psi(r)$ which contradicts $\mathfrak{M} \models \psi(r)$. Hence $\mathfrak{M} \models \forall v_1 ((\mathbf{P} \circ \mathbf{S}(v_1) = v_1) \rightarrow \psi(v_1))$.

Let $p \in [\mathbf{P} \circ \mathbf{S}(v_1) < v_1]$.

Let $\psi(v_1) \in p(v_1)$. Since $\{\mathbf{P} \circ \mathbf{S}(v_1) < v_1, \psi(v_1)\} \subseteq p(v_1)$ and $p(v_1)$ is consistent, there exists $r \in \mathbb{Q}$ such that $\mathfrak{M} \models (\mathbf{P} \circ \mathbf{S}(r) < r) \wedge \psi(r)$. Then $r \notin \mathbb{Z}$.

Similarly, it suffices to show that $\mathfrak{M} \models \forall v_1 ((\mathbf{P} \circ \mathbf{S}(v_1) < v_1) \rightarrow \psi(v_1))$.

Suppose not. Then $\mathfrak{M} \models \exists v_1 ((\mathbf{P} \circ \mathbf{S}(v_1) < v_1) \wedge (\neg\psi(v_1)))$. Thus there exists $c \in \mathbb{Q}$ such that $\mathfrak{M} \models (\mathbf{P} \circ \mathbf{S}(c) < c) \wedge \neg\psi(c)$. Then $c \notin \mathbb{Z}$.

Define $g : \mathbb{Q} \rightarrow \mathbb{Q}$ by

$$g(x) = \begin{cases} (\mathbf{P}(r) - \mathbf{P}(c)) + x, & \text{if } x \leq \mathbf{P}(c); \\ \frac{r - \mathbf{P}(r)}{c - \mathbf{P}(c)}(x - \mathbf{P}(c)) + \mathbf{P}(r), & \text{if } \mathbf{P}(c) < x \leq c; \\ \frac{\mathbf{S}(r) - r}{\mathbf{S}(c) - c}(x - c) + r, & \text{if } c < x < \mathbf{S}(c) \text{ and} \\ (\mathbf{S}(r) - \mathbf{S}(c)) + x, & \text{if } \mathbf{S}(c) \leq x. \end{cases}$$

We will show that g is an \mathcal{L} -isomorphism. (This will imply that $\mathfrak{M} \models \psi(c)$ if and only if $\mathfrak{M} \models \psi(g(c))$.)

First, we will show that g is surjective.

Let $y \in \mathbb{Q}$.

Suppose $y \leq P(r)$. Choose $x = y - (P(r) - P(c))$. Since

$$\begin{aligned} x &= y - (P(r) - P(c)) \\ &\leq P(r) - (P(r) - P(c)) \\ &= P(c), \end{aligned}$$

it follows that $g(x) = (P(r) - P(c)) + y - (P(r) - P(c)) = y$.

Suppose $P(r) < y \leq r$. Choose $x = \frac{c-P(c)}{r-P(r)}(y - P(r)) + P(c)$. Since $0 < \frac{c-P(c)}{r-P(r)}$, we have

$$\begin{aligned} P(c) &= \frac{c - P(c)}{r - P(r)} (P(r) - P(r)) + P(c) \\ &< \frac{c - P(c)}{r - P(r)} (y - P(r)) + P(c) \\ &= x \end{aligned}$$

and

$$\begin{aligned} x &= \frac{c - P(c)}{r - P(r)} (y - P(r)) + P(c) \\ &\leq \frac{c - P(c)}{r - P(r)} (r - P(r)) + P(c) \\ &= c. \end{aligned}$$

So $P(c) < x \leq c$.

Then $g(x) = \frac{r-P(r)}{c-P(c)} \left(\frac{c-P(c)}{r-P(r)} (y - P(r)) + P(c) - P(c) \right) + P(r) = y$.

Suppose $r < y < S(r)$. Choose $x = \frac{S(c)-c}{S(r)-r}(y - r) + c$. Since $0 < \frac{S(c)-c}{S(r)-r}$, we have

$$\begin{aligned} c &= \frac{S(c) - c}{S(r) - r} (r - r) + c \\ &< \frac{S(c) - c}{S(r) - r} (y - r) + c \\ &= x \end{aligned}$$

and

$$\begin{aligned} x &= \frac{S(c) - c}{S(r) - r} (y - r) + c \\ &< \frac{S(c) - c}{S(r) - r} (S(r) - r) + c \\ &= S(c). \end{aligned}$$

So $c < x < S(c)$.

Then $g(x) = \frac{\mathbf{S}(r)-r}{\mathbf{S}(c)-c} \left(\frac{\mathbf{S}(c)-c}{\mathbf{S}(r)-r} (y-r) + c - c \right) + r = y$.
 Assume $\mathbf{S}(r) \leq y$. Choose $x = y - (\mathbf{S}(r) - \mathbf{S}(c))$.
 Since

$$\begin{aligned} s(c) &= \mathbf{S}(r) - (\mathbf{S}(r) - \mathbf{S}(c)) \\ &\leq y - (\mathbf{S}(r) - (c)) \\ &= x, \end{aligned}$$

it follows that $g(x) = (\mathbf{S}(r) - \mathbf{S}(c)) + y - (\mathbf{S}(r) - \mathbf{S}(c)) = y$.

Thus g is surjective.

Next, we will show that g is strictly increasing which implies that g is injective.

Let

$$\begin{aligned} D_1 &= (-\infty, \mathbf{P}(c)], & D_2 &= (\mathbf{P}(c), c], \\ D_3 &= (c, \mathbf{S}(c)) \text{ and } & D_4 &= [\mathbf{S}(c), \infty). \end{aligned}$$

Then

$$\begin{aligned} g[D_1] &= (-\infty, \mathbf{P}(r)], & g[D_2] &= (\mathbf{P}(r), r], \\ g[D_3] &= (r, \mathbf{S}(r)) \text{ and } & g[D_4] &= [\mathbf{S}(r), \infty). \end{aligned}$$

By linearity of $<$, it is enough to show that if $x < y$, then $g(x) < g(y)$.

Let $x, y \in \mathbb{Q}$. Assume $x < y$. If $x \in D_i$ and $y \in D_j$ where $i, j \in \{1, 2, 3, 4\}$ and $i < j$, then $g(x) < g(y)$. Assume $x, y \in D_i$ where $i \in \{1, 2, 3, 4\}$.

If $i = 1$, we have

$$\begin{aligned} g(x) &= (\mathbf{P}(r) - \mathbf{P}(c)) + x \\ &< (\mathbf{P}(r) - \mathbf{P}(c)) + y \\ &= g(y). \end{aligned}$$

If $i = 2$, we have

$$\begin{aligned} g(x) &= \frac{r - \mathbf{P}(r)}{c - \mathbf{P}(c)} (x - \mathbf{P}(c)) + \mathbf{P}(r) \\ &< \frac{r - \mathbf{P}(r)}{c - \mathbf{P}(c)} (y - \mathbf{P}(c)) + \mathbf{P}(r) \\ &= g(y). \end{aligned}$$

If $i = 3$, we have

$$\begin{aligned} g(x) &= \frac{\mathbf{S}(r) - r}{\mathbf{S}(c) - c} (x - c) + r \\ &< \frac{\mathbf{S}(r) - r}{\mathbf{S}(c) - c} (y - c) + r \\ &= g(y). \end{aligned}$$

If $i = 4$, we have

$$\begin{aligned} g(x) &= (\mathbf{S}(r) - \mathbf{S}(c)) + x \\ &< (\mathbf{S}(r) - \mathbf{S}(c)) + y \\ &= g(y). \end{aligned}$$

Thus $g(x) < g(y)$.

Then g is strictly increasing. Hence g is strictly increasing bijection.

Lastly, we will show that $g \circ \mathbf{S}(x) = \mathbf{S} \circ g(x)$ and $g \circ \mathbf{P}(x) = \mathbf{P} \circ g(x)$ for all $x \in \mathbb{Q}$.

Let $x \in \mathbb{Q}$. We now have 3 cases to consider.

Case 1: $x \in (-\infty, \mathbf{P}(c)]$. We have

$$\begin{aligned} \mathbf{S} \circ g(x) &= \mathbf{S}((\mathbf{P}(r) - \mathbf{P}(c)) + x) \\ &= (\mathbf{P}(r) - \mathbf{P}(c)) + \mathbf{S}(x) \\ &= g \circ \mathbf{S}(x) \end{aligned}$$

and

$$\begin{aligned} \mathbf{P} \circ g(x) &= \mathbf{P}((\mathbf{P}(r) - \mathbf{P}(c)) + x) \\ &= (\mathbf{P}(r) - \mathbf{P}(c)) + \mathbf{P}(x) \\ &= g \circ \mathbf{P}(x). \end{aligned}$$

Case 2: $x \in [\mathbf{S}(c), \infty)$. We have

$$\begin{aligned} \mathbf{S} \circ g(x) &= \mathbf{S}((\mathbf{S}(r) - \mathbf{S}(c)) + x) \\ &= (\mathbf{S}(r) - \mathbf{S}(c)) + \mathbf{S}(x) \\ &= g \circ \mathbf{S}(x) \end{aligned}$$

and

$$\begin{aligned} \mathbf{P} \circ g(x) &= \mathbf{P}((\mathbf{P}(r) - \mathbf{P}(c)) + x) \\ &= (\mathbf{P}(r) - \mathbf{P}(c)) + \mathbf{P}(x) \\ &= g \circ \mathbf{P}(x). \end{aligned}$$

Case 3: $x \in (\mathbf{P}(c), \mathbf{S}(c))$. Since $c \notin \mathbb{Z}$, $\mathbf{P}(x) = \mathbf{P}(c)$ and $\mathbf{S}(x) = \mathbf{S}(c)$. Then we have

$$\begin{aligned} g \circ \mathbf{P}(x) &= (\mathbf{P}(r) - \mathbf{P}(c)) + \mathbf{P}(x) \\ &= (\mathbf{P}(r) - \mathbf{P}(c)) + \mathbf{P}(c) \\ &= \mathbf{P}(r) \end{aligned}$$

and

$$\begin{aligned} g \circ \mathbf{S}(x) &= (\mathbf{S}(r) - \mathbf{S}(c)) + \mathbf{S}(x) \\ &= (\mathbf{S}(r) - \mathbf{S}(c)) + \mathbf{S}(c) \\ &= \mathbf{S}(r). \end{aligned}$$

Since $g[(\mathbf{P}(c), \mathbf{S}(c))] = (\mathbf{P}(r), \mathbf{S}(r))$ and $r \notin \mathbb{Z}$, $\mathbf{P} \circ g(x) = \mathbf{P}(r)$ and $\mathbf{S} \circ g(x) = \mathbf{S}(r)$. Then $g \circ \mathbf{P}(x) = \mathbf{P} \circ g(x)$ and $g \circ \mathbf{S}(x) = \mathbf{S} \circ g(x)$.

Thus $g \circ \mathbf{P}(x) = \mathbf{P} \circ g(x)$ and $g \circ \mathbf{S}(x) = \mathbf{S} \circ g(x)$.

Therefore g is an \mathcal{L} -isomorphism.

Since $\mathfrak{M} \models \neg\psi(c)$, $\mathfrak{M} \models \neg\psi(g(c))$. Since $g(c) = r$, $\mathfrak{M} \models \neg\psi(r)$ which contradicts $\mathfrak{M} \models \psi(r)$. Hence $\mathfrak{M} \models \forall v_1 ((\mathbf{P} \circ \mathbf{S}(v_1) < v_1) \rightarrow \psi(v_1))$. \square

Chapter 3

First Countability and Second Countability of the Stone Spaces of Complete and Consistent 1-type over $(\mathbb{Q}, <)$ and $(\mathbb{R}, <)$

In this section, we consider the countability axioms of $S_1^{\mathfrak{M}}(\mathbb{Q})$ and $S_1^{\mathfrak{N}}(\mathbb{R})$ where $\mathfrak{M} = (\mathbb{Q}, <)$ and $\mathfrak{N} = (\mathbb{R}, <)$ which are \mathcal{L} -structures with $\mathcal{L} = \{<\}$.

First, we introduce the definition of quantifier elimination and a properties which can be seen in [1] and [3].

Definition 3.1. Let T be an \mathcal{L} -theory. We say that T has *quantifier elimination* if for every formula $\phi(v_1, \dots, v_n)$ there is a quantifier-free \mathcal{L} -formula $\psi(v_1, \dots, v_n)$ such that

$$T \models \forall v_1 \dots \forall v_n (\phi(v_1, \dots, v_n) \leftrightarrow \psi(v_1, \dots, v_n)).$$

Proposition 3.2. Suppose that \mathcal{L} contains a constant symbol c , T is an \mathcal{L} -theory, and $\phi(v_1, \dots, v_n)$ is an \mathcal{L} -formula. The following are equivalent:

i) there is a quantifier-free \mathcal{L} -formula $\psi(v_1, \dots, v_n)$ such that

$$T \models \forall v_1 \dots \forall v_n (\phi(v_1, \dots, v_n) \leftrightarrow \psi(v_1, \dots, v_n)),$$

ii) if \mathfrak{M} and \mathfrak{N} are models of T , \mathfrak{A} is an \mathcal{L} -structure, $\mathfrak{A} \subseteq \mathfrak{M}$ and $\mathfrak{A} \subseteq \mathfrak{N}$, then $\mathfrak{M} \models \phi(a_1, \dots, a_n)$ if and only if $\mathfrak{N} \models \phi(a_1, \dots, a_n)$ for all $a_1, \dots, a_n \in A$.

Proposition 3.3. Let DLO_∞ be the \mathcal{L} -theory of dense linear orders without endpoints. Then DLO_∞ has quantifier elimination.

From Proposition 3.3, since $(\mathbb{Q}, <)$ and $(\mathbb{R}, <)$ are models of DLO_∞ , we have that both $(\mathbb{Q}, <)$ and $(\mathbb{R}, <)$ admit quantifier elimination.

Let $\mathfrak{M} \models \text{DLO}_\infty$ and $A \subseteq M$. Define L_p and U_p by

$$\begin{aligned} L_p &= \{a \in A : "a < v_1" \in p\} \text{ and} \\ U_p &= \{b \in A : "v_1 < b" \in p\} \end{aligned}$$

where $p \in S_1^{\mathfrak{M}}(A)$.

Proposition 3.4. *Let $\mathfrak{M} \models \text{DLO}_\infty$ and $A \subseteq M$. Then for any $p \in S_1^{\mathfrak{M}}(A)$, if p is not realized by elements in A , then $L_p \cup U_p = A$ and $a < b$ for all $a \in L_p$ and $b \in U_p$.*

Proof. Trivial. □

Next, we provide the definitions of the first countability axiom and the second countability axiom. We refer to [2] for more details.

Definition 3.5. A topological space X is said to have a *countable basis at x* if there is a countable collection \mathcal{B}_x of open neighborhoods of x such that each open neighborhood of x contains at least one of the elements of \mathcal{B}_x . A topological space that has a countable basis at each of its points is said to satisfy the *first countability axiom*, or to be *first-countable*.

Definition 3.6. If a topological space X has a countable basis for its topology, then X is said to satisfy the *second countability axiom*, or to be *second-countable*.

We provide the result.

Theorem 3.7. *$S_1^{\mathfrak{M}}(\mathbb{Q})$ is both first-countable and second-countable but $S_1^{\mathfrak{N}}(\mathbb{R})$ is first-countable but not second-countable where $\mathfrak{M} = (\mathbb{Q}, <)$ and $\mathfrak{N} = (\mathbb{R}, <)$.*

Proof. Since the set of words on $\mathbf{Var} \cup \mathbb{Q} \cup \{<\} \cup \{\neg, \wedge, \vee, \forall, \exists, \top, \perp\}$ is countable, the set of all $\mathcal{L}_{\mathbb{Q}}$ -formulas on \mathfrak{M} is also countable. Hence $S_1^{\mathfrak{M}}(\mathbb{Q})$ is second-countable. Thus it is also first-countable.

For $S_1^{\mathfrak{N}}(\mathbb{R})$, since $tp^{\mathfrak{N}}(a/\mathbb{R})$ is isolated by “ $v_1 = a$ ” for all $a \in \mathbb{R}$, the basis generating $S_1^{\mathfrak{N}}(\mathbb{R})$ must contain $\{[v = a] : a \in \mathbb{R}\}$ which is an uncountable set. Thus $S_1^{\mathfrak{N}}(\mathbb{R})$ is not second-countable.

We will show that $S_1^{\mathfrak{N}}(\mathbb{R})$ is first-countable.

Let $p \in S_1^{\mathfrak{N}}(\mathbb{R})$.

In the case that p is realized by $a \in \mathbb{R}$, p is isolated by “ $v_1 = a$ ”; so $\{p\} = [v_1 = a]$. Therefore every open neighborhood G of $p \in S_1^{\mathfrak{N}}(\mathbb{R})$, $[v_1 = a] \subseteq G$. Choose $\mathcal{B}_p = \{[v_1 = a]\}$. Then we are done.

Suppose p is not realized by any $a \in \mathbb{R}$. By Proposition 3.4, we have 4 cases to consider.

Case 1: Assume $L_p = \mathbb{R}$ and $U_p = \emptyset$.

Choose $\mathcal{B}_p = \{[d < v_1] : d \in \mathbb{Z}\}$. Observe that \mathcal{B}_p is countable.

Let G be an open neighborhood of p . Then there is an $\mathcal{L}_{\mathbb{R}}$ -formula $\phi(v_1)$ such that $p \in [\phi(v_1)] \subseteq G$.

Observe that $(\mathbb{R}, <) \models \neg(x < y) \leftrightarrow (x = y \vee x < y)$ and $(\mathbb{R}, <) \models \neg(x = y) \leftrightarrow (x < y \vee y < x)$. Since $(\mathbb{R}, <)$ admits quantifier elimination, we may assume that

$$\phi(v_1) = \bigvee_{i=1}^k \bigwedge_{j=1}^{l_i} \theta_{ij}(v_1)$$

where $\theta_{ij}(v_1)$ is of the form $v_1 = a$, $v_1 < a$ and $a < v_1$ for some $a \in \mathbb{R}$. We can see that $[\bigvee_{i=1}^k \bigwedge_{j=1}^{l_i} \theta_{ij}(v_1)] = \bigcup_{i=1}^k [\bigwedge_{j=1}^{l_i} \theta_{ij}(v_1)]$. Therefore, we may assume further that $\phi(v_1) = \bigwedge_{j=1}^l \theta_j(v_1)$. Since $p \in [\phi(v_1)]$, we have that $\theta_j(v_1) \in p$ for

all $j \in \{1, \dots, l\}$. Since p is not realized in $(\mathbb{R}, <)$ and $U_p = \emptyset$, we have that for each $j \in \{1, \dots, l\}$, $\theta_j(v_1) = "a_j < v_1"$ for some $a_j \in \mathbb{R}$.

Let $d \in \mathbb{Z}$ be such that $\max\{a_1, \dots, a_l\} \leq d$. Then $(\mathbb{R}, <) \models d < v_1 \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Therefore $p \in [d < v_1] \subseteq [\phi(v_1)] \subseteq G$.

Case 2: Assume $L_p = \emptyset$ and $U_p = \mathbb{R}$.

Choose $\mathcal{B}_p = \{[v_1 < d] : d \in \mathbb{Z}\}$. Observe that \mathcal{B}_p is countable.

Let G be an open neighborhood of p . Then there is an $\mathcal{L}_{\mathbb{R}}$ -formula $\phi(v_1)$ such that $p \in [\phi(v_1)] \subseteq G$.

Similar to Case 1, we may assume that $\phi(v_1) = \bigwedge_{j=1}^l \theta_j(v_1)$ where $\theta_j(v_1)$ is of the form $v_1 = a$, $v_1 < a$ and $a < v_1$ for some $a \in \mathbb{R}$. Since $p \in [\phi(v_1)]$, we have that $\theta_j(v_1) \in p$ for all $j \in \{1, \dots, l\}$. Since p is not realized in $(\mathbb{R}, <)$ and $U_p = \emptyset$, we have that for each $j \in \{1, \dots, l\}$, $\theta_j(v_1) = "v_1 < b_j"$ for some $b_j \in \mathbb{R}$.

Let $d \in \mathbb{Z}$ be such that $d \leq \min\{b_1, \dots, b_l\}$. Then $(\mathbb{R}, <) \models v_1 < d \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Therefore $p \in [v_1 < d] \subseteq [\phi(v_1)] \subseteq G$.

Case 3: Assume $L_p = (-\infty, c)$ and $U_p = [c, \infty)$ for some $c \in \mathbb{R}$.

Choose $\mathcal{B}_p = \{[d < v_1 \wedge v_1 < c] : d \in L_p \cap \mathbb{Q}\}$. Observe that \mathcal{B}_p is countable.

Let G be an open neighborhood of p . Then there is an $\mathcal{L}_{\mathbb{R}}$ -formula $\phi(v_1)$ such that $p \in [\phi(v_1)] \subseteq G$.

Similar to Case 1, we may assume that $\phi(v_1) = \bigwedge_{j=1}^l \theta_j(v_1)$ where $\theta_j(v_1)$ is of the form $v_1 = a$, $v_1 < a$ and $a < v_1$ for some $a \in \mathbb{R}$. Since $p \in [\phi(v_1)]$, we have that $\theta_j(v_1) \in p$ for all $j \in \{1, \dots, l\}$. Since p is not realized in $(\mathbb{R}, <)$, we have that for each $j \in \{1, \dots, l\}$, $\theta_j(v_1) = "a_j < v_1"$ for some $a_j \in L_p$ or $\theta_j(v_1) = "v_1 < a_j"$ for some $a_j \in U_p$. Then $\{a_1, \dots, a_l\} = \{a_i\}_{i \in I} \cup \{a_k\}_{k \in K}$ where $\theta_i(v_1) = "a_i < v_1"$ and $\theta_k(v_1) = "v_1 < a_k"$ for each $i \in I$ and $k \in K$.

If $I = \emptyset$, then we have $(\mathbb{R}, <) \models v_1 < c \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Since $(\mathbb{R}, <) \models (d < v_1 \wedge v_1 < c) \rightarrow v_1 < c$ for any $d \in L_p \cap \mathbb{Q}$, we have $(\mathbb{R}, <) \models (d < v_1 \wedge v_1 < c) \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Therefore $p \in [d < v_1 \wedge v_1 < c] \subseteq [\phi(v_1)] \subseteq G$ for any $d \in L_p \cap \mathbb{Q}$.

If $I \neq \emptyset$, then there exists $d \in \mathbb{Q}$ such that $\max\{a_i\}_{i \in I} \leq d < c$. Then $(\mathbb{R}, <) \models (d < v_1 \wedge v_1 < c) \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Therefore $p \in [d < v_1 \wedge v_1 < c] \subseteq [\phi(v_1)] \subseteq G$.

Case 4: Assume $L_p = (-\infty, c]$ and $U_p = (c, \infty)$ for some $c \in \mathbb{R}$.

Choose $\mathcal{B}_p = \{[c < v_1 \wedge v_1 < d] : d \in U_p \cap \mathbb{Q}\}$. Observe that \mathcal{B}_p is countable.

Let G be an open neighborhood of p . Then there is an $\mathcal{L}_{\mathbb{R}}$ -formula $\phi(v_1)$ such that $p \in [\phi(v_1)] \subseteq G$.

Similar to Case 1, we may assume that $\phi(v_1) = \bigwedge_{j=1}^l \theta_j(v_1)$ where $\theta_j(v_1)$ is of the form $v_1 = a$, $v_1 < a$ and $a < v_1$ for some $a \in \mathbb{R}$. Since $p \in [\phi(v_1)]$, we have that $\theta_j(v_1) \in p$ for all $j \in \{1, \dots, l\}$. Since p is not realized in $(\mathbb{R}, <)$, we have that for each $j \in \{1, \dots, l\}$, $\theta_j(v_1) = "a_j < v_1"$ for some $a_j \in L_p$ or $\theta_j(v_1) = "v_1 < a_j"$ for some $a_j \in U_p$. Then $\{a_1, \dots, a_l\} = \{a_i\}_{i \in I} \cup \{a_k\}_{k \in K}$ where $\theta_i(v_1) = "a_i < v_1"$ and $\theta_k(v_1) = "v_1 < a_k"$ for each $i \in I$ and $k \in K$.

If $K = \emptyset$, then we have $(\mathbb{R}, <) \models c < v_1 \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Since $(\mathbb{R}, <) \models (c < v_1 \wedge v_1 < d) \rightarrow c < v_1$ for any $d \in U_p \cap \mathbb{Q}$, we have $(\mathbb{R}, <) \models (c < v_1 \wedge v_1 < d) \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Therefore $p \in [c < v_1 \wedge v_1 < d] \subseteq [\phi(v_1)] \subseteq G$ for any $d \in U_p \cap \mathbb{Q}$.

If $K \neq \emptyset$, then there exists $d \in \mathbb{Q}$ such that $c < d \leq \min\{a_k\}_{k \in K}$. Then $(\mathbb{R}, <) \models (c < v_1 \wedge v_1 < d) \rightarrow \bigwedge_{j=1}^l \theta_j(v_1)$. Therefore $p \in [c < v_1 \wedge v_1 < d] \subseteq [\phi(v_1)] \subseteq G$.

Hence $S_1^{\text{qt}}(\mathbb{R})$ is first-countable. \square

References

- [1] D. Marker. **Model Theory : An Introduction.** Graduate texts in mathematics vol. 217. New York: Springer-Verlag, 2002.
- [2] J. Munkres. **Topology.** 2nded. Essex: Pearson Education Limited, 2014.
- [3] H. Sarbadhikari and S. M. Srivastava. **A Course on Basic Model Theory.** Singapore: Springer, 2017.

Appendix A

Project Proposal

กลุ่มที่ 24

เอกสารนี้ได้รับการอนุมัติจากอาจารย์ที่ปรึกษาโครงการแล้ว

ลงชื่อ

(วันที่))

The Project Proposal of Course 2301399 Project Proposal Academic Year 2020

Project Title (Thai) สมบัติทางทอพอโลยีของปริภูมิ n แบบที่บริบูรณ์และไม่แย้งกัน
Project Title (English) Topological Properties of Spaces of Complete and Consistent n -Types
Project Advisor: Dr. Athipat Thamrongthanayalak
By 1. Thanathip Phokhaw ID 6033516723
Mathematics, Department of Mathematics and Computer Science,
Faculty of Science, Chulalongkorn University

Background and Rationale

Suppose \mathfrak{M} is an \mathcal{L} -structure and A is a subset of an underlying set M of \mathfrak{M} . An n -type over A is a set of \mathcal{L}_A -formulas with no free occurrences of variables other than v_1, \dots, v_n . Let p be an n -type over A and let $\text{Th}_A(\mathfrak{M})$ be the set of all \mathcal{L}_A -sentences true in \mathfrak{M} . We say that p is consistent if $p \cup \text{Th}_A(\mathfrak{M})$ does not prove a contradiction; and complete if ϕ or $\neg\phi$ is in p for all \mathcal{L}_A -formula ϕ with no free occurrences of variables other than v_1, \dots, v_n .

Let $S_n^{\mathfrak{M}}(A)$ be the set of all complete and consistent n -types over A . Then $S_n^{\mathfrak{M}}(A)$ can be equipped with a topology τ generated by basic open sets $[\phi] = \{p \in S_n^{\mathfrak{M}}(A) \mid \phi \in p\}$ for all \mathcal{L}_A -formula ϕ with no free occurrences of variables other than v_1, \dots, v_n . We call the topological space $(S_n^{\mathfrak{M}}(A), \tau)$ the Stone space of complete and consistent n -types over A . It is known that $(S_n^{\mathfrak{M}}(A), \tau)$ is a compact totally disconnected Hausdorff space.^[1] It is natural to study other topological properties of these spaces.

Objectives

To study topological properties of the Stone spaces of complete and consistent n -types over A in \mathfrak{M} where \mathfrak{M} is an expansion of the rationals; or the reals.

Scope

In this project, we are interested in the case that \mathfrak{M} is an expansion of (\mathbb{Q}) , or (\mathbb{R}) .

Project Activities

Project activities are consisting of:

- 1) Studying the background of the Stone space of complete and consistent n -types.
- 2) Studying \mathbb{Q} and its expansions
- 3) Studying \mathbb{R} and its expansions
- 4) Writing a project report.

	2020						2021		
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Study the background of the Stone space									
Study \mathbb{Q} and its expansions									
Study \mathbb{R} and its expansions									
Write a project report									

Benefits

To understand topological properties of the Stone spaces of complete and consistent n -types over A in \mathfrak{M} where \mathfrak{M} is an expansion of (\mathbb{Q}) , or (\mathbb{R}) .

Equipment

LaTeX

Budget

1) Books 5,000 Baht

References

- [1] D. Marker. **Model theory: an introduction**. Graduate texts in mathematics vol. 217 New York: Springer-Verlag, 2002.
- [2] J. Munkres. **Topology**. 2nded. Essex: Pearson Education Limited, 2014.

Appendix B

Author's Profile



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